

CITY OF HUBER HEIGHTS STATE OF OHIO Public Records Commission Meeting December 15, 2022 9:00 A.M.

City Hall – 6131 Taylorsville Road – City Council Conference Room

- 1. Call To Order/Roll Call
- 2. **Approval of Minutes**
 - A. June 9, 2022
- 3. <u>Topics of Discussion</u>

Pending Business

New Business

- A. Public Records Retention and Disposal Schedules
- B. City Public Records Policy
- C. City Records Storage Areas and Systems
- D. Public Records Processing and Related Issues

4. Adjournment

AI-8869 New Business A.

Public Records Commission Meeting Date: 12/15/2022

Public Records Retention and Disposal Schedules

Submitted By: Anthony Rodgers **Department:** City Council

Subject

Public Records Retention and Disposal Schedules

Purpose and Background

The following RC-2 Forms have been submitted for approval by the Public Records Commission (see attached):

- Human Resources
- Tax Division

The following RC-3 Forms have been submitted for approval by the Public Records Commission (see attached):

- Human Resources

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Source of Funds Cost Recurring Cost (Yes/No)

N/A N/A N/A

Attachments

RC-2 Form - Human Resources

RC-2 Form - Tax

RC-3 Form - Human Resources



Ohio History Connection State Archives of Ohio Local Government Records Program 800 E. 17th Avenue Columbus, Ohio 43211- 2474 614.297.2553 localrecs@ohiohistory.org www.ohiohistory.org/l gr

RECORDS RETENTION SCHEDULE (RC-2) - Part 1

See instructions before completing this form. Must be submitted with PART 2

Section A: Local Government Unit			
City of Huber Heights		Human Resources Department	
(Local Government Entity)		(Unit)	
	Katie Knisley	Human Resources Director	12/15/22
(Signature of Responsible Official)	(Name)	(Title)	(Date)
Section B: Records Commission			
City of Huber Heights Public Records Co	ommission	(937) 233-14	23
		(Telephone Nu	ımber)
6131 Taylorsville Road	Huber Heights	45424	Montgomery/Miami
(Address)	(City)	(Zip Code)	(County)
I hereby certify that our records commissi schedules listed on this form and any con records series from being destroyed, transknowingly disposed of which pertains to a by this commission.	tinuation sheets. I further certif sferred, or otherwise disposed	y that our commission will make every of in violation of these schedules and the	effort to prevent these nat no record will be
Records Commission Chair Signature	Date		
Section C: Ohio History Connection -	State Archives		
Signature	Title	Da	nte
Section D: Auditor of State			
Signature	Title	Da	ute

Please Note: The State Archives retains RC- 2 forms permanently. It is strongly recommended that the Records

Commission retain a permanent copy of this form

See instructions before completing this form.

		ıman Resources	Department		
(Local Government)	nent Entity)	(Unit)			
(1) Schedule Number	(2) Record Title and Description	(3) Retention Period	(4) Media Type	(5) For use by Auditor of State or LGRP	(6) RC- 3 Required by LGRP
510-01	Policies, Procedures, Rules and Regulations	Retain 3 years after revised, superseded or discontinued.	Multi		
510-02	W-4 Forms	Retain until superseded or employee terminates. No RC-3 form necessary.	Paper		
510-03	Transient Correspondence or Communication Records	May be destroyed in the normal course of business as soon as considered of no value to the person holding the record.			
510-04	Unsolicited Correspondence/Unsolicited Mail/Unsolicited Email and Similar Unsolicited Communication.	May be destroyed in the normal course of business as soon as considered of no value to the person receiving the record.			
510-05	Copies - Reading, Informational and Reference (Originals maintained)		Multi		
510-06	Drafts and Informal Notes (Consisting of transitory information used to prepare the official record in any form)		Multi		
510-07	Blank Forms	obsolete or superseded.	Multi		
510-08	Electronic Mail Systems (Pertains only to messages that are records as defined in ORC Section 149.011(G) and 149.43)	Retain email that has a significant administrative,	Computer		

fiscal, legal or historical value. Maintain according to content. Refer to corresponding RC-2 form. Erase email that has no significant value.

City of Huber Heights	Human Resources Department	
(Local Government Entity)	(Linit)	

(1)	(2)	(3)	(4)	(5)	(6)
Schedule Number	Record Title and Description	Retention Period	Media Type	For use by Auditor of State or	RC- 3 Required by
				LGRP	LGRP
510-09	General Correspondence – (All sent and received correspondence, in any medium, that serves to document the organization, functions, policies, decisions, procedures, operations or other activities of the public office)	Retain 1 year and no longer of an administrative, legal or fiscal value. File according to content.	Multi		
510-10	Bulletins, Posters, General Notices and Displays	May be destroyed in the normal course of business as soon as considered to be of no value by the person holding the record.			
510-11	Professional Organization and Association Files	Retain 1 year and no longer of an administrative value.	Multi		
510-12	Facsimile Logs/Cover Sheets/Confirmation Notices and Buffer Printouts	Maintain until no longer of an administrative, fiscal, legal or historical value.	Multi		
510-13	Receipts/Receipt Books	Retain 2 years; provided audited	Paper		
510-14	ID Cards	Destroy upon termination or replacement.	Multi		
510-15	Job/Position Descriptions	Retain 1 year after superseded.	Multi		
510-16	Professional and Trade Magazines, Catalogs, Reference Publications and Directories	May be destroyed in the normal course of business as soon as considered to be of no value by the person holding the record.			
510-17	Computer Generated Administrative and Fiscal Reports (Non-specific and periodic that may be recreated)	May be destroyed in the normal course of business as soon as considered to be of no value by the person holding the record.			

	per Heights	Human Resource	es Department		
(Local Governr	nent Entity)	(Unit)			
(1) Schedule Number	(2) Record Title and Description	(3) Retention Period	(4) Media Type	(5) For use by Auditor of State or LGRP	(6) RC- 3 Required by LGRP
510-18	Court Orders for Payroll Deduction	Retain until employee terminates or order rescinded. No RC-3 form necessary.	Paper		
510-19	Planning/Scheduling/Calendar/Training Information and Data (On display boards, erasable and dry-erase boards, chalkboards, easel pads and electronic media)	Continually updated, revised, completed, superseded or erased.	Multi		
510-20	Hourly/Daily/Weekly/Monthly and Annual Appointment Books, Records, Calendars, Schedules, Organizer and Planner	Continually updated, revised, completed, superseded or erased.	Multi		
510-21	Employee Withholding Requests		Paper		
510-22	Garnishment Orders		Paper		
510-23	Lists/Rosters/Informational Directories (Containing employee contact information)		Multi		
510-24	EEO, Sexual Harassment, Violence in the Workplace Complaints	Retain 7 years and no longer of any administrative or legal value.			
510-25	Photo File - Photographs, Negatives and Electronic Images	Retain images that have significant legal, fiscal, administrative or historical value. Maintain significant images according to content. Refer to corresponding RC-2 form. Erase images that have no significant			

See instructions before completing this form.

City of Hu	ber Heights Hu	ıman Resources	Department		
(Local Governn		(Unit)			
(1) Schedule Number	(2) Record Title and Description	(3) Retention Period	(4) Media Type	(5) For use by Auditor of State or LGRP	(6) RC- 3 Required by LGRP
510-26	Public Employment Risk Reduction Program Documents	Retain 5 years and no longer of an administrative or legal value.	Multi		
510-27	Completed Surveys	Retain 2 years and no longer of an administrative or legal value.			
510-28	Training Manuals - Training Records	Retain 2 years and no longer of an administrative or legal value.	Multi		
510-29	Hiring Files (Vacancy postings and applications, resumes, background and interview questions from applicants not hired)	Retain 2 years after position filled or decision not to fill the position is made.	Paper		
510-30	Personnel Files	Purge 2 years after employee leaves municipal service. Retain permanent employee records including payroll status, ID card, probationary statement, performance evaluation, OPERS/ OP&FPF, letters of appointment, resignation, and retirement, application/ resume, personnel actions, training, application for OPERS refund or waiver etc.).			
510-31	Advertisements (Classified advertisements and internet postings)		Multi		
510-32	EEO and I-9 Immigration Verification Forms	Retain 1 year after date employment ends; provided	Paper		

audited.

City of Huber Heights	Human Resources Department	
(Local Government Entity)	(Unit)	

(1)	(2)	(3)	(4)	(5)	(6)
Schedule	Record Title and Description	Retentio	Media Type	For use by	RC- 3
Number	Trocord This and Bosonphon	n Period	Would Typo	Auditor of	Required
				State or	by
				LGRP	LGRP
510-33	Payroll (Original time sheets, payroll input sheets,	Retain 3 years.	Multi		
	vacation and sick leave requests)	-			
510-34	Workers Compensation (Case files, premiums	Retain until	Paper		
	and correspondence concerning rates, payments,				
	FMLA, ADA, EAP, etc.)	Limitations/			
		Retain 7 years after employee			
		separation.			
510-35	Solicited Applications for Employment	Retain 2 years.	Paper		
	(Application, resumes, and completed forms)	·			
510-36	Unsolicited Applications for Employment	Retain until no	Multi		
		longer of			
		administrative			
510-37	Accident Claims/Reports (City vehicle and	value. Retain 6 years	Paper		
510-57	property involved with accidents)	after claim is	Тарет		
		closed.			
510-38	Table of Organization/Organizational Charts	Retain until	Multi		
510-36	Table of Organization/Organizational Charts	superseded.	Watti		
		No RC-3 form			
		necessary.			
510-39	Budget Work Sheets	Retain 5 years.	Paper		
					Ш
510-40	Case Files (City and/or employee named	Retain 5 years	Paper		
	defendant in lawsuit)	after case closed.			
510-41	Insurance (Policies and explanation of benefits)	Retain 1 year	Paper		
		after policy ends, and if no claims			
		pending.			Ш
510-42	CDL (Commercial Driver's License alcohol misuse		Paper		
	and controlled substances use prevention	established by			
	program records for Public Works Division	CFR.			
	employees; including DOT physical exam reports, memos relating to test results, and random pool				
	listings)				
510-43	Reports - In House	Retain 5 years.	Paper		
					$ \sqcup $
510-44	Reports – External	Retain until no	Paper		
		longer of			
		administrative			
		value.			

City of Huber Heights	Human Resources Department	
(Local Government Entity)	(Unit)	

(1)	(2)	(3)	(4)	(5)	(6)
Schedule	Record Title and Description	Retention	Media Type	For use by	RC- 3
Number	Trees, a rine and 2 compliant	Period		Auditor of	Required
110111501		1 0110 0		State or	by
				LGRP	LGRP
510-45	Boards and Commissions Appointment Files	Retain 10 years	Paper	LOIN	2011
0.0.0	Dearde and Commissions Appending No.	after term expires	. αροι		
		or after			
		resignation.			
510-46	Grievance Hearing Files	Retain 2 years	Paper		
		after resolution.			_
510-47	Reference Materials	Retain until	Paper		
510-47	Reference Materials	superseded or	арег		
		replaced.			
		_			
510-48	Loans and Grant Applications	Retain 3 years;	Multi		
		provided audited.			
510-49	Personnel Appeal Board (Agendas, minutes, and	Permanent	Multi		
	files)				
510-50	Collective Bargaining Agreements	Retain 15 years	Multi		<u> </u>
510-50	Collective Dargaining Agreements	after termination of			
		agreement.			
510-51	Guardian Tracking Database	Permanent	Electronic		
		Record of			
		Disciplinary Action			
		to retain 7 years after date of			
		discipline or the			
		expiration date			
		according to			
		respective CBA			
		and/or Personnel			
		Manual.			
510-52	Guardian Tracking Original Documents		Paper		
		longer of			
		administrative			Ш
		value. No RC-3			
510-53	Personnel Record of Disciplinary Action	form necessary. Retain 7 years	Multi		
510-55	i ersonner Necord or Disciplinary Action	after date of	ividiti		
		discipline or the			
		expiration date			
		according to			
		respective CBA			
		and/or Personnel			
		Manual.			

City of H	uber Heights Hu	uman Resources	Department		
(Local Governm	nent Entity)	(Unit)			
(1) Schedule Number	(2) Record Title and Description	(3) Retention Period	(4) Media Type	(5) For use by Auditor of State or LGRP	(6) RC- 3 Required by LGRP
510-54	Personnel File-Background Investigation	Retain 1 year after date of hire.	Multi		
510-55	Unemployment Compensation Case Files	Retain 4 years after date of final payment.	Paper		
510-56	Annual Driver's License Checks (For Insurance purposes)	Retain 3 years.	Multi		



Ohio History Connection
State Archives of Ohio
Local Government Records Program
800 E. 17th Avenue
Columbus, Ohio 43211-2474
614.297.2553
localrecs@ohiohistory.org
www.ohiohistory.org/lgr

RECORDS RETENTION SCHEDULE (RC-2)- Part 1

See instructions before completing this form. Must be submitted with PART 2
Section A and Section B must be filled out and signed by local government before submission to the State Archives

Section A: Local Government Unit								
City of Huber Heights	Division of Taxation							
(Local Government Entity)		(Unit)						
	Robin Smith	Tax A	dministrator	12/15/22				
(Signature of Responsible Official)	(Name)	(Title)		(Date)				
Section B: Records Commission	See	See ORC 149.38 – ORC 149.412 for Records Commiss						
City of Huber Heights Public F	Records Commission		937-233-1423					
6131 Taylorsville Road	Huber Heights	(Telephone Number) 45424 Montgomery/Mia						
(Address)	(City)	(Zip Code)	(County)					
form and any continuation sheets. I destroyed, transferred, or otherwise	nmission met in an open meeting, as a further certify that our commission we disposed of in violation of these schoor request. This action is reflected in	will make every effort to edules and that no rec	to prevent these records series fro ord will be knowingly disposed of	m being				
Records Commission Chair Signature	e Date							
Section C: Ohio History Connection	- State Archives							
Signature	Title		Date					
Section D: Auditor of State								
Signature	Title		Date					

Please Note: The State Archives retains RC-2 forms permanently. It is strongly recommended that the Records Commission retain a permanent copy of this form

•	copy of this form								
(1) Schedule Number	(2) Record Title and Description	(3) Retention Period	(4) Media Type	(5) For use by Auditor of State or LGRP	(6) RC-3 Required by LGRP				
507-01	Policies, Procedures, Rules and Regulations	Retain 6 years after revised, superseded, or discontinued.	Multi						
507-02	Ohio Public Records Compliance Folder (May contain appropriate RC-1 RC-@ or RC-3 forms)	Retain 25 years after revised, superseded, or discontinued.	Paper						
507-03	Transient Correspondence of Communication Records	May be destroyed in the normal course of business as soon as considered to be of no value by the person holding the record.	Multi						
507-04	Unsolicited Correspondence/Unsolicited Mail/Unsolicited Email and similar Unsolicited Communications	May be destroyed in the normal course of business as soon as considered to be of no value by the person holding the record.	Multi						
507-05	Copies – Reading, Informational and Reference (Originals maintained)	Retain until no longer of administrative value.	Multi						
507-06	Drafts and Informal Notes (Consisting of transitory information used to prepare the official record)	Retain until no longer of administrative value.	Multi						
507-07	Blank Forms	Retin until obsolete or superseded.	Multi						
507-08	Electronic Mail Systems (Pertains only to messages that are records as defined in ORC Section 149.011 (G) and 149.43)	Retain email that has a significant administrative, fiscal, legal or historical value. Maintain according to content. Refer corresponding RC-2. Erase email that has no significant value.	Electronic						
507-09	General Correspondence (All sent and received correspondence in any medium, that serves to document the organization, functions, policies, decisions	Retain 1 year and no longer of administrative, legal, or fiscal value. File according to content.	Multi						
507-10	Bulletins, Posters, General Notices and Displays	Retain until no longer administratively necessary.	Multi						
507-11	Professional Organization and Association Files	Retain 1 year and no longer of administrative value.	Multi						

(1) Schedule Number	(2) Record Title and Description	(3) Retention Period	(4) Media Type	(5) For use by Auditor of State or LGRP	(6) RC-3 Required by LGRP
507-12	Facsimile Logs/Cover Sheets/Confirmation Notices and Buffer Printouts	Retain until no longer of administrative, fiscal, legal or historical value.	Paper		
507-13	Declaration of Estimated Income Tax Forms-Business and Individual	Retain 6 years.	Multi		
507-14	Quarterly Payments Statements	Retain 6 years.	Multi		
507-15	Individual Income Tax Returns and Attachments	Retain 6 years.	Multi		
507-16	Corporate or Partnership Income Tax Returns and Attachments	Retain 6 years.	Paper		
507-17	Refund Requests and Attachments	Retain 6 years.	Multi		
507-18	Withholding Tax Returns-Monthly and Quarterly	Retain 6 years.	Multi		
507-19	Withholding Tax Reconciliations and W-2s	Retain 6 years.	Paper		
507-20	Payment Receipts	Retain 6 years.	Paper		
507-21	Taxpayer Correspondence	Retain 6 years.	Multi		
507-22	Daily Cash Receipt Records (Distribution Reports)	Retain 6 years.	Paper		
507-23	Database Transaction Printouts	Retain 2 years: provided audited.	Paper		
507-24	Delinquent Account Records	Retain until paid or deemed uncollectible.	Paper		
507-25	Uncollectible Account Records	Retain 6 years.	Paper		
507-26	Postal Address Changes	Retain 3 years.	Paper		
507-27	Copies of Refund Checks	Retain 3 years: provided audited.	Paper		

(1) Schedule Number	(2) Record Title and Description	(3) Retention Period	(4) Media Type	(5) For use by Auditor of State or LGRP	(6) RC-3 Required by LGRP
507-28	Tax Data Reports	Retain 3 years: provided audited.	Paper		
507-29	Legal Collection Letters	Retain 6 years after paid or discharged.	Paper		
507-30	Tax Office Employee's Timesheets	Retain 6 years.	Paper		
507-31	Voicemail	May be destroyed in the normal course of business as soon as considered of no values by the person holding the records.	Voicemail		
507-32	Surveillance Video-Cameras in Tax Division	Ongoing recording that is rewritten when tape fills. Retain until no longer of administrative use.	Electronic		



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800 E. 17th Avenue
Columbus, Ohio 43211-2474
614.297.2553
localrecs@ohiohistory.org
www.ohiohistory.org/lgr

For State Archives – LGRP Use Only							
Date Received:							
Date Reviewed:							
Items requested for transfer: YES NO							
If YES, attach copy of transfer form							

CERTIFICATE OF RECORDS DISPOSAL (RC-3) - Part 1

See instructions before completing this form. Must be submitted with PART 2

City of Huber Heights	Human Resources Department Katie Knisley		(937) 237-5847	City Hall
(Local Government Entity)	(Unit)	(Contact Person)	(Telephone Number)	(Location of Records)
6131 Taylorsville Road	Huber Heights	45424	Montgomery/Miami	12/15/22
(Address)	(City)	(Zip Code)	(County)	(Date Mailed to LGRP)

I hereby certify that the records listed on this RC-3 and attachments are being disposed of according to the time periods stated on the *approved Records Retention*Schedules (RC-2) listed below. No record will be knowingly disposed of which pertains to any pending legal case, claim, action or request. In addition, microfilm created in place of any original record listed on this RC-3 will be stored according to ANSI Standards and all microfilm master negatives will only be used to create use copies. It is a responsibility of the local government to ensure the preservation and accessibility of any records retained in electronic format.

	Human Resources Director	(937) 237-5847
(Signature of Responsible Official)	(Title)	(Telephone number)

To have this form returned to the Records Commission electronically, include an email address: arodgers@hhoh.org

Please Note: The State Archives retains RC-3 forms for seven years. It is strongly recommended that the Records Commission retain a permanent copy of this form.



CERTIFICATE OF RECORDS DISPOSAL (RC-3) – Part 2

See instructions before completing this form. Must be submitted with Part 1

City of Huber Heights

Human Resources Department

(Political Subdivision Name) (Unit)

(1) Records Series Title		(2) rization for sposal Date the RC-2 was approved by the Records Commission	(3) Media Type To be destroyed	(4) Media Type To be retained (if any)	(5) Inclusive Dates of Records From To	(6) Proposed date of destruction (15 business days from receipt by LGRP)	(7) For LGRP use
Transient Correspondence or Communication Records	510-03	05/16/19	Multi	N/A	01/01/90-12/31/22	01/15/23	
Unsolicited Correspondence/Unsolicited Mail and Similar Unsolicited Communication	510-04	05/16/19	Multi	N/A	01/01/90-12/31/22	01/15/23	
Blank Forms	510-07	05/16/19	Multi	N/A	01/01/90-12/31/21	01/15/23	
General Correspondence (All sent and received correspondence, in any medium, that serves to document the organization, functions, policies, decisions, procedures, operations, or other activities of the public office)	510-09	05/16/19	Multi	N/A	01/01/85-12/31/21	01/15/23	
Job/Position Descriptions	510-15	05/16/19	Multi	N/A	01/01/21-12/31/21	01/15/23	
Hiring Files (Vacancy postings and applications, resumes, background and interview questions	510-29	05/16/19	Paper	N/A	01/01/19-11/30/20	01/15/23	



CERTIFICATE OF RECORDS DISPOSAL (RC-3) – Part 2

See instructions before completing this form. Must be submitted with Part 1

City of Huber Heights

Human Resources Department

(Political Subdivision Name) (Unit)

(1) Records Series Title		(2) rization for sposal Date the RC-2 was approved by the Records Commission	(3) Media Type To be destroyed	(4) Media Type To be retained (if any)	(5) Inclusive Date Records From	To	(6) Proposed date of destruction (15 business days from receipt by LGRP)	(7) For LGRP use
from applicants not hired)								
EEO and I-9 Verification Forms	510-32	05/16/19	Paper	N/A	01/01/19-12/31	1/20	01/15/23	
Payroll (Original time sheets, payroll input sheets, vacation and sick leave requests)	510-33	05/16/19	Multi	N/A	12/23/18-12/3 ⁻	1/19	01/15/23	
Solicited Applications for Employment (Applications, resumes, and completed forms)	510-35	05/16/19	Paper	N/A	01/01/19-12/3	1/20	01/15/23	
Unsolicited Applications for Employment	510-36	05/16/19	Multi	N/A	01/01/19-12/3	1/20	01/15/23	
Accident Claims/Reports (City Vehicle and property involved with accidents)	510-37	05/16/19	Paper	N/A	01/01/17-12/3	1/17	01/15/23	
Insurance (Policies and explanation of benefits)	510-41	05/16/19	Paper	N/A	01/01/13-12/31	1/17	01/15/23	
CDL (Commercial Driver's License alcohol misuse and controlled substances use prevention program records for Public Works Division	510-42	05/16/19	Paper	N/A	01/01/03-12/3 [,]	1/17	01/15/23	



CERTIFICATE OF RECORDS DISPOSAL (RC-3) – Part 2

See instructions before completing this form. Must be submitted with Part 1

City of Huber Heights

Human Resources Department

(Political Subdivision Name) (Unit)

(1) Records Series Title	Di	(2) rization for sposal	zation for Media Type Media Type posal To be To be retained		ia Type Media Type Inclusive Dates of		Media Type Media Type Inclusive Dates To be To be retained Records		(6) Proposed date of destruction	(7) For LGRP use
	Schedule Number	Date the RC-2 was approved by the Records Commission	destroyed	(if any)	From	То	(15 business days from receipt by LGRP)			
employee, including DOT physical exam reports, memos relating to test results, and random pool listings)										
Grievance Hearing Files	510-46	05/16/19	Paper	N/A	01/01/20	-12/31/20	01/15/23			
Guardian Tracking Original Documents	510-52	05/16/19	Paper	N/A	01/01/12	-12/31/19	01/15/23			
Personnel Record of Disciplinary Action	510-53	05/16/19	Multi	N/A	01/01/85	-12/31/20	01/15/23			
Personnel File- Background Investigation	510-54	05/16/19	Multi	N/A	01/01/20	-12/31/21	01/15/23			
Unemployment Compensation Case Files	510-55	05/16/19	Paper	N/A	01/01/18	-12/31/18	01/15/23			