

Department	Program	Audit Type	Risk	Auditor	Original FY	Original Timeframe	Updated FY	Status	Notes
City Court	Revenue management	Compliance	High	Internal	2019-20	Jan-Mar 2020	No Change	Completed & Published	<a href="#">Link</a>
City Court	Triennial audit	Compliance	High	Internal	2019-20	Nov-Jan 2020	No Change	Completed & Published	<a href="#">Link</a>
Citywide	Cash Handling Review	Continuous	Medium	Internal	2020-21	Oct-Dec 2020	2021-22	Completed & Published	<a href="#">Link</a>
Development Services, Economic Development	Development Agreement Compliance	Compliance	High	Internal	2019-20	Apr-Jun 2020	No Change	Completed & Published	<a href="#">Link</a>
Budget and Finance	Vendor Management	Compliance	High	Contract Firm	2019-20	Jan-Mar 2020	2020-21	Completed & Published	<a href="#">Link</a>
Citywide	Asset Management Program Evaluation	Performance	High	Contract Firm	2019-20	Apr-Jun 2020	2020-21	Completed & Published	<a href="#">Link</a>
Fire Department	Telestaff Business Process and Internal Control Review	Compliance	High	Contract Firm	2019-20	Jan-Mar 2020	2020-21	Received management responses. Finalized draft report for audit committee 6/3.	Planned for June Audit Committee
Innovation and Technology	Information Systems Security	Performance	High	Contract Firm	2019-20	Jan-Mar 2020	2020-21	Pending management responses for draft report to audit committee meeting 6/3.	Planned for June Audit Committee
Innovation and Technology	Technology Governance Program Evaluation	Performance	Medium	Contract Firm	2019-20	Jan-Mar 2020	2020-21	Pending management responses for draft report to audit committee meeting 6/3.	Planned for June Audit Committee
Citywide	Annual Payroll/Timesheets Review	Continuous	Medium	Internal	2020-21	Oct-Dec 2020	2021-22	Completed, Pending review by IIAP Manager	Planned for September Audit Committee
Community Services	Community Action Program Evaluation	Performance	Medium	Internal	2020-21	Jan-Mar 2021	2020-21	Completed, Pending review by IIAP Manager	Planned for September Audit Committee
Human Resources	Overtime use and compliance	Compliance	High	Contract Firm	2020-21	Oct-Dec 2020	2020-21	Planning finalized. Fieldwork requests sent to HR week of 5/17.	Planned for September Audit Committee
Human Resources	Position Control Policy Evaluation	Performance	Medium	Contract Firm	2020-21	Oct-Dec 2020	2020-21	Finalizing preliminary draft for department review early June.	Planned for September Audit Committee
Police Department	Police Operations Staffing Analysis	Performance	Medium	Contract Firm	2020-21	July-Sep 2020	2020-21	Reviewed preliminary observations for the Police Staffing Review this week with Dept Leadership (including 2 assistant chiefs)—we have some minor data adjustments to make but overall the department agreed with our recommendations. The preliminary draft report should be delivered to the department by the end of next week.	Planned for September Audit Committee
City Clerk/Citywide	Public Records Law Compliance	Performance/Compliance	Medium	Contract Firm	2020-21	Oct-Dec 2020	2020-21	Kick-off meeting scheduled for week of May 24th with City staff.	Moss Adams to reach out to dept in early May.
Citywide	Contract Compliance and Performance	Performance	Medium	Contract Firm	2020-21	Jan-Mar 2021	2020-21	External to do as part of FY21-22 plan	Update to be provided at June Audit Committee Meeting
Budget and Finance	Arizona Sports and Tourism Authority (AZSTA) Contract Compliance	Compliance	Medium	Contract Firm	2020-21	July-Sep 2020	2020-21	IIAP manager will perform this audit as part of FY21-22 plan	Update to be provided at June Audit Committee Meeting
Citywide	Purchase Card Review	Continuous	Medium	Internal	2020-21	Jan-Mar 2021	TBD	IIAP manager will perform this audit as part of FY21-22 plan	Update to be provided at June Audit Committee Meeting
Citywide	Travel Policy compliance review	Continuous	Medium	Internal	2020-21	July-Sep 2020	TBD	IIAP manager will perform this audit as part of FY21-22 plan	Update to be provided at June Audit Committee Meeting
City Court	E-Warrants Program Evaluation	Performance	High	N/A	2019-20	Jan-Mar 2020	TBD	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	System undergoing upgrade.

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City Manager's Office	Code Compliance Program Evaluation	Performance	Medium	N/A	2019-20	Apr-Jun 2020	TBD	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	Requested by DCM for Public Safety due to implementation of new systems and processes.
Fire Department	Fire Asset Management	Performance	Medium	N/A	2019-20		TBD	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	Fire admin is reviewing their operations for what their highest needs are. They would like to defer and continue to develop a priority list.
Transportation	Airport operations-Leases; Rates and charges	Compliance	Medium	N/A	2020-21	Apr-Jun 2021	TBD	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	Airport Rules and Regulations are being revised.
Police Department	Records Management System (Hexagon) Program Evaluation	Performance	High	N/A	2020-21	July-Sep 2020	TBD	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	Department is implementing a new system.
Citywide	Custodial Contract Compliance and Evaluation	Performance/Compliance	Medium	Contract Firm	2020-21	Jan-Mar 2021	2020-21	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	Department recently switched vendors.
Water Services	Storm Water Management Program Evaluation	Performance	Medium	Contract Firm	2020-21	Apr-Jun 2021	2020-21	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	Department is making programmatic changes
Citywide	HR Employee Relations Program Evaluation	Performance	Medium	Internal	2020-21	Apr-June 2021	TBD	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	
Transportation	Recovery of ROW Damage Claims	Performance	Medium	Internal	2020-21	July-Sep 2020	TBD	Deferred to FY2022-23 Risk Assessment (planning begins Fall 2021)	