



Nationwide®

Registered Representative Addition/Change Form Private Sector Operations NW Prefill

Phone: 800-626-3112 • Fax: 877-634-0157 • nationwide.com

1. Purpose

This form is used to change the registered representative of record for the plan listed below.

2. Case Information

Case Number: 196-60000 Case Name: HIDALGO COUNTY

3. Remove Fee Based Advisor/RIA Firm

ALL EXISTING INDIVIDUALS AND/OR FIRMS NOT LISTED BELOW WILL BE RETAINED

Carolyn Thornton

E-signed 2022-04-07 02:22PM CDT
carolyn.thornton@co.hidalgo.tx.us

Remove the current:

Individual(s) - Name(s): _____

Firm(s) - Name(s): LINCOLN FINANCIAL SECURITIES C

NOTE: If the Fee Based Advisor/Firm being removed has ISB access, the Fee Based Advisor/Firm's ISB access will be removed and all existing models will be closed.

4. New Registered Representative Information

To Be Completed by New Registered Representative(s)

I agree to become a new registered representative of record for the above plan. I understand that I will be paid in accordance to my licensing appointment established with Nationwide and within the terms of any selling agreement between Nationwide and my brokerage firm or agency, if applicable.

I accept the compensation structure as it exists for this plan subject to any agreed to change or amendment by Nationwide and my brokerage firm or agency.

New Registered Representative:

Signature: [Signature] Date: 4/6/22

Name (print): WALTER JAMES REYNA Split (whole % only): 100 %

Phone: 956 682 4196 Email: walter@walterreyna.com

Commission Designee (Name of Firm, Broker Dealer, or Agency): J.W. COLE FINANCIAL, INC.

2nd Registered Representative:

Signature: [Signature] Date: 4/6/22

Name (print): James A. Reyna Split (whole % only): _____ %

Phone: 956 682 4196 Email: anthony@walterreyna.com

Commission Designee (Name of Firm, Broker Dealer, or Agency): J.W. Cole Financial, Inc.

3rd Registered Representative:

Signature: _____ Date: _____

Name (print): _____ Split (whole % only): _____ %

Phone: _____ Email: _____

Commission Designee (Name of Firm, Broker Dealer, or Agency): _____

4th Registered Representative:

Signature: _____ Date: _____

Name (print): _____ Split (whole % only): _____ %

Phone: _____ Email: _____

Commission Designee (Name of Firm, Broker Dealer, or Agency): _____

NOTE: Split MUST be provided for more than two (2) Registered Representatives. An even split will be assumed for the compensation structure for two (2) Registered Representatives unless indicated otherwise. The Email Address will be used to provide you a confirmation of receipt for the change requested.

5. Managed Account Services (MAS) Information

If Managed Account Services (MAS) is offered on this Plan, this signed document will constitute the plan sponsor's direction to RIA Services and Nationwide to update the MAS plan information with the new Investment Advisor Representative/Advisory Firm information indicated within this document.

Additionally, the signature below will constitute direction to pay any MAS compensation due under the Plan, and reflected in the currently effective Schedule of Fees document, to the new Investment Advisor Representative/Advisory Firm identified in this document. The signature below will constitute direction to pay any MAS compensation due under the Plan, as reflected in the currently effective Schedule of Fees document, to the new Investment Advisor Representative/Advisory Firm identified in this document. This document may also constitute direction to discontinue MAS compensation if the Investment Advisor Representative/Advisory Firm does not have the appropriate license to receive solicitor comp or if the firm doesn't have a solicitation agreement in place with the RIA. If the amounts paid, as a result of this Plan Advisor/Service Provider change, decrease you will be notified in writing of that reduced amount. The amounts paid under the currently effective Schedule of Fees document cannot increase unless a new Schedule of Fees document is executed by the parties.

6. Trustee/Plan Sponsor Authorization

This form will be used as formal notification that I am replacing the current registered representative of record with the new registered representative(s) listed on the previous page. The registered representative(s) will be paid in accordance with their licensing appointment at Nationwide and within the terms of any selling agreement between Nationwide and their brokerage firm or agency as may be amended from time-to-time, if applicable.

I understand that this change will be effective once this form is received by Nationwide, and is considered to be in good order. The change will not be retroactive, and will become effective either the 1st or 16th of the month, following receipt.

Trustee/Plan Sponsor:

Full Name (please print): Richard Cortez, County Judge

Signature: Richard Cortez

Date: _____

7. Submission Instructions

Form can be faxed to: 877-634-0157

or

Mailed to the attention of:

Nationwide Financial
Private Sector Retirement Plans
PO Box 183046
Columbus, Ohio 43218-3046

CLEAR FORM



Flexible Plan Investments, Ltd.
Your partner in active wealth management

flexibleplan.com • 248-642-6640 • 800-347-3539 • Fax: 248-642-6741 • 3883 Telegraph Road, Suite 100 • Bloomfield Hills, MI 48302

CLIENT AUTHORIZATION FOR CHANGE OF BROKER-DEALER FIRM/RIA FIRM

DATE: _____

TO: Flexible Plan Investments, Ltd.
3883 Telegraph Road, Ste. 100
Bloomfield Hills, MI 48302
Fax: 248-642-6741

To Whom It May Concern:

Custodian/Provider Name: Nationwide
Account #: 196-60005
Client Name: Hidalgo County

Please change the Broker-Dealer/ RIA Firm on my/our account referenced above:
(new broker-dealer/RIA Firm associated with referral agreement)

FROM: Lincoln Financial Securities Corporation
TO: JW Cole
REP NAME: James A. Reyna & Walter J. Reyna
REP CODE/ID: ARW
REP ADDRESS: 5315 N. McColl Rd
McAllen, TX 78504

REP PHONE: 956 682 4196

REP EMAIL: anthony@walterreyna.com

PRINT NAME and SIGN BELOW:

Hidalgo County
CLIENT NAME (Print)

JOINT CLIENT NAME (Print)

Richard F. Cruz
CLIENT SIGNATURE

JOINT CLIENT SIGNATURE

CLIENT ADDRESS: _____

EXECUTED as of the day and year first written above.

APPROVED BY COMMISSIONERS' COURT ON April 5, 2022. *MS*

Agenda Item No. 85335

Executive Office: ms

VENDOR:

Walter J Reyna, Inc.

COUNTY:

COUNTY OF HIDALGO

N/A
N/A

Richard F Cortez
Hon. Richard F. Cortez, County Judge

APPROVED AS TO FORM

Office of the Criminal District Attorney,
Ricardo Rodriguez, Jr.

ATTEST:

N/A
N/A, Assistant District Attorney

Arturo Guajardo Jr
Arturo Guajardo, Jr., County Clerk



Ray Salazar

21 A. American Rescue Plan Act:

- 1. AI-85279 ARPA - American Rescue Plan Act Funds (1290):
Discussion, consideration, and action to approve the use of ARPA Relief Funds for the construction of an Emergency Management Facility to respond to the mitigation of the ongoing public health emergency and any future public health emergencies. (Emergency Management Facility - ARPA-22-110-078)

B. Budget Appropriations:

- 1. AI-85331 County Clerk Records Management (1237):
Approval of 2022 appropriation of funds from the Co. Clerk Records Management Fund (1237) Unassigned Fund Balance in the amount of \$92,976.00 to fund adopted salary expenses.
- 2. AI-85213 Pct.1 R&B (1200):
Approval of 2022 appropriation of funds from RD MAINT (1200) fund balance in the amount of \$200,000.00 to fund general supplies and repair/maintenance.
- 3. AI-85330 Pct.3 Rd Maint (1200):
Approval of 2022 appropriation of funds in the amount of \$600,000.00 from the R&B unassigned fund balance for Road Maintenance program to fund operating expenditures.
- 4. AI-85212 **Special Revenue & Proprietary Funds:**
Approval of 2022 appropriation of funds to fund various PO rollovers. *2021*
- 5. AI-85199 CC Records Archive (1100):
Approval of appropriation of funds from the General Fund Restricted Fund Balance - CC Records Archive for CC Records Archive in the amount of \$104,880.00 to fund the preservation of books.

22. Purchasing Department - Notes: *Eduardo*

- A. FOR ANY CONTRACT(S) AWARDED AND APPROVED UNDER THIS AGENDA, EXECUTED COPIES OF THE CONTRACT(S) WILL BE AVAILABLE ON THE COUNTY INTRA-NET WEBSITE AND WILL BE FORWARDED VIA E-MAIL, FAX OR HAND DELIVERED TO HIDALGO COUNTY AUDITOR'S OFFICE.
- B. ANY AND ALL REQUESTS FOR PAYMENT(S) APPROVED WILL BE SUBJECT TO COUNTY AUDITORS PROCESSING PROCEDURES INCLUDING AUTHORITY FOR COUNTY TREASURER TO ISSUE PAYMENT (S)/CHECK(S).

ok A. **Hidalgo County**

- 1. AI-85335 Requesting approval for County Judge to execute the necessary documents as requested by our local service representative, Walter J. Reyna, Inc., due to a switch in broker dealer from Lincoln Financial Securities Corporation to JW Cole. in connection with the 457 Deferred Compensation Plan

- 2. AI-85319 A. Requesting exemption from competitive bidding requirements under Texas Local Government Code 262.024(a)(4), a professional service;
- B. Approval of letter of engagement with "The Espinosa Law Firm" for the provision of legal services to the Hidalgo County Civil Service Commission. Subject to compliance with requested 1295 form.

- 3. AI-85292 A. Requesting approval of the following Change Order in connection with the construction of the Hidalgo County Courthouse from contracted vendor, Morganti Texas, Inc.:

Description	Total Amount
Change Order MTI-77	\$96,588.00
*CPR 60 Café Changes	

- B. Requesting authority for County Judge or Mr. Valde Guerra, Hidalgo County CEO, to sign required documents.











C-21-0553-06-15 _ 457 Plan - Switch in Broker Services

Final Audit Report


2022-04-07

Created:	2022-04-06
By:	Jaime Cortez (jaime.cortez@co.hidalgo.tx.us)
Status:	Signed
Transaction ID:	CBJCHBCAABAA-IFVcykdeThVnfShnbY_xfnzwWbkimRa


"C-21-0553-06-15 _ 457 Plan - Switch in Broker Services" History

-  Document created by Jaime Cortez (jaime.cortez@co.hidalgo.tx.us)
2022-04-06 - 10:38:08 PM GMT
-  Document emailed to MONICA SALINAS (monica.salinas@co.hidalgo.tx.us) for approval
2022-04-06 - 10:40:27 PM GMT
-  Email viewed by MONICA SALINAS (monica.salinas@co.hidalgo.tx.us)
2022-04-07 - 2:24:27 PM GMT
-  Document approved by MONICA SALINAS (monica.salinas@co.hidalgo.tx.us)
Approval Date: 2022-04-07 - 2:25:05 PM GMT - Time Source: server
-  Document emailed to Richard F. Cortez (countyjudge@co.hidalgo.tx.us) for signature
2022-04-07 - 2:25:07 PM GMT
-  Email viewed by Richard F. Cortez (countyjudge@co.hidalgo.tx.us)
2022-04-07 - 2:29:08 PM GMT
-  Document e-signed by Richard F. Cortez (countyjudge@co.hidalgo.tx.us)
Signature Date: 2022-04-07 - 2:47:58 PM GMT - Time Source: server
-  Document emailed to Carolyn Thornton (carolyn.thornton@co.hidalgo.tx.us) for approval
2022-04-07 - 2:48:00 PM GMT
-  Email viewed by Carolyn Thornton (carolyn.thornton@co.hidalgo.tx.us)
2022-04-07 - 3:07:29 PM GMT
-  Document approved by Carolyn Thornton (carolyn.thornton@co.hidalgo.tx.us)
Approval Date: 2022-04-07 - 7:22:09 PM GMT - Time Source: server



 Document emailed to Arturo Guajardo Jr (arturo.guajardo@co.hidalgo.tx.us) for signature


2022-04-07 - 7:22:12 PM GMT

 Email viewed by Arturo Guajardo Jr (arturo.guajardo@co.hidalgo.tx.us)

2022-04-07 - 7:25:01 PM GMT

 Document e-signed by Arturo Guajardo Jr (arturo.guajardo@co.hidalgo.tx.us)


Signature Date: 2022-04-07 - 7:26:17 PM GMT - Time Source: server

 Document emailed to Carolyn Thornton (carolyn.thornton@co.hidalgo.tx.us) for approval

2022-04-07 - 7:26:20 PM GMT

 Email viewed by Carolyn Thornton (carolyn.thornton@co.hidalgo.tx.us)

2022-04-07 - 7:29:25 PM GMT

 Document approved by Carolyn Thornton (carolyn.thornton@co.hidalgo.tx.us)

Approval Date: 2022-04-07 - 7:29:55 PM GMT - Time Source: server

 Agreement completed.

2022-04-07 - 7:29:55 PM GMT

