

Employee # 028606

### SIMPKINS & ASSOCIATES HARDSHIP REQUEST NOTIFICATION

Please print or type. 457 Plan  
**Plan Name** Hidalgo County Sheriff  
**Participant Name** [Redacted]  
**Address** [Redacted] **City** NY  
**Social Security No.** [Redacted] **Home Phone** [Redacted]

**SECTION I - Hardship**

I understand that this withdrawal is for a hardship and that the amount of the withdrawal is necessary for my or my dependent's immediate and heavy financial need. I represent that I have obtained all distributions, other than this withdrawal, from the Plan, as a hardship, and all other non-taxable loans currently available to me under the Plan, as provided by the Company. I understand that this withdrawal will be taxable as ordinary income in the calendar year in which I receive it. **In addition, a 10% penalty tax will apply unless I am at least 59-1/2 years of age or I use the funds withdrawn to pay certain deductible medical expenses as provided by law.**

**IRS rules require that you stop making contributions to the 401(k) Plan for at least 6 months upon taking this hardship withdrawal.**

The IRS only allows the following reasons for taking a hardship withdrawal. Check the one that applies to you.

- Medical expenses incurred by me, my spouse, or any of my dependents (or any expense necessary to obtain medical care).
- Purchase (excluding mortgage payments) of my principal residence.
- Payment of tuition, related educational fees, and room and board expenses for the next 12 months of post-secondary education for me, my spouse, my children, or my dependents.
- The need to prevent eviction from or mortgage foreclosure on my primary residence.
- Funeral or burial expenses for my parent, spouse, child or dependent.
- Repair of casualty damage to my primary residence that would be deductible under IRC Section 165.

Hardship Requested \$ all Year-to-date deferrals \_\_\_\_\_

Total amount deferred since you initially joined the plan \$ all

Have you ever taken a hardship before? NO If so what was the amount taken \$ \_\_\_\_\_

I hereby request a hardship withdrawal from my account. I meet and agree to the requirements above and understand the tax implications of this withdrawal. If I am directing my investment accounts, make the withdrawal based on my current investment direction election. I understand that there may be a fee charged to my account by Simpkins & Associates for processing this request.

**PARTICIPANT SIGNATURE** [Signature] **Date** 8/3/2012

**SECTION II - Authorized Plan Representative**

As the Authorized Plan Representative, I authorize you to perform the ministerial acts relating to the hardship distribution. This request is in compliance with our Plan document.

**AUTHORIZED PLAN REPRESENTATIVE** [Signature] **Date** \_\_\_\_\_

**SECTION III - Distribution Procedure**

- Determine if distribution request complies with all provisions of your plan documents.
- S&A will help facilitate the check as requested above.

**Fax request to:**  
**(972) 960-7133**

cc 8/14/12



Employee # 115509

### SIMPKINS & ASSOCIATES HARDSHIP REQUEST NOTIFICATION

Please print or type. 457 Plan  
Plan Name

Participant Name A

Address 140 City RS72

Social Security Number 900

#### SECTION I - Hardship

I understand that this withdrawal is not a loan and that the amount of the withdrawal is not to be included in my gross income for the year in which the withdrawal is made. I understand that the withdrawal is not to be included in my gross income for the year in which the withdrawal is made. I understand that the withdrawal is not to be included in my gross income for the year in which the withdrawal is made.

IRS rules require that you stop making contributions to the 401(k) Plan for at least 6 months upon taking this hardship withdrawal.

The IRS only allows the following reasons for taking a hardship withdrawal. Check the one that applies to you.

- Medical expenses incurred by me, my spouse, or any of my dependents (or any expense necessary to obtain medical care).
- Purchase (excluding mortgage payments) of my principal residence.
- Payment of tuition, related educational fees, and room and board expenses for the next 12 months of post-secondary education for me, my spouse, my children, or my dependents.
- The need to prevent eviction from or mortgage foreclosure on my primary residence.
- Funeral or burial expenses for my parent, spouse, child or dependent.
- Repair of casualty damage to my primary residence that would be deductible under IRC Section 165.

Hardship Requested \$ 5,000.00 Year-to-date deferrals \_\_\_\_\_

Total amount deferred since you initially joined the plan \$ 12,000.00

Have you ever taken a hardship before? yes If so what was the amount taken \$ 5,000.00

I hereby request a hardship withdrawal from my account. I meet and agree to the requirements above and understand the tax implications of this withdrawal. If I am directing my investment accounts, make the withdrawal based on my current investment direction election. I understand that there may be a fee charged to my account by Simpkins & Associates for processing this request.

PARTICIPANT SIGNATURE X Raphael Odeh Date 8-6-12

#### SECTION II - Authorized Plan Representative

As the Authorized Plan Representative, I authorize you to perform the ministerial acts relating to the hardship distribution. This request is in compliance with our Plan document.

AUTHORIZED PLAN REPRESENTATIVE X Date \_\_\_\_\_

#### SECTION III - Distribution Procedure

- Determine if distribution request complies with all provisions of your plan documents.
  - S&A will help facilitate the check as requested above.
- Fax request to:  
(972) 960-7133

cc 8/14/12