

State of Arizona
Department of Liquor Licenses and Control

Created 02/22/2024 @ 08:16:53 AM

Local Governing Body Report

LICENSE

Number:		Type:	012 RESTAURANT
Name:	CORONADO VINEYARDS		
State:	Pending		
Issue Date:		Expiration Date:	
Original Issue Date:			
Location:	2909 E COUNTRY CLUB DRIVE WILLCOX, AZ 85643 USA		
Mailing Address:	2909 E COUNTRY CLUB DRIVE WILLCOX, AZ 85643 USA		
Phone:	(480)334-5905		
Alt. Phone:			
Email:	INFO@CORONADOVINEYARDS.COM		

AGENT

Name:	DAVID JAMES SMITH
Gender:	Male
Correspondence Address:	2909 E COUNTRY CLUB DRIVE WILLCOX, AZ 85643 USA
Phone:	(480)334-5905
Alt. Phone:	
Email:	INFO@CORONADOVINEYARDS.COM

OWNER

Name:	CORONADO VINEYARDS INC		
Contact Name:	DAVID JAMES SMITH		
Type:	CORPORATION		
AZ CC File Number:	12053015	State of Incorporation:	AZ
Incorporation Date:	05/31/2005		
Correspondence Address:	2909 E COUNTRY CLUB DRIVE WILLCOX, AZ 85643 USA		
Phone:	(480)334-5905		
Alt. Phone:			
Email:	INFO@CORONADOVINEYARDS.COM		

Officers / Stockholders

Name:
TWO VINES VINEYARD INC
DOAJO DAVID HICKS

Title:
Shareholder
President,Dir

% Interest:
100.00

CORONADO VINEYARDS INC - Shareholder

Name: TWO VINES VINEYARD INC
Contact Name: DAVID JAMES SMITH
Type: CORPORATION
AZ CC File Number: State of Incorporation:
Incorporation Date:
Correspondence Address: 2909 E COUNTRY CLUB DRIVE
WILLCOX, AZ 85643
USA
Phone: (602)315-9050
Alt. Phone:
Email: CORONADOVINEYARDSAZ@GMAIL.COM

**TWO VINES VINEYARD INC - Director
CORONADO VINEYARDS INC - President,Dir**

Name: DOAJO DAVID HICKS
Gender: Male
Correspondence Address: 2909 E COUNTRY CLUB DRIVE
WILLCOX, AZ 85643
USA
Phone: (480)334-5905
Alt. Phone:
Email: DOAJOAZ@MSN.COM

APPLICATION INFORMATION

Application Number: 273934
Application Type: New Application
Created Date: 12/28/2023

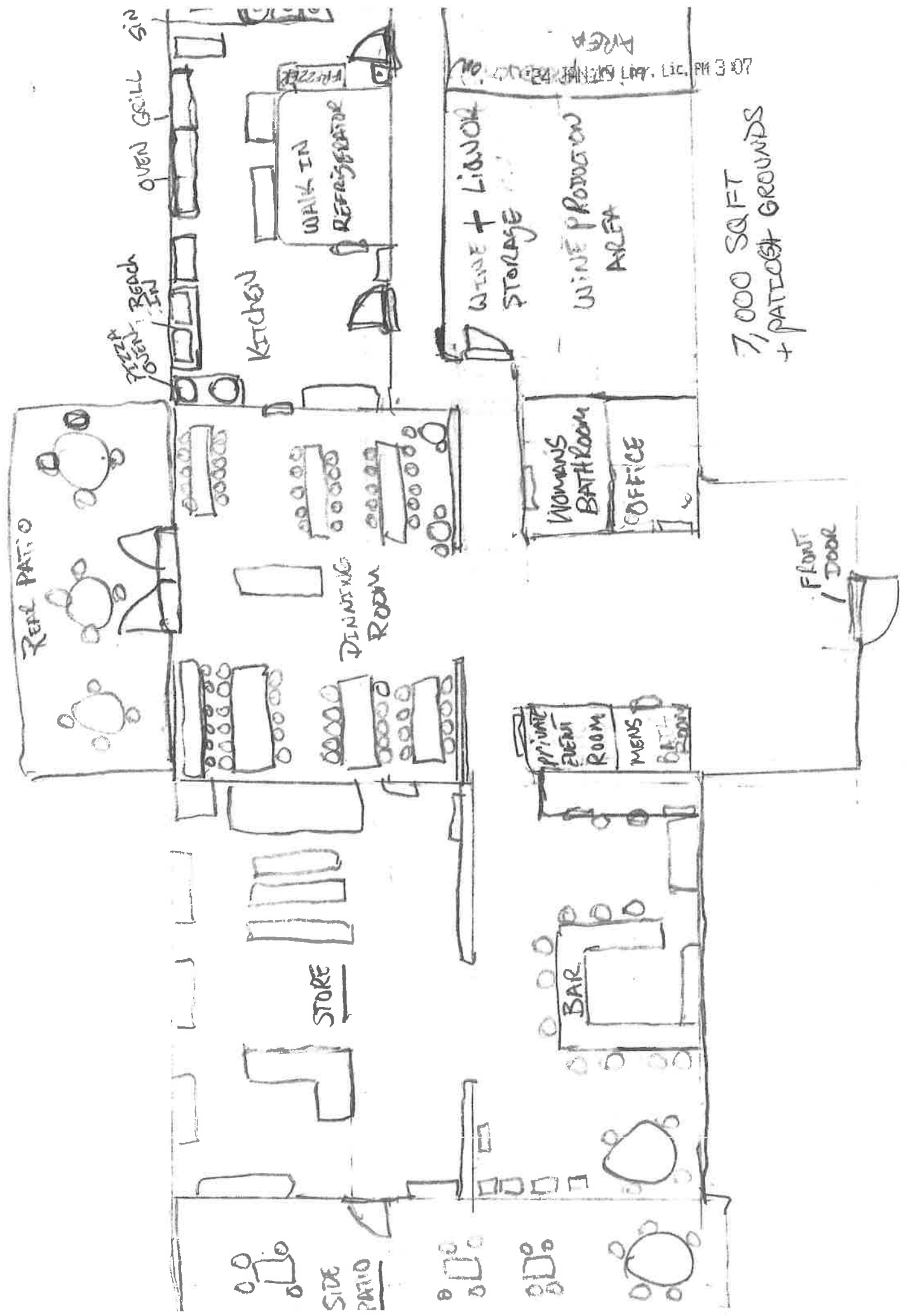


QUESTIONS & ANSWERS

012 Restaurant

- 1) Are you applying for an Interim Permit (INP)?
No
- 2) Are you one of the following? Please indicate below.
Property Tenant
Subtenant
Property Owner
Property Purchaser
Property Management Company
OWNER
- 3) Is there a penalty if lease is not fulfilled?
No
- 4) Is the Business located within the incorporated limits of the city or town of which it is located?
No
If no, in what City, Town, County or Tribal/Indian Community is this business located?
COCHISE
- 5) What is the total money borrowed for the business not including the lease?
Please list each amount owed to lenders/individuals.
\$395,000

RED BOX LLC 13202 N VISTA DEL ORO FORT MCDOWELL AZ 85264
- 6) Are there walk-up or drive-through windows on the premises?
No
- 7) Does the establishment have a patio?
Yes
Is the patio contiguous or non-contiguous (within 30 feet)?
CONTIGUOUS AND NON CONTIGUOUS
- 8) Is your licensed premises now closed due to construction, renovation or redesign or rebuild?
No
- 9) What type of business will this license be used for?
RESTAURANT



7,000 SQ FT
+ PATIO & GROUNDS

1077 4th Street, Lic. PH 3107
AREA

REAR PATIO

PIZZA
TOAST
Reach
IN

OVEN GRILL

KITCHEN

WALK IN
REFRIGERATOR

FREEZER

DINING
ROOM

STORE

SIDE
PATIO

WOMAN'S
BATHROOM

OFFICE

PRIVATE
EVENT
ROOM

MENS
BATHROOM

BAR

WINE + LIQUOR
STORAGE

WINE PRODUCTION
AREA

FRONT
DOOR



RESTAURANT/HOTEL/MOTEL OPERATION PLAN

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

Type or Print with **Black Ink**

Coronado Vineyards

1. Name of restaurant (Please print): _____

2. Must indicate the equipment below by Make, Model, and Capacity:

LIST ONLY THE FOLLOWING - NO ATTACHMENTS	
Grill	Winco, 24" Spectrum 2 burners
Oven	CPG, 6 burner, Griddle, Broiler & Oven
Freezer	True- Two Door Freezer
Refrigerator	Walk-In
Sink	Triple Deep Sink
Dish Washing Facilities	Triple Deep Sink
Food Preparation Counter (Dimensions)	8 foot SS table with shelving
Other	(2) True Sandwich Prep Table Refrigerators

3. Attach a copy of your FULL menu with pricing **INCLUDING NON-ALCOHOLIC BEVERAGES**

4. What percentage of your public premises is used primarily for restaurant dining?

(Do not include kitchen, bar, hi-top tables, or game area.) 50 %

5. Does your restaurant have a bar area that is distinct and separate from the dining area? YES No

(If yes, what percentage of the public floor space does this area cover?) 40 %

6. List the **seating capacity** for:

a) Restaurant dining area of your premises: [62]

(DO NOT INCLUDE PATIO SEATING)

b) Bar area [+ 8]

TOTAL [= 70]

7. What type of dinnerware is primarily used in your restaurant? Reusable Disposable Both
8. Does your restaurant contain any **games, televisions, or any other entertainment**? YES No

If yes, specify what types and how many (examples: 4-TV's, 2-Pool Tables, 1-Video Game, etc.)

9. Do you have live entertainment or dancing? YES No

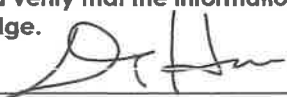
If yes, what type and how often (example: DJ-2 x a week, Karaoke-2 x a month, Live Band-1 x a month, etc.)

1 to 2 x(s) Month

10. List number of employees for each position:

Position	How many
Cooks	2
Bartenders	2
Hostesses	0
Managers	1
Servers	4
Other ()	N/A
Other ()	N/A
Other ()	N/A

I, (Print Full Name) Doajo David Hicks hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Applicant Signature: 

24 JAN 19 LIQ. LIC. PM 3:07



CORONADO

VINEYARDS



Wine LIST

24 JAN 19 11:47. Lic. #M 3 07



ESCASO VINOS - DRY WHITES & ROSÉ

Our limited release wines, produced in smaller quantities

Glass \$14; Bottle \$35

DRY RIESLING

Notes: meyer lemon, green apple, white flower blossoms, crisp, light bodied, estate grown.

LAUREN

Notes: tart pear, ginger, peach, honey, vivid acidity.
Our Chenin Blanc blend, named after the owners' daughter

DEVEREUX

Notes: honeycrisp apple, pineapple, floral, citrus peel, light minerality. Our first Chardonnay blend, named after the owners' son

DRY ROSÉ

Notes: dried red berries, grapefruit, watermelon, lemonade, zesty finish

ESTATE REDS

Glass \$12; Bottle \$30

SANGIOVESE

Notes: strawberry, tart cherry, red plum, potpourri, medium-bodied

SYRAH

Notes: blackberry jam, blueberry, dark chocolate, spice, plush tannins

ESCASO VINOS - RED BLENDS

Our limited release wines, produced in smaller quantities

Glass \$14; Bottle \$35

EL CABAZIN

Notes: black cherry, red plum, tart red apple, leather, cinnamon. Our inaugural blend of Cabernet Sauvignon & Zinfandel

EL SUEÑO

Notes: fruit forward, lush aromaticity, smooth tannins. Cabernet Sauvignon & Malbec blend

TRES VIDAS

Notes: red berry jam, vanilla, cedar, sultry finish
Sangiovese, Cabernet Sauvignon, & Malbec blend

FORE!

A tribute to the history of Coronado Vineyards, which sits on a former golf course. Syrah, Cabernet Sauvignon, Sangiovese, & Malbec blend

LA DONNA

Notes: dark berries, warm spices, balanced tannins, silky finish. Syrah & Malbec blend

SWEET & SEMISWEET

Glass \$10; Bottle \$25

SWEET RIESLING

Notes: crisp pear, apricot, melon, honeysuckle, off dry

TWO HEADS WHITE

Notes: tropical fruit, peach, lemongrass, sweet apple, off dry

CONQUISTADOR WHITE

Notes: lime blossoms, ginger, pineapple, honey, sweet

CONQUISTADOR SWEET ROSÉ

Notes: strawberries, ripe raspberry, orange peel, rose petals, bright finish

TWO HEADS RED

Notes: ripe berries, cranberry jam, sweet cherry, warm spices, off dry

CONQUISTADOR RED

Notes: sugared raspberries, watermelon, orange, honey, sweet



Tasting FLIGHTS

1. **CHOOSE ANY FIVE (5) WINES LISTED.**
2. **CHOOSE YOUR GLASS.**

CORONADO SIGNATURE **STEMMED** GLASS TO TAKE HOME WITH YOU.
\$25

CORONADO SIGNATURE **STEMLESS** GLASS TO TAKE HOME WITH YOU.
\$21

JUST THE FLIGHT
\$15

3. **WE WILL PREPARE YOUR FLIGHT BOARD.**
4. **ENJOY THE VINEYARD!**

Other DRINKS & BEVERAGES

HOUSEMADE SANGRIA

OUR OWN RED WINE, CINNAMON, APPLE BRANDY, ORANGE JUICE,
FRESH FRUIT, GINGER ALE
GLASS \$8; PITCHER \$25

WINE SLUSHIE

ASK FOR OUR FLAVOR OF THE DAY
GLASS \$8

VINO LEMON POP

OUR VERSION OF THE SPANISH TINTO DE VERANO, MADE FROM
OUR OWN RED WINE, ITALIAN LEMON SPARKLING SODA, SERVED ON
ICE.
GLASS \$8

PRICKLY PEAR SPRITZER

PROSECCO & PRICKLY PEAR ESSENCE
GLASS \$5

MIMOSA

PROSECCO & ORANGE JUICE
GLASS \$5

Tapas MENU

*24 JAN 19 LIQ. LIC. PM 3 07



CORONADO

BOARDS & GREENS

CORONADO CHARCUTERIE BOARD

gourmet meats & cheeses, fresh & dried fruits,
locally grown pistachios, jam, olives, cornichons,
nuts, dark chocolate, crackers. Serves 2-3

\$28

BEEF SALAD

mixed greens, red beets, praline pecans, seasonal
citrus, mandarin slices, goat cheese crumbles,
housemade mint & citrus dressing

\$14

STRAWBERRY & GOAT CHEESE SALAD

mixed greens, fresh strawberry, goat cheese
crumbles, praline pecans, housemade lemon
& honey dressing

\$12

DIPS

SPINACH & ARTICHOKE DIP

artichoke hearts, creamy spinach, toasted
artisan bread

\$14

BAKED GOAT CHEESE & SUNDRIED TOMATOES

baked goat cheese topped with sun-dried
tomatoes, Kalamata olives, fire roasted tomatoes,
olive oil drizzle, toasted artisan bread

\$15

HATCH GREEN CHILE SPINACH & ARTICHOKE DIP

our tasty spinach & artichoke dip with roasted
Hatch Green Chiles, toasted artisan bread

\$15

SLIDERS & SANDWICHES

CORONADO BBQ SLIDERS

slow roasted pulled BBQ pork, three Kings
Hawaiian rolls, tortilla chips, salsa

\$13

CHICKEN PANINI

pistachio crusted chicken, pesto, goat cheese
crumbles, caramelized onion, tomato, potato
chips, dill pickle

\$16

ZESTY BLT

jalapeño infused bacon, tomato, arugula,
toasted garlic aioli, sourdough bread, potato
chips, dill pickle

\$13

GRILLED CHEESE PANINI

brie, mozzarella, fig jam, caramelized onion,
arugula, potato chips, dill pickle

\$13

HATCH GREEN CHILE BURGER

black Angus beef, Hatch Green Chile, American cheese,
brioche bun, lettuce, tomato, red onion, pickle slices,
potato chips

(condiments upon request),

\$15

Tapas MENU

'24 JAN 19 Lic. Lic. AM 3 07



FLATBREAD PIZZAS

PESTO MARGARITA

basil pesto, tomato, mozzarella, fresh basil,
balsamic drizzle
\$15

PROSCIUTTO, ARTICHOKE, OLIVE

prosciutto, basil pesto, artichoke hearts,
kalamata olives, mozzarella, cracked black
pepper
\$16

BBQ BACON

pulled pork, BBQ sauce, mozzarella, jalapeño-
infused bacon bits
\$16

PEAR, PROSCIUTTO, BLACKBERRY

prosciutto, sliced pear, blackberry, mozzarella,
asiago, parmesan, locally-grown pistachio crumbles
\$16

MEAT LOVERS

prosciutto, salami, jalapeño bacon, tomato,
artichoke, mozzarella, olive oil
\$17

HATCH GREEN CHILE PEPPERONI PIZZA

pepperoni, red sauce, Hatch Green Chile,
mozzarella
\$15

LARGER BITES

Served with strawberry & goat cheese crumble salad

PISTACHIO CRUSTED CHICKEN SKEWERS

four skewered chicken, crusted with locally grown
pistachios, drizzled with mango tequila jalapeño
sauce
\$18

JALAPEÑO BACON WRAPPED SHRIMP

five jumbo shrimp, wrapped in jalapeño infused
bacon on top of spicy housemade raspberry
chipotle sauce, sweet balsamic drizzle
\$18

SWEET & SPICY MEDJOOOL DATES

four Medjool dates stuffed with cream cheese,
wrapped in jalapeño infused bacon, sweet balsamic
drizzle
\$13

FOR KIDS

PITA CHEESE PIZZA

pita bread, olive oil, mozzarella, red sauce.
served with grapes, orange slices, strawberry
\$12
Add pepperoni, \$1

BEVERAGES

CANNED SODA & BOTTLED WATER

\$2

SPARKLING WATER

\$3

DESSERTS

CHOCOLATE MOUSSE CAKE

rich chocolate cake, layered with chocolate
mousse, dark chocolate drizzle, fresh strawberry
\$8

NEW YORK CHEESECAKE

NY style cheesecake, caramel drizzle, fresh
strawberry
\$8

LEMONCELLO MASCARPONE CAKE

Sicilian lemon cake with Italian mascarpone,
white chocolate curls, fresh strawberry
\$8

24 JAN 19 Lib. Lic. PM 3 07



About Us CORONADO VINEYARDS

CORONADO VINEYARDS IS OWNED BY THE HICKS FAMILY. THEY PURCHASED THE VINEYARD AND TASTING ROOM IN 2020. PREVIOUS TO THE HICKS FAMILY, THE PROPERTY WAS THE FORMER YUCCA SIERRA COUNTRY CLUB & GOLF COURSE. CORONADO VINEYARDS WAS FIRST PLANTED IN 2005. THE TASTING ROOM WAS OPENED IN 2006, AND WAS THE FIRST TASTING ROOM TO OPEN IN WILLCOX.

IN AUGUST 2022, THE HICKS FAMILY OPENED THEIR SECOND TASTING ROOM IN JEROME, AZ.

CORONADO VINEYARDS IS THE FIRST AFRICAN-AMERICAN AND INDIGENOUS OWNED VINEYARD, TASTING ROOM, AND WINERY IN ARIZONA



Wine CLUB

INTERESTED IN OUR WINE CLUB?

FOUR MEMBERSHIP LEVELS

TWO SCHEDULED RELEASES

EL CHILITO

WINE: THREE (3) BOTTLES OF OUR SWEET & SEMI-SWEET WINES

DISCOUNT: 10% DISCOUNT ON ALL WINE & 10% DISCOUNT ON TAPAS AND GIFT SHOP
SHIPMENT/PICK-UP: NOVEMBER & MARCH

APPROX \$68, EXCLUDING SHIPPING COST & TAX

CONQUISTADOR

WINE: SIX (6) BOTTLES HAND-PICKED FROM OUR SWEET/SEMISWEET, ESTATE, & ESCASO VINOS WINES

DISCOUNT: 15% DISCOUNT ON ALL WINE & 10% DISCOUNT ON TAPAS AND GIFT SHOP
SHIPMENT/PICK-UP: NOVEMBER & MARCH

APPROX \$147, EXCLUDING SHIPPING COST & TAX

THREE SCHEDULED RELEASES

EL PINITO

WINE: THREE (3) BOTTLES OF OUR ESTATE WINES

DISCOUNT: 10% DISCOUNT ON ALL WINE & 10% DISCOUNT ON TAPAS AND GIFT SHOP
SHIPMENT/PICK-UP: NOVEMBER, JANUARY & MARCH

APPROX \$76, EXCLUDING SHIPPING COST & TAX

VINOS ALTA

WINE: SIX (6) BOTTLES HAND-PICKED FROM OUR SWEET/SEMISWEET, ESTATE, & ESCASO VINOS WINES

DISCOUNT: 15% DISCOUNT ON ALL WINE & 15% DISCOUNT ON TAPAS AND GIFT SHOP
SHIPMENT/PICK-UP: NOVEMBER, JANUARY & MARCH

APPROX \$147, EXCLUDING SHIPPING COST & TAX



**RECORDS REQUIRED
FOR AUDIT
RESTAURANT/HOTEL/MOTEL**

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

Type or Print with **Black Ink**

In the event of an audit, you will be asked to provide to the Department any documents necessary to determine Compliance with A.R.S. §4-205.02(G). Such documents requested may include however, are not limited to:

Coronado Vineyards

1. Name of restaurant (Please print): _____
2. All invoices and receipts for the purchase of food and spirituous liquor for the licensed premises.
3. A list of **all** food and liquor vendors
4. The restaurant menu used during the audit period
5. A price list for alcoholic beverages during the audit period
6. Mark-up figures on food and alcoholic products during the audit period
7. A recent, **accurate** inventory of food and liquor (taken within two weeks of the Audit Interview Appointment)
8. Monthly Inventory Figures - beginning and ending figures for food and liquor
9. Chart of accounts (copy)
10. Financial Statements-Income Statements-Balance Sheets
11. **General Ledger**
 - A. Sales Journals/Monthly Sales Schedules
 - 1) Daily sales Reports (to include the name of each waitress/waiter, bartender, etc. with sales for that day)
 - 2) Daily Cash Register Tapes - Journal Tapes and Z-tapes
 - 3) Dated Guest Checks
 - 4) Coupons/Specials/Discounts
 - 5) Any other evidence to support income from food and liquor sales
 - B. Cash Receipts/Disbursement Journals
 - 1) Daily Bank Deposit Slips
 - 2) Bank Statements and canceled checks
12. **Tax Records**
 - A. Transaction Privilege Sales, Use and Severance Tax Return (copies)
 - B. Income Tax Return - city, state and federal (copies)
 - C. Any supporting books, records, schedules or documents used in preparation of tax returns

13. Payroll Records

- A. Copies of all reports required by the State and Federal Government
- B. Employee Log (A.R.S. §4-119)
- C. Employee time cards (actual document used to sign in and out each work day)
- D. Payroll records for all employees showing hours worked each week and hourly wages

14. Off-site Catering Records (must be complete and separate from restaurant records)

- A. All documents which support the income derived from the sale of food off the license premises.
- B. All documents which support purchases made for food to be sold off the licensed premises.
- C. All coupons/specials/discounts

The sophistication of record keeping varies from establishment to establishment. Regardless of each licensee's accounting methods, the amount of gross revenue derived from the sale of food and liquor must be substantially documented.

**REVOCAION OF YOUR LIQUOR LICENSE MAY OCCUR IF YOU FAIL TO COMPLY WITH
A.R.S. §4-210(A)7 AND A.R.S. §4-205.02(G).**

A.R.S. §4-210(A)7

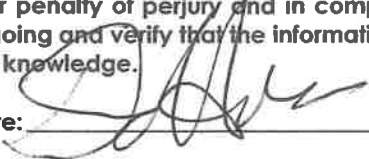
The licensee fails to keep for two years and make available to the department upon reasonable request all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of spirituous liquors and, in the case of a restaurant or hotel-motel licensee, all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of food.

A.R.S. §4-205.02(G)

For the purpose of this section:

- 1. "Restaurant" means an establishment which derives **at least forty percent (40%)** of its gross revenue from the sale of food
- 2. "Gross revenue" means the revenue derived from all sales of food and spirituous liquor on the licensed premises regardless of whether the sales of spirituous liquor are made under a restaurant license issued pursuant to this section or under any other license that has been issued for the premises pursuant to this article.

I, (Print Full Name) Doajo David Hicks, hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Applicant Signature: 

LC:
Amount:



AGENT/CONTROLLING PERSON QUESTIONNAIRE

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

DLLC USE ONLY
Job #: 273934
Date Accepted: 12-28-2023
CSR: [Signature]

805-499

License Number:

ATTENTION APPLICANT: This is a legally binding document. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

Attention local governments: Social security and birth date information is confidential. This information will be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED ACCORDINGLY AND SUBMITTED TO THE DEPARTMENT WITH A BLUE OR BLACK LINED FINGERPRINT CARD AND \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE.

1. Check the Appropriate Box →

Agent Controlling Person

2. Name: Smith David James Birth Date: [Redacted]
Last First Middle (NOT a public record)

3. Social Security #: [Redacted] Drivers License #: [Redacted] State Issued: Arizona

4. Place of birth: Sleep Eye, MN, USA Height: 6'0 Weight: 185 Eyes: BLU Hair: GR
City State COUNTRY

5. Name of current/most recent spouse: N/A Birth Date: / /
Last First Middle (NOT a public record)

6. Are you a bonafide resident of Arizona? Yes No If yes, what is your date of residency? 11/01/1996

7. Daytime telephone number: 480-334-5905 Email address: info@coronadovineyards.com

8. Premises Name: Coronado Vineyards Business Phone: 520/384-2993

9. Premises Address: 2909 E. Country Club Drive, Willcox, AZ, Cochise, 85643
Street (do not use PO Box) City State County Zip

10. List your employment or type of business during the past five (5) years, if unemployed, retired, or student, list place of residence address. (ATTACH ADDITIONAL SHEET IF NECESSARY)

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS <small>(Street Address, City, State & Zip)</small>
02/2006	CURRENT	Desert Bell Cruises Owner	Desert Bell Cruises, 14011 N. Bush Lake Rd., Mesa,
03/2019	Current	Agent	oronado Vineyards, 2909 E. Country Club, Dr. Willco

11. Provide your residence address information for the last five (5) years A.R.S. §4-202(D) (ATTACH ADDITIONAL SHEET IF NECESSARY)

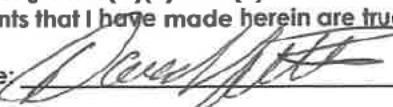
FROM Month/Year	To Month/Year	Street	City	State	Zip
11/1996	CURRENT	13202 N. Vista Del Oro, Fort McDowell, AZ 85264-9600			

(ATTACH ADDITIONAL SHEET IF NECESSARY)

- 12. As an Agent or Controlling Person, will you be managing the day to day operation of the licensed premises? If you answered YES, then answer #13 below. If NO, skip to #14 Yes No
- 13. Have you attended a DLLC approved Basic and Management Liquor Law Training Course within the past 3 years? **MUST** attach copies of both training certificates. Yes No
- 14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? Yes No
- 15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summons pending against you? (Do not include civil traffic tickets) A.R.S. §4-202, 4-210 Yes No
- 16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes No
- 17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) Yes No
- 18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) Yes No

If you answered "YES" to any Question 14 through 18 **YOU MUST** attach a signed statement. Give complete details including dates, agencies involved and dispositions. **CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED**

I, (Print Full Name) David Smith hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature:  Date: 12/28/2023



FINGERPRINT VERIFICATION FORM

Arizona Department of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

DLLC USE ONLY	
Job#	273934
Date Accepted:	12-28-2023
CSR:	Chap

ATTENTION FINGERPRINT TECHNICIAN:

Please follow the instructions below for fingerprinting this applicant.

1. Please fill out or ensure that the applicant has filled out all the required boxes on the fingerprint card prior to taking the fingerprints.
2. Request a valid, unexpired government-issued photo ID from the applicant and compare the physical descriptors on the applicant's photo ID to the applicant and to the information on the fingerprint card.
3. Fill out the information in the boxes below. **Please print clearly.**
4. Once the prints have been taken, place the fingerprint card and this form into the envelope and seal it. Please write your name or identification across the edge of the seal. Return the sealed envelope to the applicant.
Do not give the applicant the fingerprint card without first sealing it inside the envelope.
5. **Write applicants name on front of sealed envelope.**

PRINT the following information:

Date	Name of Applicant:	
12/28/2023	David J Smith	
Name of Fingerprint Technician:		
Naomi Lyles		
Fingerprint technician's Signature:		
<i>Naomi Lyles</i>		
Fingerprint technician's Agency/company Name:	Phone Number:	
The UPS Store	480-837-3200	
Type of Photo ID Provided (check one):		
<input checked="" type="checkbox"/> Driver's License	<input type="checkbox"/> Passport	<input type="checkbox"/> Other (Please specify)



ALIEN STATUS

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

Type or Print with **Black Ink**

Title IV of the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (the "Act"), 8 U.S.C. § 1621, provides that, with certain exceptions, only United States citizens, United States non-citizen nationals, non-exempt "qualified aliens" (and sometimes only particular categories of qualified aliens), nonimmigrant, and certain aliens paroled into the United States are eligible to receive state, or local public benefits. With certain exceptions, a professional license and commercial license issued by a State agency is a State public benefit.

Arizona Revised Statutes § 41-1080 requires, in general, that a person applying for a license must submit documentation to the license agency that satisfactorily demonstrates the applicant's presence in the United States is authorized under federal law.

Directions: All applicants must complete Sections I, II, and IV. Applicants who are not U.S. citizens or nationals must also complete Section III.

Submit this completed form and a copy of one or more document(s) from the attached "Evidence of U.S. Citizenship, U.S. National Status, or Alien Status" with your application for license or renewal. If the document you submit does not contain a photograph, you must also provide a government issued document that contains your photograph. You must submit supporting legal documentation (i.e. marriage certificate) if the name on your evidence is not the same as your current legal name.

SECTION I – APPLICANT INFORMATION

David James Smith

APPLICANT NAME (Print or type)

SECTION II – CITIZENSHIP OR NATIONAL STATUS DECLARATION

Are you a citizen or national of the United States? Yes No - If **yes**, indicate place of birth:

City Sleepy Eye State Minnesota COUNTRY USA

If you answered **Yes, 1)** Attach a legible copy of a document from the list below.

2) Name of document: Arizona Driver's License

If you answered **No**, you must complete Sections III.

EVIDENCE OF U.S. CITIZENSHIP, U.S. NATIONAL STATUS, OR ALIEN STATUS

You must submit supporting legal documentation (i.e. marriage certificate) if the name on your evidence is not the same as your current legal name.

Evidence showing authorized presence in the United State includes the following:

1. An Arizona driver license issued after 1996 or an Arizona non-operating identification card.
2. A driver license issued by a state that verifies lawful presence in the United States.
3. A birth certificate or delayed birth certificate showing birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after Jan. 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time)
4. A United States certificate of birth abroad.
5. A United States passport. ***Passport must be signed***
6. A foreign passport with a United States visa.
7. An I-94 form with a photograph.
8. A United States citizenship and immigration services employment authorization document or refugee travel document.
9. A United States certificate of naturalization.
10. A United States certificate of citizenship.
11. A tribal certificate of Indian blood.
12. A tribal or bureau of Indian affairs affidavit of birth.
13. Any other license that is issued by the federal government, any other state government, an agency of this state or a political subdivision of this state that requires proof of citizenship or lawful alien status before issuing the license.

SECTION III – QUALIFIED ALIEN DECLARATION

Applicants who are not citizens or nationals of the United States. Please indicate alien status by checking the appropriate box. Attach a legible copy of a document from the attached list or other document as evidence of your status.

Name of document provided

Qualified Alien Status (8 U.S.C. §§ 1621(a)(1), -1641(b) and (c))

- 1. An alien lawfully admitted for permanent residence under the Immigration and Nationality Act (INA)
- 2. An alien who is granted asylum under Section 208 of the INA.
- 3. A refugee admitted to the United States under Section 207 of the INA.
- 4. An alien paroled into the United States for at least one year under Section 212(d)(5) of the INA.
- 5. An alien whose deportation is being withheld under Section 243(h) of the INA.
- 6. An alien granted conditional entry under Section 203(a)(7) of the INA as in effect prior to April 1, 1980.
- 7. An alien who is a Cuban/Haitian entrant.
- 8. An alien who has, or whose child or child's parent is a "battered alien" or an alien subject to extreme cruelty in the United States

Nonimmigrant Status (8 U.S.C. § 1621(a)(2))

- 9. A nonimmigrant under the Immigration and Nationality Act [8 U.S.C § 1101 et seq.] Non-immigrants are persons who have temporary status for a specific purpose. See 8 U.S.C § 1101(a)(15).

Alien Paroled into the United States for Less Than One Year (8 U.S.C. § 1621(a)(3))

- 10. An alien paroled into the United States for less than one year under Section 212(d)(5) of the INA

Other Persons (8 U.S.C § 1621(c)(2)(A) and (C))

- 11. A nonimmigrant whose visa for entry is related to employment in the United States, or
- 12. A citizen of a freely associated state, if section 141 of the applicable compact of free association approved in Public Law 99-239 or 99-658 (or a successor provision) is in effect [Freely Associated States include the Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 U.S.C. § 1901 et seq.];
- 13. A foreign national not physically present in the United States.
- 14. **Otherwise Lawfully Present**
- 15. A person not described in categories 1-13 who is otherwise lawfully present in the United States.

PLEASE NOTE: The federal Personal Responsibility and Work Opportunity Reconciliation Act may make persons who fall into this category ineligible for licensure. See 8 U.S.C. §

David Smith

Print Name



Signature



12/28/2023

Date

'23 DEC 28 PM 3:13 AZD LLC

Arizona DRIVER LICENSE USA

NOT FOR FEDERAL IDENTIFICATION



5 CLASS D 4d DLN [REDACTED]
5a END NONE 3 DOB [REDACTED]
12 REST NONE

1 SMITH
2 DAVID JAMES
8 13202 N VISTA DEL ORO
FORT MCDOWELL, AZ 852649600

4b EXP 08/29/2024 4a ISS 03/05/2021
15 SEX M 18 EYES BLU
16 HGT 6'-00" 19 HAIR GRY
17 WGT 185 lb

5 DD 028702632S034305

LC:
Amount:



AGENT/CONTROLLING PERSON QUESTIONNAIRE

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

DLLC USE ONLY
Job #: 273934
Date Accepted: 12-28-2023
CSR: Chan

Type or Print with **Black Ink**

FP Current
12-8-2023

License Number: 13023009

ATTENTION APPLICANT: This is a legally binding document. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

Attention local governments: Social security and birth date information is confidential. This information will be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED ACCORDINGLY AND SUBMITTED TO THE DEPARTMENT WITH A **BLUE OR BLACK LINED FINGERPRINT CARD AND \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE.**

1. Check the Appropriate Box →

Agent Controlling Person

2. Name: Hicks, Doajo, David Birth Date: [REDACTED] (NOT a public record)

3. Social Security #: [REDACTED] Drivers License #: [REDACTED] State Issued: AZ

4. Place of birth: New York, NY, Kings Height: 6'6 Weight: 250 Eyes: Bro Hair: Bro

5. Name of current/most recent spouse: Hicks, Roxanne, Peterman Birth Date: 01/20/76 (NOT a public record)

6. Are you a bonafide resident of Arizona? Yes No If yes, what is your date of residency? 10/01/2022

7. Daytime telephone number: (480)334-5905 Email address: doajoaz@msn.com

8. Premises Name: Coronado Vineyards Business Phone: 520 384 2993

9. Premises Address: 2909 E. Country Club Dr. Willcox, AZ, Cochise, 85643

Street (do not use PO Box) City State County Zip

10. List your employment or type of business during the past five (5) years, if unemployed, retired, or student, list place of residence address. (ATTACH ADDITIONAL SHEET IF NECESSARY)

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS (Street Address, City, State & Zip)
02/2020	CURRENT	President	nado Vineyards 2909 E. Country Club Drive, Willcox
10/2022	10/2023	SVP & General Counsel	Strongmind Inc. Chandler Blvd, Chandler, AZ
09/2019	10/2022	General Counsel	Univ of La Verne, 1950 3rd St. La Verne, CA 91750
1/2016	12/2019	General Counsel	tah Tech Univ, 225 S. Univ Ave. St George, UT 8477

11. Provide your residence address information for the last five (5) years A.R.S. §4-202(D) (ATTACH ADDITIONAL SHEET IF NECESSARY)

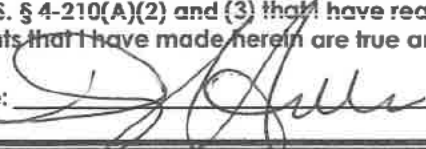
FROM Month/Year	To Month/Year	Street	City	State	Zip
7/2022	CURRENT		10024 S. Placita Unica, Vail, AZ		85641
6/2020	6/2022		10737 S. Miralago Dr., Vail, AZ		85641
1/2016	6/2020		715 Madera Pl, St. George, UT		84790

(ATTACH ADDITIONAL SHEET IF NECESSARY)

- 12. As an Agent or Controlling Person, will you be managing the day to day operation of the licensed premises? If you answered YES, then answer #13 below. If NO, skip to #14 Yes No
- 13. Have you attended a DLLC approved Basic and Management Liquor Law Training Course within the past 3 years? **MUST** attach copies of both training certificates. Yes No
- 14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? Yes No
- 15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summons pending against you? (Do not include civil traffic tickets) A.R.S. §4-202, 4-210 Yes No
- 16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes No
- 17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) Yes No
- 18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D) Yes No

If you answered "YES" to any Question 14 through 18 **YOU MUST** attach a signed statement. Give complete details including dates, agencies involved and dispositions. **CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED**

I, (Print Full Name) Doajo David Hicks hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature:  Date: 12/19/2023

Certificate # AZB-ON-01231808

Certificate of Completion
For
Title 4 **BASIC** Liquor Law Training

<input checked="" type="checkbox"/>	On-sale
<input type="checkbox"/>	Off-sale
<input type="checkbox"/>	On- and off-sale

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant.

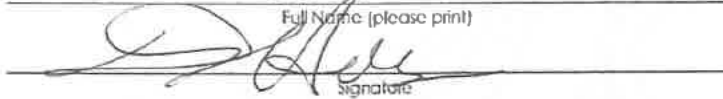
The State requires **BASIC** Title 4 training only as a prerequisite for **MANAGEMENT** Title 4 training or as a result of a liquor law violation. Persons required to have **BASIC** Title 4 training are listed at the base of this Certificate. Licensees sometimes require **BASIC** Title 4 Training a condition of employment.

A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

Student Information

DOAJO HICKS

Full Name (please print)



Signature

12/11/2023

Training Completion Date

12/10/2026

Certificate Expiration Date
(three years from completion date)

Training Provider Information

360training.com Inc.

Company Name

6504 Bridge Point Parkway, Suite 100, Austin, TX 78730

Mailing Address

(877) 881-2235

Daytime Contact Phone Number

I, Samantha Montalbano, certify that the above named individual did successfully complete

Instructor Name (please print)

Title 4 **BASIC** Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.)R19-1-103 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title 4 Training Provider named in this section as provided by A.A.C. R19-1-103(E) and (F).



Instructor Signature

12/11/2023

Day Mo Year

Persons required to complete **BASIC** & **MANAGEMENT** Title 4 training: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business of a series listed below
2) licensees, agents and managers actively involved in the daily business operations of a liquor-licensed business of a series listed below

- | | | | |
|----------------------------------|----------------------------------|--------------------------|--------------------------------------|
| In-state Microbrewery (series 3) | Government (series 5) | Bar (series 6) | Beer & Wine Bar (series 7) |
| Conveyance (series 8) | Liquor Store (series 9) | Private Club (series 14) | Hotel/Motel w/restaurant (series 11) |
| Restaurant (series 12) | In-state Farm Winery (series 13) | | Beer & Wine Store (series 10) |

Liquor license applications (initial and renewal) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

Certificate # AZM-OFF-012031C

Certificate of Completion
For
Title 4 **MANAGEMENT** Liquor Law Training

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant.

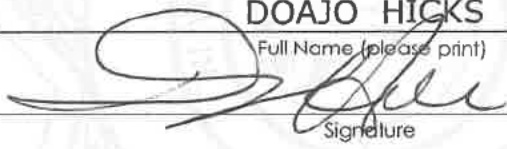
Basic Title 4 training is a prerequisite for MANAGEMENT Title 4 training. A valid Certificate of Completion for BASIC Title 4 training must be on file at the Department of Liquor and satisfactory completion of a State-approved BASIC Title 4 course must be verified by the training provider prior to issuing a Certificate of Completion for MANAGEMENT Title 4 training.

A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

Student Information

DOAJO HICKS

Full Name (please print)



Signature

12/08/2023

Training Completion Date

12/07/2026

Certificate Expiration Date
(three years from completion date)

Training Provider Information

360training.com Inc.

Company Name

6504 Bridge Point Parkway, Suite 100, Austin, TX 78730

Mailing Address

(877) 881-2235

Daytime Contact Phone Number

I, Samantha Montalbano, certify that the above named individual did successfully complete
Instructor Name (please print)

Title 4 MANAGEMENT Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.)R19-1-103 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title 4 Training Provider named in this section as provided by A.A.C. R19-1-103(E) and (F).



Instructor Signature

12/08/2023

Day Mo Year

Persons required to complete BASIC & MANAGEMENT Title 4 training: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business of a series listed below
2) licensees, agents and managers actively involved in the daily business operations of a liquor-licensed business of a series listed below

In-state Microbrewery (series 3)
Conveyance (series 8)
Restaurant (series 12)

Government (series 5)
Liquor Store (series 9)
In-state Farm Winery (series 13)

Bar (series 6)
Private Club (series 14)

Beer & Wine Bar (series 7)
Hotel/Motel w/restaurant (series 11)
Beer & Wine Store (series 10)

Liquor license applications (initial and renewal) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.