

PROPOSAL

City of La Habra
Civic Center
110 E. La Habra Blvd.
La Habra, California 90631

Gentlemen:

The undersigned hereby proposes to perform all work for which a contract may be awarded him and to furnish any and all plant, labor, services, materials, tools, equipment, supplies, transportation, utilities, and all other items and facilities necessary therefor as provided in the Contract Documents, and to do everything required therein for the project specifically set forth in documents entitled:

OLD RESERVOIR PARK IMPROVEMENT PROJECT CITY PROJECT NO. 2-P-20

together with appurtenances thereto, all as set forth on the drawing and in the specifications and other Contract Documents; and, he further proposes and agrees that if this Proposal is accepted he will contract in the form and manner stipulated to perform all the work called for by drawings, specifications and other Contract Documents and to complete all such work in strict conformity therewith within the time limits set forth therein, and that he will accept as full payment therefor the prices set forth in the Bid Schedule forming a part hereof.

A *(Cashier's Check) *(Certified Check) *(Bid Bond) properly made payable to City of La Habra hereinafter designated as the Owner for the sum of 10% dollars (\$ _____),

which amount is not less than ten percent (10%) of the total amount of this bid, is attached hereto and is given as a guarantee that the undersigned will execute the Agreement and furnish the required bonds if awarded the Contract; and, in case of failure to do so within the time provided the proceeds of said check shall be forfeited to the *Owner/Sureties Liability to the Owner for forfeiture of the face amount of the Bond shall be considered as established.

(*) Delete inapplicable word or phrase.

It is understood and agreed that:

1. The undersigned has carefully examined all the Contract Documents which will form a part of the Contract;
2. The undersigned has, by investigation of the site of the work and otherwise, satisfied himself as to the nature and location of the work and has fully informed himself as to all conditions and matters which can in any way affect the work or the cost thereof;
3. The undersigned fully understands the scope of the work and has checked carefully all words and figures inserted in this proposal and he further understands that the Owner will in no way be responsible for any errors or omissions in the preparation of this proposal;
4. The undersigned will execute the Agreement and furnish the required Performance and Payment Bonds and proof of insurance coverage, within ten (10) days (not

including Saturdays, Sundays and holidays) after notice to him of acceptance of his bid by the Owner; and further, that this bid may not be withdrawn for a period of sixty (60) days after the date set for the opening thereof, unless otherwise required by law. If any bidder shall withdraw his bid within said period, the Contractor shall be liable under the provisions of the Bid Security or the Contract and his surety shall be liable under the Bid Bond, as the case may be;

5. The undersigned hereby certifies that this proposal is genuine and not sham or collusive or made in the interest or in behalf of any person not herein named and the undersigned has not directly or indirectly induced or solicited any other bidder to put in a sham bid or any other person, firm or corporation to refrain from bidding; the undersigned has not in any manner sought by collusion to secure for himself an advantage over any other bidder;
6. In conformance with current statutory requirements of Section 1860, et seq., of the Labor Code of the State of California, the undersigned confirms the following as his certification:

I am aware of the provisions of Section 3700 of the Labor Code which requires every employer to be insured against liability of worker's compensation or to undertake self insurance in accordance with the provisions before commencing the performance of the work of this contract.

NOW, in compliance with the Notice Inviting Bids and all the provisions hereinbefore stipulated, the undersigned, with full cognizance thereof, hereby proposes to perform the entire work for the prices set forth in the attached Bid Schedule upon which award of the Contract is made.

Date: 10/4, 2022

Bidder EC Construction Co

By John K. Walter

Title President

Bidder's Post Office Address:

2213 Chico Ave
S El Monte, CA 91733

Corporation organized under
the laws of the State of CA

Names and addresses of all owners
of the firm or names and titles of
all officers of the corporation:

John K. Walters President

Darbyk Walters Secretary

Sandra L. Walters CEO

(corporate seal)



City of La Habra

CITY HALL – ENGINEERING DIVISION

"A Caring Community"

110 E. La Habra Boulevard
Post Office Box 337
La Habra, CA 90633-0785
Office: (562) 383-4151

September 15, 2022
ADDENDUM NO. 1

**OLD RESERVOIR PARK IMPROVEMENT PROJECT
CITY PROJECT NO. 2-P-20**

To all prospective bidders and plan holders for **OLD RESERVOIR PARK IMPROVEMENT PROJECT, CITY PROJECT NO. 2-P-20**

The intent of this Addendum No. 1 is to include the following revisions, additions and/or deletions: and is hereby made a part of the bid documents.

A. The "Specifications for **OLD RESERVOIR PARK IMPROVEMENT PROJECT, CITY PROJECT NO. 2-P-20**" shall be referred to herein as the "specifications".

1. Revise Page A-2 "Notice of Inviting Bids", Mandatory Pre-Bid Meeting (Job Walk)

- a. Modifications to include updating the day and addition of the word "mandatory" in the section of the Mandatory Pre-Bid Meeting to "**Thursday 10:00 A.M., September 22nd, 2022**" instead of the stated "Wednesday 10:00 A.M., September 22nd, 2022", and the paragraph will now read as follows: "The City will conduct a **mandatory** pre-bid meeting (job walk) to review the advertised plan and specifications, and answer potential questions on **Thursday 10:00 A.M., September 22nd, 2022** at Old Reservoir Park, 820 Brookdale Avenue, La Habra, California 90631. Attendance will be taken during the one (1) hour meeting period. Prospective Bidders shall confirm final date/time on CIPlist.com."

An acknowledgment of receipt of this Addendum No. 1 shall be entered on Page D-9 of the Bid Proposal Section D. Failure to provide such acknowledgment shall render the bid as non-responsive and subject to rejection.

Date: September 15, 2022

BY ORDER OF THE CITY OF LA HABRA

BY:

Tony An, P.E.
Principal Engineer

9/29/22
Date

Signature

Name

John K. Walters

BIDDER'S INFORMATION

Contractor's License No. 366814 Expiration Date 11/30/22

Classification of Contractor's License No. A 366814

Contractor EC Construction Co
(name of firm)

By John K. Walter President
(signature) (title)

Business Address: 2213 Chico Ave S El Monte, CA 91733

Phone (626) 444-9596

I/We certify that the information provided above is complete and true to the best of my/our knowledge.

EC Construction Co John K. Walter 10/4/22
Contractor Date

Contractor's DIR Public Works Registration No. 1000003118

EXHIBIT A
BID SCHEDULE

OLD RESERVOIR PARK IMPROVEMENT PROJECT
CITY PROJECT NO. 2-P-20

ITEM	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	AMOUNT
1	MOBILIZATION AND DEMOBILIZATION (Not to Exceed Five Percent (5%) of the total bid amount)	1	LS		\$10,000.00
2	STORM WATER BEST MANAGEMENT PRACTICES (BMPs); STORM WATER POLLUTION PREVENTION PLAN (SWPPP); PROVIDE QSP TO MONITOR AND PREPARE REPORTS PER THE REQUIREMENTS OF THE APPROVED SWPPP	1	LS		\$35,000.00
3	CONSTRUCTION SURVEY AND MONUMENTATION PERPETUATION	1	LS		29,000.00
4	CLEARING, GRUBBING; CONCRETE, ASPHALT, SOIL, SUBGRADE DEMOLITION AND REMOVAL; TREE REMOVAL; SIGN REMOVAL	1	LS		92,000.00
5	EARTH WORK (GRADING OF PROJECT SITE INCLUDING IMPORT OF SOIL)	1	LS		150,000.00
6	DRAINAGE (CATCH BASINS, PIPES, DRY WELLS, CLEANOUTS)	1	LS		80,000.00
7	FURNISH & INSTALL PARK ENTRY SIGN MONUMENT AND FOUNDATION	1	LS		35,000.00
8	CIVIL CONSTRUCTION & HARDSCAPE (CONCRETE, ASPHALT, MOW STRIP, GRASSROAD PAVERS, FENCING, DG PATH, PLAYGROUND SURFACING, ADJUSTMENT OF UTILITY PULL BOXES AND COVERS)	1	LS		300,000.00

9	SITE FURNISHINGS (PLAYGROUND AND EXERCISE EQUIPMENTS, SHELTER, WATER FOUNTAIN, BENCH, TABLE, TRASH RECEPTACLES)	1	LS		330,000.00
10	RETAINING WALL	1	LS		175,000.00
11	IRRIGATION & LANDSCAPING; POTABLE WATER CONNECTION FOR DRINKING FOUNTAIN 120 DAY MAINTENANCE PERIOD	1	LS		735,000.00
12	ELECTRICAL (PARK LIGHTING)	1	LS		175,000.00
13	SECURITY CAMERA SYSTEM	1	LS		90,000.00

TOTAL BID PRICE FOR BID SCHEDULE IN FIGURES: \$ 1,677,000.00

TOTAL BID PRICE FOR BID SCHEDULE IN WORDS: One Million Six hundred - Seven thousand dollars NO cents.

Note: In the event of any "missing" bid items or scope of work not otherwise included or identified in the Bid Schedule, but indicated or required by the Plans and Specifications, the Contractor shall prosecute the work as required by the Plans and Specifications, and payment for any "missing" bid items or scope of work shall be included in the various bid items of work, and no additional payment will be allowed therefore.

Note: The TOTAL BID PRICE shall be used as the basis of bid in determining the low bid for this project.

EC Construction Co

CONTRACTOR

John K. Walter

BY

President

TITLE

10/4/22

DATE

**THIS IS A COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROJECT
(FEDERAL-AID FUNDING)**

**APPENDIX "C" – CDBG REQUIREMENTS MUST BE SUBMITTED WITH
PROPOSAL**

ACKNOWLEDGEMENT OF ADDENDA RECEIVED

The Bidder shall acknowledge the receipt of addenda by placing an "X" by each addendum received.

- Addendum No. 1 X
- Addendum No. 2
- Addendum No. 3
- Addendum No. 4
- Addendum No. 5
- Addendum No. 6
- Addendum No. 7
- Addendum No. 8

If an addendum or addenda have been issued by the CITY and is not noted above as being received by the Bidder, the Bid Proposal may be rejected.

John K. Walters
Signature

John K. Walters
Name

See attached

REFERENCES

[Work similar in scope magnitude and degree of difficulty completed by Contractor within the past three (3) years.]

1. Name (Firm/Agency): _____
Address: _____
Contact Person: _____ Telephone No. _____
Title of Project: _____
Project Location: _____
Date of Completion: _____ Contract Amount: \$ _____
2. Name (Firm/Agency): _____
Address: _____
Contact Person: _____ Telephone No. _____
Title of Project: _____
Project Location: _____
Date of Completion: _____ Contract Amount: \$ _____
3. Name (Firm/Agency): _____
Address: _____
Contact Person: _____ Telephone No. _____
Title of Project: _____
Project Location: _____
Date of Completion: _____ Contract Amount: \$ _____
4. Name (Firm/Agency): _____
Address: _____
Contact Person: _____ Telephone No. _____
Title of Project: _____
Project Location: _____
Date of Completion: _____ Contract Amount: \$ _____



E.C. CONSTRUCTION CO. REFERENCES

MAJOR PROJECTS COMPLETED PAST FIVE YEARS, JAN. 31, 2022

EC Job#	Project Name	Total Value of Construction	Completion Date	Owner of Project & Owner Reference
210409	STORM DRAIN REPAIR HAWTHORNE BLVD	\$ 137,116.25	Jan-22	City of Rolling Hills Estates Contact: David Wahba DavidW@ci.rolling-hills- estates.ca.us Phone: 310/377-1577 ext 103
210411	MOORFIELD UPGRADE PROJECT	\$ 199,079.00	Oct-21	ALHAMBRA U.S.D. Contact : ERIC ESPINOSA Email: espinosa_eric@ausd.us Phone: 626/943-6505 Fax: 626/943-8043
200803	KERSTING COURT IMPROVEMENT, #CS- 71901	\$ 772,504.00	Jul-21	City of Sierra Madre Contact: Chris Cimino Phone: 626-355-6615 Email:CCimino@cityofsierramadre.com
200906	SOUTH GATE POLICE DEPT. PARKING LOT EXPANSION	\$ 165,502.00	May-21	City of South Gate Contact: John Rico Phone: 323-563-9594 Email: jrico@sogate.org
200110	CITY WIDE BUS STOP IMPROVEMENTS	\$ 720,370.00	Feb-21	City of Covina Contact: Raphael Guillen Phone: 626-384-5523 Email: rguillen@covinaca.gov
190611	STREET IMPROVEMENTS ON EASTERN AVE	\$ 958,149.00	Dec-20	City of Bell Contact: Rey Alfonso Phone: 323/923-2626 Email: ralfonso@cityofbell.org
200312	METROLINK STATION IMPROVEMENTS PROJECT	\$ 273,230.00	Oct-20	City of Covina Contact: Raphael Guillen Phone: 626-384-5523 Email: rguillen@covinaca.gov
190105	FOREST LAWN GLENDALE ROADWAY REPAIRS 2018	\$ 537,334.00	Oct-20	Forest Lawn Memorial Park Contact: Jesse Hurtado Email: jhurtados@forestlawn.com Phone: 323/313-5334
191101	BIKE SHELTERS & HITCHES @ VARIOUS LOCATIONS	\$ 204,780.00	Apr-20	City of South Pasadena Contact: Tatevik Barakazyan Phone: 626-403-7245 Email: tbarakazvan@southpasadenaca.gov
190110	HILDRETH AVE. TRAFFIC MITIGATION	\$ 255,711.00	Oct-19	City of South Gate Contact: Emilio M. Murga, P.E. Phone: 562/505-1001 Email: emurga@sogate.org
170905	KRUSE DR & MERCED AVE IMPROVEMENTS SOUTH EL MONTE	\$ 392,490.00	Oct-19	Holladay Const. Inc., Contact: Jack Owen Phone: 626/329-7050 Email: jackwindustrialproperties.biz
180806	F/Y 17/18 STREET IMPROVEMENTS	\$ 1,106,827.00	Jul-19	City of La Habra Heights, Contact: Peter Salgado, Const. Mgr. Phone: 949/585-0477 Email: peter.salgado@nv5.com



MAJOR PROJECTS COMPLETED PAST FIVE YEARS, Jan. 31, 2022

190511	BLUE JAY PARKING LOT	\$ 122,376.00	Jul-19	Lake Arrowhead Community Serv Dist. Contact: Scott Schroder Phone: 909/336-7136 Email: sschroder@lakearrowheadcsd.com
190210	2018 HUD STREET IMPROVEMENTS	\$ 264,003.00	Jun-19	City of Alhambra Contact: Scott Schroder Email: scamorlinga@cityofalhambra.org Phone: 626/570-5067 Fax: 626/282-5833
181204	REDSTONE SEWER REPAIR	\$ 150,420.00	Jun-19	Paramount Pictures, Inc. Contact: Greg Smith Email: greg_smith@paramount.com Phone: 323/956-8978
180913	ALLEY 85 & 3 IMPROVEMENTS	\$ 296,238.00	Apr-19	City of La Habra Contact : Cesar Rangel Email: CRangel@lahabraca.gov Phone: 562/383-4158
180701	PARK E.S. PLAYGROUND RENOVATION	\$ 157,623.00	Jan-19	Alhambra Unified School District Contact: Eric Espinosa Email: Espinosa_eric@ausd.us Phone: 626/943-6505 Fax: 626/943-8043
180408	INTERSECTION & STREET IMPROVEMENTS	\$ 1,498,017.00	Dec-18	City of Burbank Contact: Anthony Roman PE Email: Aroman@burbankca.gov Phone: 818/238-3945
171103	EL CENTRO STREET IMPROVEMENT PROJ. #2017-09	\$ 667,380.00	Oct-18	City of South Pasadena Contact: Cody Howing Email: chowing@rkagroup.com Phone: 909/594-9702 Fax: 909/594-2658
180310	BUS STOP FURNITURE REMOVE & REPLACE	\$ 210,060.00	Oct-18	City of Santa Clarita Contact: Grace Ferguson Email: gferguson@santa-clarita.com Phone: 661/295-6308
180602	KNIGHT WAY & GOULD ST. IMPROVEMENTS	\$ 529,588.00	Sep-18	City of La Canada Flintridge Contact: Nasser Shoushtarian PE Email: Nasser.sh@lcf.ca.gov Phone: 818/ 792-8882
171003	GREVELIA STREET IMPROVEMENT PROJ #2017-02	\$ 368,954.00	Jul-18	City of South Pasadena Contact : Cody Howing Email: chowing@rkagroup.com Phone: 909/594-9702 Fax: 909/594-2658
171215	BALDWIN ELEM. SCHOOL KINDER PROJECT #1195-17/18	\$ 326,453.00	Jun-18	ALHAMBRA U.S.D. Contact : ERIC ESPINOSA Email: espinosa_eric@ausd.us Phone: 626/943-6505 Fax: 626/943-8043



MAJOR PROJECTS COMPLETED PAST FIVE YEARS, JAN 31, 2022

170605	Jersey Ave & Imperial Highway Bus Stop Improvements & Traffic Signal Modifications	\$ 625,989.00	Feb-18	City of Norwalk Contact: Millie Khuu Email: mkhUU@norwalkca.gov Phone: 562/929-5345
170912	CDBG Sidewalk & ADA Access Ramp Replacement	\$ 137,547.00	Jan-18	City of South Pasadena Contact: Tatevik Barakazyan Phone: 626-403-7245 Email: tbarakazyan@southpasadenaca.gov
170518	Capital Improvement Project #2016-10, San Gretrudes Ave. wall & Parkway Improv'mts	\$ 1,346,701.00	Dec-17	City of La Mirada Contact : Eric Villagrancia Email: evillagrancia@cityoflamirada.org Phone: 562/902-2373
170304	Yorba Linda Dog Park Lot Construction	\$ 260,093.00	Oct-17	City of Yorba Linda Contact : Colleen Callahan Email: colleen@yorba-linda.org Phone: 714/961-7160 Fax: 714/961-7110
160511	SR134/Glendale Ave. Interchange Modification Proj. Spec. #3562	\$ 1,735,350.00	Oct-17	City of Glendale Contact : Arthur Asaturyan Email: Aasaturyan@Glendaleca.gov Phone: 818/937-8247
161012	La Bonita Park- Parking Lot Improvement Project #2-P-16	\$ 120,707.00	Feb-17	City of La Habra Contact : Christine Kaskara Email: ckaskara@lahabraca.gov Phone: 562/383-4170
160601	El Molino Ave Street Rehabilitation Project	\$ 378,995.00	Jan-17	City of San Marino Contact: Public Works Phone: 626/300-0765

If Contractor has not performed work for the City of La Habra within the last five (5) years, list all work done within said five years (attach additional sheets if necessary). Note if work was done as subcontractor (include only subcontract amount):

Work Description & Contract Amount	Agency	Date Completed

Contractor's License No.: 366814 Class: A

a. Date first obtained: 4/78 Expiration: 11/30/02

b. Has License ever been suspended or revoked? no

If yes, described when and why: _____

c. Any current claims against License or Bond? no

If yes, describe claims: _____

Principals in Company (List all – attach additional sheets if necessary):

<u>NAME</u>	<u>TITLE</u>	<u>LICENSE NO. (If Applicable)</u>
<u>John K. Walters</u>	<u>President</u>	<u>366814</u>
<u>Darby K. Walters</u>	<u>Secretary</u>	_____
<u>Sandra L. Walters</u>	<u>CEO</u>	_____

This project requires Contractor/Sub-Contractor working on all electrical and security camera to have previous experience and shall submit references of previously completed projects.

1. Electrical work requires minimum 3 years of successful installation experience on projects with electrical grounding work similar to the Old Reservoir Park Improvement Project. Does your firm/sub-contractor meet this requirement? If yes, then provide additional reference documents as attachment.

Yes: ✓ No: _____

2. Security Camera work requires minimum 5 years of experience installing and servicing systems of similar scope and complexity. The installer of the Genetec software for the security camera system shall be a certified Genetec software re-seller and installer. Does your firm/sub-contractor meet this requirement? If yes, then provide additional reference documents as attachments.

Yes: ✓ No: _____

DBE BIDDER'S LIST

All bidders/proposers are requested to provide the following information for all DBE and non-DBE contractors or consultants who provided a proposal, bid, quote, or were contacted by the proposed prime. This information is also requested from the proposed prime contractor/consultant and should be submitted with their bid/proposal. The City of La Habra will use this information to maintain and update a "Bidders" List to assist in the overall annual DBE goal-setting process.

N/A

Firm Name: _____	Phone: _____
Address: _____	Fax: _____
Contact Person: _____	No. of years in business: _____
Is the firm currently certified as a DBE under 49 CFR Part 26: YES: ___ NO: ___	
Type of work/services/materials provided by firm? _____	

What was your firm's Gross Annual receipts for last year?	
Less than \$1 Million	
Less than \$5 Million	
Less than \$10 Million	
Less than \$15 Million	
More than \$15 Million	

This form can be duplicated if necessary to report all bidders (DBEs and non-DBEs) information.

VIOLATIONS OF FEDERAL, STATE, OR LOCAL LAWS

1. Has your firm or its officers been assessed any penalties by an agency for noncompliance or violations of Federal, State, or Local labor laws and/or business or licensing regulations within the past five (5) years relating to your construction projects?

Yes/No: no Federal/State: _____

If "yes", identify and describe, (including agency and status):

Have the penalties been paid? Yes/No: _____

2. Does your firm or its officers have any ongoing investigations by any public agency regarding violations of the State Labor Code, California Business and Professions Code or State Licensing Laws?

Yes/No: no Code/Laws: _____ Section/Article: _____

If "yes", identify and describe, (including agency and status):

DISQUALIFICATION OR DEBARMENT

Has your firm, any officer of your firm, or any employee who has a proprietary interest in your firm ever been disqualified, removed, or otherwise prevented from bidding on, performing work on, or completing a federal, state, or local project because of a violation of law or a safety regulation?

Yes/No: NO

If yes, provide the following information (if more than once, use separate sheets):

Date: _____ Entity: _____

Location: _____

Reason: _____

Provide Status and any Supplemental Statement: _____

Has your firm been reinstated by this entity?

Yes/No: _____

LIST OF SUBCONTRACTORS

**OLD RESERVOIR PARK IMPROVEMENT PROJECT
CITY PROJECT NO. 2-P-20**

The bidder shall list below the name and the location of the place of business of each Subcontractor who will perform work or render services to the prime contractor in or about the construction of the work or improvement in excess of one-half of one percent (1/2%) of the prime contractor's total bid or ten thousand dollars (\$10,000.00) whichever is greater in accordance with the requirements of Section 4100 to 4133 inclusive of the public contracts code.

Work to be Performed	License Number	Subcontractor and Address of Office, Mill or Shops	Percent of Total Contract	DBE	
				YES	NO
Demolition grading	478529	Hartford Const. 11908 Clark St. #8	7%		X
Retaining Wall	62948A	ARCADIA 91006 LAWSTON MASONRY PO Box 7041 Torrance # 90584	4%		X
Monument sign	641391	BRAVO SIGN DESIGN 520 S. Central Park - Ave. East Anaheim 92802	1%		X
Safety surface	854429	Spectra turf 555 S. Promenade Ave. #103 Corona Ca. 92879	2%		X
Survey	L8513	Ridge Line Eng. 2769 Bowles Wy. Stockton Ca. 92506	1/2%		X

Note: Do not list alternative subcontractors for the same work.

Contractor EC Construction Co

See Page 30 For Additional
Subcontractors list

LIST OF MATERIAL SUPPLIERS

**OLD RESERVOIR PARK IMPROVEMENT PROJECT
CITY PROJECT NO. 2-P-20**

The bidder shall list below the name and the location of the place of business of each material supplier who will provide materials to the prime contractor in or about the construction of the work or improvement in excess of one-half of one percent (1/2%) of the prime contractor's total bid or ten thousand dollars (\$10,000.00) whichever is greater in accordance with the requirements of Section 4100 to 4133 inclusive of the public contracts code.

<u>Materials to be Provided</u>	<u>License Number</u>	<u>Supplier and Address of Office, Mill or Shops</u>	<u>Percent of Total Contract</u>	<u>DBE</u>	
				<u>YES</u>	<u>NO</u>
Concrete		National Ready Mix	1%		X
DG		Gail Materials	1/2%		X
Sand, Rock, cm B		West Coast Sand + Gravel	1/2%		X

NON-COLLUSION AFFIDAVIT
FOR

OLD RESERVOIR PARK IMPROVEMENT PROJECT
CITY PROJECT NO. 2-P-20

(TO BE EXECUTED BY EACH AWARDEE OF A PRINCIPAL CONTRACT)

STATE OF CALIFORNIA)

) SS.

)

John K. Walters, being first duly sworn,
deposes and says that he is President
(sole owner, partner, president, secretary, etc.)

of EC Construction

The party making the foregoing bid; that such bid is not made in the interest of or on behalf of any undisclosed person, partnership, company, association, organization or corporation; that such bid is genuine and not collusive or sham: that said bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, nor that anyone shall refrain from bidding; that said bidder has not in any manner directly or indirectly sought by agreement, communication or conference with anyone to fix the bid price of said bidder or of any other bidder, nor to fix any overhead, profit cost element of such bid price nor of that of any other bidder nor to secure any advantage against the public body awarding the contract or anyone interested in the proposed contract; that all statements contained in such bid are true; and, further, that said bidder has not directly or indirectly, submitted his bid price or any breakdown thereof, nor the contents thereof, nor divulged information or data relative thereto, nor paid and will not pay any fee in connection therewith to any corporation, partnership, company, association, organization, bid depository, nor to any member of agent thereof nor to any other individual except to such person or persons as have a partnership or other financial interest with said bidder in his general business.

Signed: John K. Walters

Title: President

Subscribed and sworn to before me

This 5th day of October, 2022

Maria Cachó Perez

SEAL OF NOTARY PUBLIC



WORKER'S COMPENSATION CERTIFICATE

(AS REQUIRED BY SECTION 1861
OF THE CALIFORNIA LABOR CODE)

I am aware of the provisions of Section 3700 of the California Labor Code, which require every employer to be insured against liability for worker's compensation, or to undertake self-insurance in accordance with the provisions of said Code, and I will comply with such provisions before commencing the performance of the Work of this Contract.

Contractor EC Construction
By John K. Walter
Title President

Public Contract Code Section 10285.1 Statement

In conformance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the bidder hereby declares under penalty of perjury under the laws of the State of California that the bidder **has** ____, **has not** been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or Federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "bidder" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

Note: The bidder must place a check mark after "has" or "has not" in one of the blank spaces provided. The above Statement is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Statement. Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

Public Contract Code Section 10162 Questionnaire

In conformance with Public Contract Code Section 10162, the Bidder shall complete, under penalty of perjury, the following questionnaire:

Has the bidder, any officer of the bidder, or any employee of the bidder who has a proprietary interest in the bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes _____ No X

If the answer is yes, explain the circumstances in the following space.

Public Contract Code Section 10232 Statement

In conformance with Public Contract Code Section 10232, the Contractor, hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Contractor within the immediately preceding two year period because of the Contractor's failure to comply with an order of a federal court which orders the Contractor to comply with an order of the National Labor Relations Board.

Note: The above Statement and Questionnaire are part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Statement and Questionnaire.

Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

System for Award Management (SAM) Registration Requirement

Company Name: EC Construction

Unique Entity Identifier (UEI) Number: _____

Please note that as of April 4, 2022, the Federal government stopped using the DUNS number issued by Dun and Bradstreet to uniquely identify entities. All entities doing business with the federal government will use an UEI number assigned in SAM.gov. All businesses must be registered and listed as active in the System for Award Management (SAM) before submitting a bid for federal contracts. If your business is not registered with SAM.gov please complete registration at SAM.gov.

Registration with SAM.gov is **not optional**. If your business does not show as being active when your UEI Number is checked in SAM.gov during the review of your submitted bid, your bid will be considered **nonresponsive**.

I acknowledge that I am registered as active in SAM.

John K. Walter
Signature

10/4/22
Date

Registration submitted
waiting for number assignment

Non-Segregated Facilities Certification

Old Reservoir Park 2-P-20
PROJECT NAME PROJECT NUMBER BID/CONTRACT DOLLAR AMOUNT
EC Construction
COMPANY NAME
2213 Chico Ave. S. El Monte, Ca. 91733
COMPANY ADDRESS
366814 953745210
LICENSE NUMBER EMPLOYER IDENTIFICATION NUMBER UEI NUMBER

The federally-assisted construction contractor certifies that he/she does not and will not:

1. Maintain or provide, for his/her employees, any segregated facilities at any of his/her establishments.
2. Permit his/her employees to perform their services at any location, under his/her control, where segregated facilities are maintained.

The federally assisted contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this contract. As used in this certification, the term segregated facilities means any waiting room, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise.

The federally-assisted contractor agrees that (except where he/she has obtained identical certifications from proposed subcontractors for specific time periods) he/she will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause, and that he/she will retain such certifications in his/her files.

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

John K. Walters
AUTHORIZED OFFICIAL NAME

John K. Walters
AUTHORIZED OFFICIAL SIGNATURE

President
AUTHORIZED OFFICIAL TITLE/CAPACITY

10/4/22
DATE

Notice of Equal Employment Opportunity

TO: John K. Walters
NAME OF LABOR UNION, WORKERS REPRESENTATIVE, ETC.
2213 Chico Ave. S. EL Monte, Ca. 91733
ADDRESS

Old Reservoir Park 2-P.20
PROJECT NAME PROJECT NUMBER BID/CONTRACT DOLLAR AMOUNT

EC Construction
COMPANY NAME

2213 Chico Ave. S. EL Monte, Ca. 91733
COMPANY ADDRESS

366814 953245210
LICENSE NUMBER EMPLOYER IDENTIFICATION NUMBER UEI NUMBER

The Undersigned currently holds a contract with City of La Habra
involving funds of the U. S. Government, or a subcontract with a prime contractor holding such contract.

You are advised that under the provisions of the above contract or subcontract, and in accordance with Executive Order 11246, the undersigned is obligated not to discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin. This obligation not to discriminate in employment includes, but is not limited to the follow:

1. Hiring, placement, upgrading, transfer or demotion;
2. Recruitment, advertising or solicitation for employment;
3. Treatment during employment;
4. Rates of pay or other forms of compensation;
5. Selection for training, including apprenticeship; and
6. Layoff or termination.

This notice is furnished to you pursuant to the provisions of the above contract or subcontract and Executive Order 11246. Copies of this notice will be posted by the undersigned in conspicuous places available to employees or applicants for employment.

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

John K. Walters
AUTHORIZED OFFICIAL NAME

President
AUTHORIZED OFFICIAL TITLE/CAPACITY

John K. Walters
AUTHORIZED OFFICIAL SIGNATURE

10/4/22
DATE

Past Performance Certification

Old Reservoir Park

PROJECT NAME

2-P. 20

PROJECT NUMBER

13

NUMBER OF EMPLOYEES

EC Construction

COMPANY NAME

2213 Chico Ave. S. EL Monte, Ca. 91733

COMPANY ADDRESS

366814

LICENSE NUMBER

953245210

EMPLOYER IDENTIFICATION NUMBER

UEI NUMBER

The [X] bidder, [] proposed sub-contractor, hereby certifies that he/she [X] has, [] has not, participated in a previous contract or subcontract subject to the Equal Opportunity Clause, as required by Executive Orders 10925, 11114, or 11246, and that he/she [X] has, [] has not, filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

John K Walters

AUTHORIZED OFFICIAL NAME

President

AUTHORIZED OFFICIAL TITLE/CAPACITY

John K. Walters

AUTHORIZED OFFICIAL SIGNATURE

10/4/22

DATE

NOTE: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5 (Generally only contracts or subcontracts of \$10,000 or under are exempt). Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the U.S. Department of the Interior or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

SF-100 (EEO-1) must be filed by:

(A) All private employers who are:

- (1) Subject to Title VII of the Civil Rights Act of 1964 (as amended) with 100 or more employees.
(2) Subject to Title VII who has fewer than 100 employees, if the company is owned or affiliated with another company, or there is centralized ownership, control or management so that the group legally constitutes a single enterprise, and the entire enterprise employs a total of 100 or more employees.

(B) All federal contractors (private employers), who:

- (1) Are not exempt as provided for by 41 CFR 60-1.5
(2) Have 50 or more employees, and
a. Are prime contractors or first-tier subcontractors, and have a contract, subcontract, or purchase order amounting to \$50,000 or more; or
b. Serve as a depository of Government funds in any amount, or
c. Is a financial institution, which is an issuing, and paying agent for U.S. Savings Bonds and Notes.

NON-DISCRIMINATION CERTIFICATION

As suppliers of goods or services to the City of La Habra, the firm listed below certifies that it does not discriminate in its employment with regard to age/handicap, race, color, religion, sex, or national origin; that it is in compliance with all federal, state, and local directives and executive orders regarding non-discrimination in employment; and that it agrees to demonstrate positively and aggressively the principle of equal employment opportunity in employment.

We agree specifically:

1. To establish or observe employment policies, which affirmatively promote opportunities for minority persons at all job levels.
2. To communicate this policy to all persons concerned, including all company employees, outside recruiting services, especially those serving minority communities, and to the minority communities at large.
3. To take affirmative steps to hire minority employees within the company.

FIRM EC Construction

NAME OF PERSON SIGNING John K. Walters

TITLE OF PERSON SIGNING President

DATE 10/4/22

Please include any additional information available regarding equal opportunity employment programs now in effect within your company.

N/A

Minority and Women's Business Enterprise Tiered Compliance Plan

THIS PLAN MUST BE SUBMITTED WITH YOUR BID FOR THIS FEDERALLY-FUNDED CONTRACT OPPORTUNITY

PART 1

10/5/2022 Old Reservoir Park 2-P-20
DATE PROJECT NAME PROJECT NUMBER
EC Construction 626 444-9596
FIRM NAME PHONE
2213 Chico Ave, S. EL Monte, CA, 91733
BUSINESS ADDRESS

TYPE OF FIRM:

(Check One and Provide Information)

- Individual Name of Owner _____
- Corporation State of Incorporation: _____
- Partnership Indicate General "G", Limited "L": _____
- Joint Venture Name of Partners: _____
- Joint Venture Participants: _____

Number of year(s) firm has been in business under present ownership: _____

OWNERSHIP DEMOGRAPHICS:

(Provide the number of owners by category and the percentage of ownership interest of those individuals by category)

	Black	Hispanic	Alaskan Native/ American Indian	Asian/ Pacific Islanders	White	Women
Number						
% of Assets Owned						

OWNERSHIP INFORMATION:

(List each owner of the firm that has 5 percent or more of the shares in the firm)

Name	Race	Sex	Years of Ownership	Ownership Percentage	Voting Percentage

I certify that the information provided herein is true and correct.

John K. Walter 10/4/22
SIGNATURE DATE

Federal EIT: _____
License Number: _____
DUNS Number: _____

IF MORE THAN 50% MINORITY OR WOMEN OWNED, PROCEED TO PART 3.
IF LESS, PROCEED TO PART 2 AND PROVIDE INFORMATION ON SUBCONTRACTS AWARDED TO MBE/WBE FIRMS.

Federal Lobbyist Certification

Old Reservoir Park 2-P-20 _____
PROJECT NAME PROJECT NUMBER BID/CONTRACT DOLLAR AMOUNT

EC Construction _____
COMPANY NAME

2213 Chico Ave. S. El Monte, Ca. 91733 _____
COMPANY ADDRESS

366814 953245210 _____
LICENSE NUMBER EMPLOYER IDENTIFICATION NUMBER UEI NUMBER

Acting on behalf of the above named firm, as its Authorized Official, I make the following Certification to the U. S. Department of Housing and Urban Development and the body awarding this federally assisted construction contract:

1. No Federal appropriated funds have been paid by or on behalf of the above named firm to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of and Federal grant, loan or cooperative agreement, and any extension, continuation, renewal, amendment, or modification thereof, and;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee or any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant loan, or cooperative agreement, the above named firm shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions, and;
3. The above name firm shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreement) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into the transaction imposed by Section 1352 Title 31, U. S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

John K. Walters President
AUTHORIZED OFFICIAL NAME AUTHORIZED OFFICIAL TITLE/CAPACITY

John K. Walters 10/4/22
AUTHORIZED OFFICIAL SIGNATURE DATE

n/a

DISCLOSURE OF LOBBYING ACTIVITIES
COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 U.S.C. 1352

1. Type of Federal Action: a. contract
 b. grant
 c. cooperative agreement
 d. loan
 e. loan guarantee
 f. loan insurance

2. Status of Federal Action: a. bid/offer/application
 b. initial award
 c. post-award

3. Report Type: a. initial
 b. material change
 For Material Change Only:
 year _____ quarter _____
 date of last report _____

4. Name and Address of Reporting Entity
 Prime Subawardee
 Tier _____, if known

**5. If Reporting Entity in No. 4 is Subawardee,
 Enter Name and Address of Prime:**

6. Federal Department/Agency: Congressional District, if known _____

7. Federal Program Name/Description: Congressional District, if known _____

8. Federal Action Number, if known: CFDA Number, if applicable _____

9. Award Amount, if known:

10. a. Name and Address of Lobby Entity
 (If individual, last name, first name, MI)

b. Individuals Performing Services (including address if different from No. 10a)
 (last name, first name, MI)

(attach Continuation Sheet(s) if necessary)

11. Amount of Payment (check all that apply)
 \$ _____ actual planned

12. Form of Payment (check all that apply):
 a. cash
 b. in-kind; specify: nature _____
 value _____

13. Type of Payment (check all that apply)
 a. retainer
 b. one-time fee
 c. commission
 d. contingent fee
 e. deferred
 f. other, specify _____

**14. Brief Description of Services Performed or to be performed and Date(s) of Service, including
 officer(s), employee(s), or member(s) contacted, for Payment indicated in Item 11:**

(attach Continuation Sheet(s) if necessary)

15. Continuation Sheet(s) attached: Yes No

16. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying reliance was placed by the filer above when his transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: John K. Walters
 Print Name: John K. Walters
 Title: President
 Telephone No. 626 444-9596 Date: 10/4/22

Federal Use Only: Authorized for Local Reproduction
 Standard Form - LLL

DEBARMENT AND SUSPENSION CERTIFICATION

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any other person associated therewith in the capacity of owner, partner, director, officer, and manager:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- Has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- Does not have a proposed debarment pending; and
- Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Notes: Providing false information may result in criminal prosecution or administrative sanctions.

The above certification is part of the Proposal. Signing this Proposal on the signature portion thereof shall also constitute signature of this Certification.

(Notarized Acknowledgement on next page)

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of LOS ANGELES)

On OCTOBER 5, 2022 before me, Maria Cacho Perez, Notary Public
(insert name and title of the officer)

personally appeared JOHN K. WALTERS,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



(Seal)



Compliance with Clean Air and Water Acts

During the performance of this contract, the contractor and all subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 USC 1857 et seq., the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq., and the regulations of the Environmental Protection Agency with respect thereto, at 40 CFR Part 15, as amended.

In addition to the foregoing requirements, all nonexempt contractors and subcontractors shall furnish to the owner, the following:

1. A stipulation by the contractor or subcontractors, that any facility to be utilized in the performance of any non exempt contract or subcontract, is not listed on the List of Violating Facilities issued by the Environmental Protection Agency (EPA) pursuant to 40 CFR 15.20.
2. Agreement by the contractor to comply with all the requirements of Section 114 of the Clean Air Act, as amended, (42 USC 1857c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 USC 1318) relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued there under.
3. A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized, or to be utilized for the contract, is under consideration to be listed on the EPA List of Violating Facilities.
4. Agreement by the contractor that he will include, or cause to be included, the criteria and requirements in paragraph (1) through (4) of this section in every nonexempt subcontract and requiring that the contractor will take such action as the government may direct as a means of enforcing such provisions.

Note: Signing this Proposal on the signature portion thereof shall also constitute the Contractor's agreement to comply with the stipulations identified herein this Compliance with Clean Air and Water Acts.

Bidders are cautioned that making a false certification may subject the certifier to criminal prosecution.

(Applicable to federally assisted construction contracts and related subcontracts exceeding \$100,000)

By signing this I acknowledge the required compliance.


Signature

10/4/22
Date

Section 3 Business Concern Certification for Contracting

Instructions: Enter the following information and select the criteria that applies to certify your business' Section 3 Business Concern status.

Business Information

Name of Business EC Construction
Address of Business 2213 Chico Ave, S. El Monte, Ca, 91733
Name of Business Owner John K. Walters
Phone Number of Business Owner 626 444-9596
Email Address of Business Owner JKW@ecconst.com

Preferred Contact Information

Same as above

Name of Preferred Contact _____

Phone Number of Preferred Contact _____

Type of Business (select from the following options):

Corporation Partnership Sole Proprietorship Joint Venture

Select from **ONE** of the following three options below that applies:

At least 51 percent of the business is owned and controlled by low- or very low-income persons.

At least 51 percent of the business is owned and controlled by current public housing residents or residents who currently live in Section 8-assisted housing.

Over 75 percent of the labor hours performed for the business over the prior three-month period are performed by Section 3 workers.

OR

Written Commitment (Section 3 Economic Opportunity Plan), outline intentions to hire section 3 workers and/or subcontract with Section 3 Business Concerns.

(front side)

Business Concern Affirmation

I affirm that the above statements (on the front side of this form) are true, complete, and correct to the best of my knowledge and belief. I understand that businesses who misrepresent themselves as Section 3 business concerns and report false information to the City of La Habra may have their contracts terminated as default and be barred from ongoing and future considerations for contracting opportunities. I hereby certify, under penalty of law, that the following information is correct to the best of my knowledge.

Print Name: John K. Walters

Signature: John K. Walters Date: 10/4/22

*Certification expires within six months of the date of signature

Information regarding Section 3 Business Concerns can be found at [24 CFR 75.5](#)

FOR ADMINISTRATIVE USE ONLY

Is the business a Section 3 business concern based upon their certification?
 YES NO

EMPLOYERS MUST RETAIN THIS FORM IN THEIR SECTION 3 COMPLIANCE FILE FOR FIVE YEARS.

(backside)



Notice of Section 3 Commitment

Federal Compliance Form – Must be submitted with Bid and posted at Jobsite

TO: John K. Walters
(Name of Labor Union, Workers Representative, etc.)
2213 Chico Ave. S. El Monte, Ca. 91733
(Address)

Name of Business (Contractor): EC Construction

Project Name: Old Reservoir Park Project Number: 2-P-20

The Undersigned currently holds a contract with City of La Habra involving Block Grant (CDBG) funds from the U. S. Department of Housing and Urban Development or a subcontract with a prime contractor holding such contract.

You are advised that under the provisions of the above contract or subcontract and in accordance with Section 3 of the Housing and Urban Development Act of 1968, the undersigned is obligated to the greatest extent feasible, to give opportunities for employment and training to lower income residence of the CDBG-assisted project area and to award contracts for work on the project to business concerns which are located in or are owned in substantial part by project area residence.

Regarding employment opportunities for Section 3, the minimum number and job titles are:

Minimum Number	Job Classification
<u>2</u>	<u>Laborer</u>

Regarding job referrals, request that consideration be given, to the greatest extent feasible, to assignment of persons residing in the service area or neighborhood in which the project is located.

The anticipated date the work will begin is 2/2023. For additional information, you may contact John K. Walters, President at (626) 444-9596

This notice is furnished to you pursuant to the provisions of the above contract or subcontract and Section 3 of the Housing and Urban Development Act of 1968. Copies of this notice will be posted by the undersigned in conspicuous places available to employees or applicants for employment.

John K. Walters
(Print Name)
10/4/22
(Date)

By: John K. Walters
(Signature)
President
(Title)

Section 3 Economic Opportunity Plan

Federal Compliance Form – Must be submitted with Bid if Written Commitment Box is checked on Section 3 Business Concern Certification

1. Name and Address of Contractor: <i>EC Construction</i> <i>2213 Chico Ave</i> <i>S. El Monte, Ca</i> <i>91735</i>	2. Federal Identification: (Contract/Award No.) 4. Contact Person: <i>John K. Walters</i> 6. Reporting Period:	3. Dollar Amount of Award: 5. Phone: (include Area Code) <i>626 444-9596</i> 7. Date Report Submitted
8. Program Code: _____ (Use a separate sheet for each Program Code)		

Program Codes

1. Flexible Subsidy 2. Section 202/811 3. Public/Indian Housing Development, Operation and Modernization 4. Homeless Assistance 5. HOME
 6. HOME - State Administered 7. CDBG – Entitlement 8. CDBG - State Administered 9. Other CD Programs 10. Other Housing Programs

Part I: Employment and Training Commitment

JOB CLASSIFICATION	TOTAL PROJECT LABOR HOURS	SECTION 3 LABOR HOURS	% of Total Project Labor Hours Performed by Section 3 Workers
Professionals			%
Technicians			%
Office/Clerical			%
Trade: <i>Labor</i>			%
Trade:			%
Trade:			%
Trade:			%
Total:			%

Part II: Contract Award Commitment to Section 3 Businesses (Subcontractors)

NAME OF SECTION 3 BUSINESS CONCERN	SPECIFY CONSTRUCTION CONTRACT	CONTRACT AMOUNT
		\$
		\$
		\$
		\$
		\$
		\$
		\$
		\$
TOTAL		\$
Percentage of the total dollar amount to be awarded to Section 3 Business Concerns:		%

n/a

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
REPORT OF ADDITIONAL CLASSIFICATION AND RATE

HUD FORM 4230A

OMB Approval Number 2501-0011
(Exp. 8/31/2022)

1. FROM (name and address of requesting agency)

City of La Habra

2. PROJECT NAME AND NUMBER

Old Reservoir Park
2-P-20

3. LOCATION OF PROJECT (City, County and State)

La Habra, LA, CA.

4. BRIEF DESCRIPTION OF PROJECT

Park Improvements

5. CHARACTER OF CONSTRUCTION

- Building
- Heavy
- Highway
- Residential
- Other (specify)

Park

6. WAGE DECISION NO. (include modification number, if any)

DATE of WAGE DECISION:

7. WAGE DECISION EFFECTIVE DATE (LOCK-IN):

COPY ATTACHED

8. WORK CLASSIFICATION(S)

HOURLY WAGE RATES

BASIC WAGE

FRINGE BENEFIT(S) (if any)

9. PRIME CONTRACTOR (name, address)

EC Construction
2213 Chicago Ave. S. El Monte
CA 91733

9a.

- Agree
- Disagree

10. SUBCONTRACTOR/EMPLOYER, IF APPLICABLE (name, address)

9b. SIGNATURE

John A. Walters

DATE

Check All That Apply:

- The work to be performed by the additional classification(s) is not performed by a classification in the applicable wage decision.
- The proposed classification is utilized in the area by the construction industry.
- The proposed wage rate(s), including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage decision.
- The interested parties, including the employees or their authorized representatives, agree on the classification(s) and wage rate(s).
- Supporting documentation attached, including applicable wage decision.

Check One:

- Approved, meets all criteria. DOL confirmation requested.
- One or more classifications fail to meet all criteria. DOL decision requested.

Agency Representative
(Typed name and signature)

Date

Phone Number

FOR HUD USE ONLY
LR2000:

Log in:

Log out:

BID BOND

**TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA
Hartford, Connecticut 06183**

Bond No. N/A

KNOW ALL MEN BY THESE PRESENTS.

That we, E. C. Construction Co.

as Principal, hereinafter called the Principal, and TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA, of Hartford, Connecticut, a corporation duly organized under the laws of the State of Connecticut, as Surety, hereinafter called the Surety, are held and firmly bound unto

City of La Habra

as Obligee, hereinafter called the Obligee, in the sum of Ten Percent of Bid Amount

Dollars (\$ 10%), for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for Old Reservoir Park Improvement Project

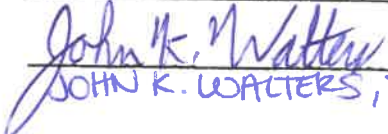
NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 30th day of September, 2022.



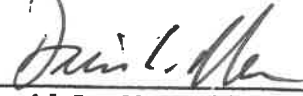
MARIA CACHO, OFFICE (Witness)
MANAGER

E. C. Construction Co. _____ (Principal) (Seal)



JOHN K. WALTERS, PRESIDENT (Title)

(Witness)

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA
By 

David L. Merrill (Attorney-in-Fact)

Printed in cooperation with the American Institute of Architects (AIA) by Travelers Casualty and Surety Company of America. The language in this document conforms exactly to the language used in AIA Document A310, February 1970 edition.

CALIFORNIA ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }
County of Los Angeles

On September 30, 2022 before me, Priya Neilly, Notary Public,
Date Here Insert Name and Title of the Officer
personally appeared David L. Merrill
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal and/or Stamp Above

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Handwritten Signature]
Signature of Notary Public

OPTIONAL

Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

Corporate Officer – Title(s): _____

Partner – Limited General

Individual Attorney in Fact

Trustee Guardian or Conservator

Other: _____

Signer is Representing: _____

Signer's Name: _____

Corporate Officer – Title(s): _____

Partner – Limited General

Individual Attorney in Fact

Trustee Guardian or Conservator

Other: _____

Signer is Representing: _____



Travelers Casualty and Surety Company of America
Travelers Casualty and Surety Company
St. Paul Fire and Marine Insurance Company

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint **DAVID L MERRILL** of **PASADENA California**, their true and lawful Attorney(s)-in-Fact to sign, execute, seal and the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this 21st day of April, 2021.



State of Connecticut

City of Hartford ss.

By:
 Robert L. Raney, Senior Vice President

On this the 21st day of April, 2021, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of each of the Companies, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of said Companies by himself as a duly authorized officer.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

My Commission expires the 30th day of June, 2026



Anna P. Nowik, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of each of the Companies, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary of each of the Companies, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this 30th day of September, 2022



Kevin E. Hughes, Assistant Secretary

To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.
Please refer to the above-named Attorney(s)-in-Fact and the details of the bond to which this Power of Attorney is attached.