

# AMENDED Certificate of Substantial Completion (Rev. 1)

**PROJECT:** Construction of Space Pressure Control at the Autopsy Facility

**PROJECT NUMBER:** RFB No: 2016-238-06-29-YSS

**OWNER:** Hidalgo County

**CONTRACTOR:** Herrcon, LLC

The Work performed under this Contract has been reviewed and found, to the Engineer's best knowledge, information and belief, to be substantially complete. Substantial Completion is the stage in the progress of the Work when the Work or designated portion is sufficiently complete in accordance with the Contract Documents so that the owner can occupy or utilize the Work for its intended use. The date of Substantial Completion of the Project or portion designated above is the date of issuance established by this Certificate, which is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below: Construction time is stopped at the day of Issuance.

Half Associates, Inc  
Engineer

  
By

February 8, 2017  
Date of Issuance

A list of items to be completed or corrected is attached hereto. The failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents. Unless otherwise agreed to in writing, the date of commencement of warranties for items on the attached list will be the date of issuance of the final Certificate of Payment or the date of final payment.

Cost estimate of Work that is incomplete or defective: \$0.  
**Liquidated Damages in the amount of \$7,700.00 apply.**

The Contractor will complete or correct the Work on the list of items attached hereto and complete the work by March 10, 2017.  
Date

The Owner accepts the Work or designated portion as substantially complete and will assume full possession at 5:00pm on February 21, 2017.  
Date

\_\_\_\_\_  
Owner

\_\_\_\_\_  
By

\_\_\_\_\_  
Date



**AIA**<sup>®</sup>

# Document G701™ – 2001

## Change Order

<b>PROJECT</b> (Name and address): Hidalgo County Construction of Space Pressure Contral at the Autopsy Facility	<b>CHANGE ORDER NUMBER:</b> 002 <b>DATE:</b> 07/05/2017	<b>OWNER:</b> <input checked="" type="checkbox"/> <b>ARCHITECT:</b> <input checked="" type="checkbox"/> <b>CONTRACTOR:</b> <input checked="" type="checkbox"/> <b>FIELD:</b> <input type="checkbox"/> <b>OTHER:</b> <input type="checkbox"/>
<b>TO CONTRACTOR</b> (Name and address): Herrcon, LLC 1333 East JAsmine Avenue Ste. B. McAllen, Texas 78501	<b>ARCHITECT'S PROJECT NUMBER:</b> 30925 <b>CONTRACT DATE:</b> 10/06/16 <b>CONTRACT FOR:</b> General Construction	

**THE CONTRACT IS CHANGED AS FOLLOWS:**

(Include, where applicable, any undisputed amount attributable to previously executed Construction Change Directives)  
The deduction amount of \$10,000.00 for unused Owner's Allowance.  
The deduction amount of \$7,700.00 for Liquidated Damages.

The original Contract Sum was	\$ 82,500.00
The net change by previously authorized Change Orders	\$ 0.00
The Contract Sum prior to this Change Order was	\$ 82,500.00
The Contract Sum will be decreased by this Change Order in the amount of	\$ -10,000.00
The new Contract Sum including this Change Order will be	\$ 72,500.00

The Contract Time will be unchanged by zero (0) days.  
The date of Substantial Completion as of the date of this Change Order therefore is December 7, 2016.

**NOTE:** This Change Order does not include changes in the Contract Sum, Contract Time or Guaranteed Maximum Price which have been authorized by Construction Change Directive until the cost and time have been agreed upon by both the Owner and Contractor, in which case a Change Order is executed to supersede the Construction Change Directive.

**NOT VALID UNTIL SIGNED BY THE ARCHITECT, CONTRACTOR AND OWNER.**

<u>Half Associates, Inc.</u> <b>ARCHITECT</b> (Firm name)	<u>Herrcon, LLC.</u> <b>CONTRACTOR</b> (Firm name)	<u>Hidalgo County Purchasing Department</u> <b>OWNER</b> (Firm name)
<u>5000 West Military, Ste. 100</u> <u>McAllen, Texas 78503</u> <b>ADDRESS</b>	<u>1333 East Jasmine Avenue St. B.</u> <u>McAllen, Texas 78501</u> <b>ADDRESS</b>	<u>2802 South Business Hwy 281</u> <u>Edinburg, Texas 78539</u> <b>ADDRESS</b>
 <b>BY</b> (Signature)	 <b>BY</b> (Signature)	 <b>BY</b> (Signature)
<u>Menton J. Murray, III</u> (Typed name)	<u>Gilbert Herrera</u> (Typed name)	 (Typed name)
<u>7/5/17</u> <b>DATE</b>	<u>7/5/17</u> <b>DATE</b>	 <b>DATE</b>



# AIA® Document G702™ - 1992

## Application and Certificate for Payment

<b>TO OWNER:</b> Hidalgo County 2812 S. Bus. Hwy. 281 Edinburg, TX 78539	<b>PROJECT:</b> Autopsy Facility Modifications 3100 S. Bus. Hwy. 281 Edinburg, TX 78539	<b>APPLICATION NO:</b> 002	<b>Distribution to:</b>
<b>FROM</b> CONTRACTOR: Herrcon, LLC 1333 E. Jasmine Ave., Ste. B McAllen, TX 78501	<b>VIA</b> ARCHITECT: Half Associates, Inc. 5000 W. Military Hwy. McAllen, TX 78503	<b>PERIOD TO:</b> 07-06-17	<input type="checkbox"/> OWNER
		<b>CONTRACT FOR:</b> General Construction	<input type="checkbox"/> ARCHITECT
		<b>CONTRACT DATE:</b> 10-06-16	<input type="checkbox"/> CONTRACTOR
		<b>PROJECT NOS:</b> C16-238 / /	<input type="checkbox"/> FIELD
			<input type="checkbox"/> OTHER

### CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract. AIA Document G703™, Continuation Sheet, is attached.

1. ORIGINAL CONTRACT SUM ..... \$ 82,500.00
  2. NET CHANGE BY CHANGE ORDERS ..... \$ -10,000.00
  3. CONTRACT SUM TO DATE (Line 1 ± 2) ..... \$ 72,500.00
  4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) ..... \$ 72,500.00
  5. RETAINAGE:
    - a. 10% of Completed Work  
(Columns D + E on G703) ..... \$ 7,250.00
    - b. % of Stored Material  
(Column F on G703) ..... \$
- Total Retainage (Lines 5a + 5b, or Total in Column I of G703) ..... \$ 7,250.00
6. TOTAL EARNED LESS RETAINAGE ..... \$ 65,250.00  
(Line 4 minus Line 5 Total)
  7. LESS PREVIOUS CERTIFICATES FOR PAYMENT ..... \$ 26,876.70  
(Line 6 from prior Certificate)
  8. CURRENT PAYMENT DUE ..... \$ 38,373.30
  9. BALANCE TO FINISH, INCLUDING RETAINAGE  
(Line 3 minus Line 6) ..... \$ 7,250.00

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$ Owners Allow.	\$ -10,000.00
Total approved this month	\$	\$
<b>TOTAL</b>	\$	\$ -10,000.00
<b>NET CHANGES by Change Order</b>	\$	\$

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**CONTRACTOR:** \_\_\_\_\_  
By: Terri Peters Date: 8/10/17  
State of: Texas

Country of: Hidalgo  
Subscribed and sworn to before me this 10th day of August, 2017  
Notary Public: Terri Peters  
My commission expires: 10/01/2019



### ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor or is entitled to payment of the AMOUNT CERTIFIED.

**AMOUNT CERTIFIED** ..... \$ 38,373.30  
(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

**ARCHITECT:** \_\_\_\_\_  
By: Terri Peters Date: 8/10/17

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

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# Herocom

1333 E. Jasmine Ave. - McAllen, TX 78501

## SCHEDULE OF VALUES

Hidalgo County  
Autopsy Facility Modifications

APPLICATION NO: 2  
APPLICATION DATE: 6/28/2017  
PERIOD TO: 2/21/2017  
PROJECT NO: C16-238-07-19

A	B	C	D	E	F WORK COMPLETED		G	H	I	J	K	L
ITEM NO.	DESCRIPTION OF WORK	SCHEDULED VALUES	CHANGE ORDERS	TOTAL SCHEDULED VALUES	FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD	MATERIALS PRESENTLY STORED (NOT IN F OR G)	TOTAL COMPLETED AND STORED TO DATE	% (I / E)	BALANCE TO FINISH (C - G)	RETAINAGE	
1	General Conditions	\$8,200.00		\$8,200.00	\$6,063.00	\$2,137.00	\$0.00	\$6,200.00	100.00%	\$0.00	\$820.00	
2	Owner's Allowance	\$10,000.00		\$10,000.00	\$0.00	\$10,000.00	\$0.00	\$10,000.00	100.00%	\$0.00	\$1,000.00	
3	Selective Demolition											
4	Ceiling Demolition	\$1,600.00		\$1,600.00	\$1,500.00	\$100.00	\$0.00	\$1,600.00	100.00%	\$0.00	\$160.00	
5	Electrical Demolition	\$2,600.00		\$2,600.00	\$2,500.00	\$100.00	\$0.00	\$2,600.00	100.00%	\$0.00	\$260.00	
6	Plumbing Modifications	\$4,300.00		\$4,300.00	\$0.00	\$4,300.00	\$0.00	\$4,300.00	100.00%	\$0.00	\$430.00	
7	Pipe Insulation	\$1,900.00		\$1,900.00	\$1,800.00	\$100.00	\$0.00	\$1,900.00	100.00%	\$0.00	\$190.00	
8	Interior Metal Walls	\$1,100.00		\$1,100.00	\$0.00	\$1,100.00	\$0.00	\$1,100.00	100.00%	\$0.00	\$110.00	
9	Doors & Hardware	\$2,100.00		\$2,100.00	\$0.00	\$2,100.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$210.00	
10	HVAC Work											
11	Duckwork Demolition	\$2,100.00		\$2,100.00	\$2,000.00	\$100.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$210.00	
12	Remove/replace cooler panels	\$4,100.00		\$4,100.00	\$2,000.00	\$2,100.00	\$0.00	\$4,100.00	100.00%	\$0.00	\$410.00	
13	Heat Cable Concrete Cut	\$2,100.00		\$2,100.00	\$2,000.00	\$100.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$210.00	
14	Heat Cable	\$2,100.00		\$2,100.00	\$0.00	\$2,100.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$210.00	
15	Roof Fan Modifications	\$3,100.00		\$3,100.00	\$0.00	\$3,100.00	\$0.00	\$3,100.00	100.00%	\$0.00	\$310.00	
16	New Ductwork	\$6,100.00		\$6,100.00	\$5,000.00	\$1,100.00	\$0.00	\$6,100.00	100.00%	\$0.00	\$610.00	
17	New Ceiling	\$8,100.00		\$8,100.00	\$0.00	\$8,100.00	\$0.00	\$8,100.00	100.00%	\$0.00	\$810.00	
18	Electrical Work											
19	Light Fixture Rough In	\$4,100.00		\$4,100.00	\$3,000.00	\$1,100.00	\$0.00	\$4,100.00	100.00%	\$0.00	\$410.00	
20	Heat Strip Electrical	\$4,100.00		\$4,100.00	\$3,000.00	\$1,100.00	\$0.00	\$4,100.00	100.00%	\$0.00	\$410.00	
21	Reinstall Light Fixtures	\$7,100.00		\$7,100.00	\$0.00	\$7,100.00	\$0.00	\$7,100.00	100.00%	\$0.00	\$710.00	
22	Panel Connections	\$3,100.00		\$3,100.00	\$1,000.00	\$2,100.00	\$0.00	\$3,100.00	100.00%	\$0.00	\$310.00	
23	Test & Balance	\$0.00		\$0.00								
24	Interior Painting	\$3,600.00		\$3,600.00	\$0.00	\$3,600.00	\$0.00	\$3,600.00	100.00%	\$0.00	\$360.00	
25	Punchlist / Final	\$1,000.00		\$1,000.00	\$0.00	\$1,000.00	\$0.00	\$1,000.00	100.00%	\$0.00	\$100.00	
26	Change Order #2		-\$10,000.00	-\$10,000.00		-\$10,000.00	\$0.00	-\$10,000.00	100.00%	\$0.00	-\$1,000.00	
27	GRAND TOTAL	\$82,500.00	-\$10,000.00	\$72,500.00	\$29,863.00	\$42,637.00	\$0.00	\$72,500.00		\$0.00	\$7,250.00	

## Application and Certificate for Payment

**TO OWNER:** Hidalgo County  
 2812 S. Bus. Hwy. 281  
 Edinburg, TX 78539

**PROJECT:** Autopsy Facility Modifications  
 APPLICATION NO: 003 (Final)  
 PERIOD TO: 07-06-17

**FROM:** Hercon, LLC  
 1333 E. Jasmine Ave., Ste. B  
 McAllen, TX 78501

**VIA ARCHITECT:** Half Associates, Inc.  
 5000 W. Military Hwy.  
 McAllen, TX 78503

**Distribution to:**  
 OWNER  ARCHITECT   
 CONTRACTOR  FIELD   
 OTHER

**CONTRACT FOR:** General Construction  
**CONTRACT DATE:** 10-06-16  
**PROJECT NOS:** C16-238 /

### CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract AIA Document G703™, Continuation Sheet, is attached.

1. ORIGINAL CONTRACT SUM ..... \$ 82,500.00
2. NET CHANGE BY CHANGE ORDERS ..... \$ -10,000.00
3. CONTRACT SUM TO DATE (Line 1 + 2) ..... \$ 72,500.00
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) ..... \$ 72,500.00

#### 5. RETAINAGE:

- a. \_\_\_\_\_ % of Completed Work  
 (Columns D + E on G703) \$ 0.00
- b. \_\_\_\_\_ % of Stored Material  
 (Column F on G703) \$ 0.00

Total Retainage (Lines 5a + 5b, or Total in Column I of G703) ..... \$ 0.00

6. TOTAL EARNED LESS RETAINAGE ..... \$ 72,500.00  
 (Line 4 minus Line 5 Total)

7. LESS PREVIOUS CERTIFICATES FOR PAYMENT ..... \$ 65,250.00  
 (Line 6 from prior Certificate)

8. CURRENT PAYMENT DUE ..... \$ 7,250.00

9. BALANCE TO FINISH, INCLUDING RETAINAGE ..... \$ 0.00  
 (Line 3 minus Line 6)

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$ Qwners Allow.	\$ -10,000.00
Total approved this month	\$	\$
<b>TOTAL</b>	\$	\$ -10,000.00
<b>NET CHANGES by Change Order</b>	\$	\$

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

#### CONTRACTOR:

By: Terri Peters Date: 8/10/17

State of Texas

County of: Hidalgo

Subscribed and sworn to before

me this 10th day of August

Notary Public: Terri Peters

My commission expires: 10/01/2019



### ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... \$ 7,250.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

#### ARCHITECT:

By: Mark [Signature] Date: 8/10/17

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# Hericon

1333 E. Jasmine Ave. - McAllen, TX 78501

## SCHEDULE OF VALUES

Hidalgo County

Autopsy Facility Modifications

APPLICATION NO: 3 (Final)

APPLICATION DATE: 6/28/2017

PERIOD TO: 2/21/2017

PROJECT NO: C16-238-07-19

A	B	C	D	E	F	G	H	I	J	K	L
EM NO.	DESCRIPTION OF WORK	SCHEDULED VALUES	CHANGE ORDERS	TOTAL SCHEDULED VALUES	WORK FROM PREVIOUS APPLICATION (D + E)	WORK COMPLETED THIS PERIOD	MATERIALS PRESENTLY STORED (NOT IN F OR G)	TOTAL COMPLETED AND STORED TO DATE	% (I / E)	BALANCE TO FINISH (C - G)	RETAINAGE
1	General Conditions	\$8,200.00		\$8,200.00	\$8,200.00	\$0.00	\$0.00	\$8,200.00	100.00%	\$0.00	\$0.00
2	Owner's Allowance	\$10,000.00		\$10,000.00	\$10,000.00	\$0.00	\$0.00	\$10,000.00	100.00%	\$0.00	\$0.00
3	Selective Demolition										
4	Ceiling Demolition	\$1,600.00		\$1,600.00	\$1,600.00	\$0.00	\$0.00	\$1,600.00	100.00%	\$0.00	\$0.00
5	Electrical Demolition	\$2,600.00		\$2,600.00	\$2,600.00	\$0.00	\$0.00	\$2,600.00	100.00%	\$0.00	\$0.00
6	Plumbing Modifications	\$4,300.00		\$4,300.00	\$4,300.00	\$0.00	\$0.00	\$4,300.00	100.00%	\$0.00	\$0.00
7	Pipe Insulation	\$1,900.00		\$1,900.00	\$1,900.00	\$0.00	\$0.00	\$1,900.00	100.00%	\$0.00	\$0.00
8	Interior Metal Walls	\$1,100.00		\$1,100.00	\$1,100.00	\$0.00	\$0.00	\$1,100.00	100.00%	\$0.00	\$0.00
9	Doors & Hardware	\$2,100.00		\$2,100.00	\$2,100.00	\$0.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$0.00
10	HVAC Work										
11	Duckwork Demolition	\$2,100.00		\$2,100.00	\$2,100.00	\$0.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$0.00
12	Remove/replace cooler panels	\$4,100.00		\$4,100.00	\$4,100.00	\$0.00	\$0.00	\$4,100.00	100.00%	\$0.00	\$0.00
13	Heat Cable Concrete Cut	\$2,100.00		\$2,100.00	\$2,100.00	\$0.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$0.00
14	Heat Cable	\$2,100.00		\$2,100.00	\$2,100.00	\$0.00	\$0.00	\$2,100.00	100.00%	\$0.00	\$0.00
15	Roof Fan Modifications	\$3,100.00		\$3,100.00	\$3,100.00	\$0.00	\$0.00	\$3,100.00	100.00%	\$0.00	\$0.00
16	New Ductwork	\$6,100.00		\$6,100.00	\$6,100.00	\$0.00	\$0.00	\$6,100.00	100.00%	\$0.00	\$0.00
17	New Ceiling	\$8,100.00		\$8,100.00	\$8,100.00	\$0.00	\$0.00	\$8,100.00	100.00%	\$0.00	\$0.00
18	Electrical Work										
19	Light Fixture Rough In	\$4,100.00		\$4,100.00	\$4,100.00	\$0.00	\$0.00	\$4,100.00	100.00%	\$0.00	\$0.00
20	Heat Strip Electrical	\$4,100.00		\$4,100.00	\$4,100.00	\$0.00	\$0.00	\$4,100.00	100.00%	\$0.00	\$0.00
21	Reinstall Light Fixtures	\$7,100.00		\$7,100.00	\$7,100.00	\$0.00	\$0.00	\$7,100.00	100.00%	\$0.00	\$0.00
22	Panel Connections	\$3,100.00		\$3,100.00	\$3,100.00	\$0.00	\$0.00	\$3,100.00	100.00%	\$0.00	\$0.00
23	Test & Balance	\$0.00		\$0.00							
24	Interior Painting	\$3,600.00		\$3,600.00	\$3,600.00	\$0.00	\$0.00	\$3,600.00	100.00%	\$0.00	\$0.00
25	Punchlist / Final	\$1,000.00		\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$1,000.00	100.00%	\$0.00	\$0.00
26	Change Order #2		-\$10,000.00	-\$10,000.00	-\$10,000.00	\$0.00	\$0.00	-\$10,000.00	100.00%	\$0.00	\$0.00
27	GRAND TOTAL	\$82,500.00	-\$10,000.00	\$72,500.00	\$72,500.00	\$0.00	\$0.00	\$72,500.00		\$0.00	\$0.00