

CITY OF RAMSEY
REPORT OF POOLED CASH FLOWS
Period Ended September 30, 2017

| | September-17 CURRENT MONTH | 2017 YEAR-TO-DATE |
|---|-------------------------------|-------------------------|
| CASH AND TEMPORARY INVESTMENTS | | |
| BEGINNING BALANCE | \$ 67,760,829.04 | \$ 64,912,887.39 |
| CASH INFLOWS: | | |
| Daily Deposit | 714,602.69 | 5,841,947.05 |
| Tax Settlements | - | 6,218,640.94 |
| U/B Receipts | 56,965.86 | 2,132,972.06 |
| Credit Cards | 183,906.49 | 2,080,116.54 |
| Interest Earnings [Net of Interest Paid on Investments] | 69,466.38 | 680,131.72 |
| Bond Proceeds | - | 863,473.61 |
| TOTAL CASH INFLOW | \$ 1,024,941.42 | \$ 17,817,281.92 |
| TOTAL CASH AVAILABLE | \$ 68,785,770.46 | \$ 82,730,169.31 |
| CASH OUTFLOWS: | | |
| Prepaid Checks | 541,534.52 | 5,858,206.79 |
| Bills Lists | 404,472.82 | 4,334,046.66 |
| Pay Estimates | 941,267.77 | 1,838,176.35 |
| Credit Cards | 12,578.99 | 48,657.40 |
| Payroll - Net | 313,940.90 | 2,942,254.90 |
| Flex Reimbursement | 4,010.53 | 42,190.89 |
| Void Checks/Dormant Checks Paid | (51.53) | (44,997.34) |
| Debt Service | - | 1,143,245.01 |
| Miscellaneous [Bank Charges; etc.] | 28.00 | 400.19 |
| TOTAL CASH OUTFLOW | \$ 2,217,782.00 | \$ 16,162,180.85 |
| POOLED CASH AND TEMPORARY INVESTMENTS | | |
| ENDING BALANCE | \$ 66,567,988.46 | \$ 66,567,988.46 |
| MEMO - NET 2017 CASH INFLOW (OUTFLOW) | (1,192,840.58) | 1,655,101.07 |
| INVESTMENT PORTFOLIO SUMMARY | | |
| BEGINNING BALANCE | \$ 60,675,143.98 | 57,425,022.30 |
| Purchases | 847,000.00 | 17,915,000.00 |
| Maturities/Sales | (1,198,342.18) | (15,016,220.50) |
| ENDING BALANCE | \$ 60,323,801.80 | \$ 60,323,801.80 |

2017 CASH AND INVESTMENT ACTIVITY

| CITY INVEST # | STATED | BROKER | SECURITY DESCRIP | CUSIP | PRIN | PURCH 2017 | SOLD/ | BV | PAR | YTM |
|------------------|-------------|---------|-----------------------|------------|-----------------|---------------|----------------|---------------------------|--------------|-------|
| | MAT DATE | | | | BAL 1/1/2017 | | MATURE 2017 | PRIN BAL 12/31/2017 | | |
| 160623 | 6/23/2017 | 4M | Term Series 4M | | 2,000,000.00 | | 2,000,000.00 | 0.00 | 2,000,000.00 | 0.70% |
| 161208C | 3/8/2017 | 4M | Term Series 4M | | 1,000,000.00 | | 1,000,000.00 | 0.00 | 1,000,000.00 | 0.45% |
| 170202 | 1/30/2018 | 4M | Term Series 4M | | | 1,000,000.00 | 0.00 | 1,000,000.00 | 1,000,000.00 | 0.85% |
| 170831 | 8/31/2018 | 4M | Term Series 4M | | | 750,000.00 | 0.00 | 750,000.00 | 750,000.00 | 1.20% |
| 170804 | 12/1/2007 | 4M | Term Series 4M | | | 1,000,000.00 | 0.00 | 1,000,000.00 | 1,000,000.00 | 1.05% |
| 170629A | 6/29/2018 | 4M | Term Series 4M | | | 2,000,000.00 | 0.00 | 2,000,000.00 | 2,000,000.00 | 1.20% |
| 170517 | 5/17/2018 | 4M | Term Series 4M | | | 1,000,000.00 | 0.00 | 1,000,000.00 | 1,000,000.00 | 1.00% |
| 170406A | 10/5/2017 | 4M | Term Series 4M | | | 1,000,000.00 | 0.00 | 1,000,000.00 | 1,000,000.00 | 0.80% |
| 170406B | 4/13/2018 | 4M | Term Series 4M | | | 1,000,000.00 | 0.00 | 1,000,000.00 | 1,000,000.00 | 0.92% |
| 160927B | 3/28/2017 | 4M | Term Series 4M | | 1,000,000.00 | | 1,000,000.00 | 0.00 | 1,000,000.00 | 0.43% |
| 160722B | 1/23/2017 | 4M | Term Series 4M | | 500,000.00 | | 500,000.00 | 0.00 | 500,000.00 | 0.43% |
| 160825A | 8/25/2017 | 4M | Term Series 4M | | 752,400.00 | | 752,400.00 | 0.00 | 752,400.00 | 0.60% |
| 160825B | 8/25/2017 | 4M | CD-CIT BANK/ONEWEST- | 58978 | 247,600.00 | | 247,600.00 | 0.00 | 247,600.00 | 0.95% |
| 14M1205C | 10/11/2017 | 4M | CD-SONABANK (BOND PI | 57968 | 161,000.00 | | 0.00 | 161,000.00 | 161,000.00 | 0.75% |
| | | | | | | | | 7,911,000.00 | | |
| 150701A | 2/1/2020 | BAIRD | WINTHROP MN TAX | 976367ND0 | 290,000.00 | | 0.00 | 290,000.00 | 290,000.00 | 2.43% |
| 160322A | 3/22/2021 | BAIRD | FNMA | 3136H3BT1 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.00% |
| 160420A | 2/1/2017 | BAIRD | MINNENOTONKA MN ISF | 604204QS9 | 120,000.00 | | 120,000.00 | 0.00 | 120,000.00 | 1.04% |
| 160322B | 1/1/2020 | BAIRD | MINNENOTONKA MN ISF | 604195D20 | 100,000.00 | | 0.00 | 100,000.00 | 100,000.00 | 1.55% |
| 160323 | 3/23/2021 | BAIRD | FNMA | 3136G3CJ2 | 300,000.00 | | 300,000.00 | 0.00 | 300,000.00 | 1.00% |
| 150701B | 2/1/2021 | BAIRD | WINTHROP MN TAX | 976367NE8 | 305,000.00 | | 0.00 | 305,000.00 | 305,000.00 | 2.68% |
| 140904 | 9/1/2021 | BAIRD | OKLAHOMA TAXABLE | 678519RP6 | 535,000.00 | | 0.00 | 535,000.00 | 535,000.00 | 2.45% |
| | | | | | | | | 1,480,000.00 | | |
| 160826A | 8/27/2018 | ICD SEC | CD-ADMIRALS BANK | 007220AM8 | 200,000.00 | | 0.00 | 200,000.00 | 200,000.00 | 1.00% |
| 170629B | 12/31/2018 | ICD SEC | CD-ALLY BANK | 02006L3SO | | 100,000.00 | 0.00 | 100,000.00 | 100,000.00 | 1.50% |
| 170922 | 5/26/2020 | ICD SEC | CD-TEXAS EXCHANGE B. | 88241TCA6 | | 249,000.00 | 0.00 | 249,000.00 | 249,000.00 | 1.85% |
| 170927 | 9/27/2019 | ICD SEC | CD-KEY BANK | 49306SYU4 | | 100,000.00 | 0.00 | 100,000.00 | 100,000.00 | 1.65% |
| 170928 | 3/27/2020 | ICD SEC | CD-CONNECTONE BANK | 20786ABV6 | | 249,000.00 | 0.00 | 249,000.00 | 249,000.00 | 1.80% |
| 170929 | 3/27/2020 | ICD SEC | CD-LANDMARK COMM B | 51507LBM5 | | 249,000.00 | 0.00 | 249,000.00 | 249,000.00 | 1.85% |
| 141212A | 6/12/2017 | ICD SEC | CD-ALLY BANK | 02006LMP5 | 100,000.00 | | 100,000.00 | 0.00 | 100,000.00 | 1.20% |
| 160330B | 10/2/2017 | ICD SEC | CD-ALLY BANK | 02006LZH9 | 74,000.00 | | 0.00 | 74,000.00 | 74,000.00 | 0.85% |
| 160728B | 7/30/2018 | ICD SEC | CD-ALLY BANK | 02006LH30 | 75,000.00 | | 0.00 | 75,000.00 | 75,000.00 | 1.00% |
| 150710B | 7/10/2017 | ICD SEC | CD-AMERICAN COMMER | 02519TAC0 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.00% |
| 170829B | 3/1/2019 | ICD SEC | CD-AMERICAN EXPRESS | 02587DX45 | | 249,000.00 | 0.00 | 249,000.00 | 249,000.00 | 1.65% |
| 170829A | 8/29/2019 | ICD SEC | CD-AMERICAN EXPRESS | 02587CFQ8 | | 249,000.00 | 0.00 | 249,000.00 | 249,000.00 | 1.75% |
| 160930C | 4/6/2018 | ICD SEC | CD-AMERICAN NATIONA | 02771RAQ6 | 249,000.00 | | 0.00 | 249,000.00 | 249,000.00 | 0.90% |
| 160930A | 4/2/2018 | ICD SEC | CD-AMERICAN NATIONA | 02771PNK9 | 157,000.00 | | 0.00 | 157,000.00 | 157,000.00 | 0.85% |
| 140725B | 1/25/2017 | ICD SEC | CD-AMERICAN WEST BA | 030590D59 | 200,000.00 | | 200,000.00 | 0.00 | 200,000.00 | 0.80% |
| 151230A | 6/30/2017 | ICD SEC | CD-APPLE BANK FOR SA' | 03784JPH1 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.00% |
| 170630A | 6/29/2018 | ICD SEC | CD-BANK BARODA | 06062RAU6 | | 98,000.00 | 0.00 | 98,000.00 | 98,000.00 | 1.25% |
| 150415 | 4/13/2017 | ICD SEC | CD-BANK CASTLE | 061077BC6 | 150,000.00 | | 150,000.00 | 0.00 | 150,000.00 | 0.70% |
| 160615 | 6/15/2018 | ICD SEC | CD-BANK LEUMI USA | 063248FQ6 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.05% |
| 170413 | 4/13/2018 | ICD SEC | CD-BANK OF BARODA | 06062Q2P8 | | 151,000.00 | 0.00 | 151,000.00 | 151,000.00 | 1.05% |
| 170509 | 11/9/2017 | ICD SEC | CD-BANK OF CHINA | 06426WFAS | | 99,000.00 | 0.00 | 99,000.00 | 99,000.00 | 1.00% |
| 160707 | 7/7/2017 | ICD SEC | CD-BANK OF CHINA | 06426TSQ3 | 149,000.00 | | 149,000.00 | 0.00 | 149,000.00 | 0.70% |
| 160210B | 2/10/2017 | ICD SEC | CD-BANK OF CHINA | 06426TPK9 | 100,000.00 | | 100,000.00 | 0.00 | 100,000.00 | 0.70% |
| 160826B | 8/27/2018 | ICD SEC | CD-BANK OF HOPE | 062683AB3 | 249,000.00 | | 0.00 | 249,000.00 | 249,000.00 | 1.00% |
| 161230B | 12/29/2017 | ICD SEC | CD-BANK OF INDIA | 06279HUR2 | 249,000.00 | | 0.00 | 249,000.00 | 249,000.00 | 1.00% |
| 150630B | 3/30/2017 | ICD SEC | CD-BANK OF NORTH CA | 06414QVR7 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 0.85% |
| 151130B | 11/30/2017 | ICD SEC | CD-BANK UNITED | 066519BC2 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.10% |
| 170125B | 1/25/2019 | ICD SEC | CD-BANKERS West BANK | 06610YFQ5 | | 109,000.00 | 0.00 | 109,000.00 | 200,000.00 | 1.00% |
| 170630B | 12/28/2018 | ICD SEC | CD-BAR HARBOR | 066851VR4 | | 249,000.00 | 0.00 | 249,000.00 | 249,000.00 | 1.50% |
| 140716 | 7/16/2019 | ICD SEC | CD-BARCLAYS BANK | 06740KH7 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 2.05% |
| 160706 | 6/7/2017 | ICD SEC | CD-BEAL BANK | 07370WZX7 | 249,000.00 | | 249,000.00 | 0.00 | 249,000.00 | 0.70% |
| 151223 | 7/24/2017 | ICD SEC | CD-BLC COMMUNITY BA | 05549CGA2 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.05% |
| 150923 | 9/22/2017 | ICD SEC | CD-BMO HARRIS | 05573J4P3 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.10% |
| 170714A | 1/14/2018 | ICD SEC | CD-BMW BANK | 05580AJP0 | | 149,000.00 | 0.00 | 149,000.00 | 149,000.00 | 1.50% |
| 161130 | 11/30/2018 | ICD SEC | CD-BMW BANK OF NORT | 05580AFN9 | 100,000.00 | | 0.00 | 100,000.00 | 100,000.00 | 1.30% |
| 150626B | 6/26/2017 | ICD SEC | CD-BMW BANK OF NORT | 05580AABY9 | 150,000.00 | | 150,000.00 | 0.00 | 150,000.00 | 1.05% |
| 151231 | 6/30/2017 | ICD SEC | CD-BRICKELL BANK | 10801DAA9 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.00% |
| 160729A | 4/30/2018 | ICD SEC | CD-BROOKLINE BANK | 113730CE6 | 176,000.00 | | 0.00 | 176,000.00 | 176,000.00 | 0.85% |
| 170131 | 7/31/2019 | ICD SEC | CD-CAPE COD | 13934CAB3 | | 249,000.00 | 0.00 | 249,000.00 | 200,000.00 | 1.00% |
| 170111 | 1/11/2019 | ICD SEC | CD-CAPITAL ONE BANK | 140420S92 | | 148,000.00 | 0.00 | 148,000.00 | 200,000.00 | 1.00% |
| 150624 | 12/26/2017 | ICD SEC | CD-CAPITAL ONE BANK | 29266NK71 | 100,000.00 | | 0.00 | 100,000.00 | 100,000.00 | 1.30% |
| 150715A | 7/16/2018 | ICD SEC | CD-CAPITAL ONE BANK | 14042E4R8 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.60% |
| 150930B | 3/30/2017 | ICD SEC | CD-CARDINAL BANK | 14147VFD3 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 0.80% |
| 160330A | 6/30/2017 | ICD SEC | CD-CELTIC BANK UT | 15118RKY2 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 0.70% |
| 170330B | 9/30/2019 | ICD SEC | CD-CENTRAL FEDERAL S | 15347EAE9 | | 249,000.00 | 0.00 | 249,000.00 | 249,000.00 | 1.55% |

2017 CASH AND INVESTMENT ACTIVITY

| CITY- INVEST # | STATED | | SECURITY DESCRIP | CUSIP | PRIN | PURCH 2017 | SOLD/ | PRIN | PAR | YTM |
|-------------------|-------------|---------|-----------------------|------------|-----------------|---------------|----------------|-------------------|------------|-------|
| | MAT DATE | BROKER | | | BAL 1/1/2017 | | MATURE 2017 | BAL 12/31/2017 | | |
| 170728C | 7/29/2019 | ICD SEC | CD-CITIZENS STATE BAN | 17669WGF6 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.65% |
| 170331 | 9/30/2019 | ICD SEC | CD-CITIZENS STATE BAN | 17668UAP5 | | 103,000.00 | 0.00 | 103,000.00 | 103,000 | 1.50% |
| 160826C | 2/28/2019 | ICD SEC | CD-COMENITY CAP BAN | 20033ARR9 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 1.20% |
| 170208 | 8/8/2018 | ICD SEC | CD-COMMERCIAL BANK | 20146AAC4 | | 101,000.00 | 0.00 | 101,000.00 | 101,000 | 1.20% |
| 151130A | 11/30/2017 | ICD SEC | CD-COMPASS BANK | 20451PNZ5 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.15% |
| 150715B | 7/17/2017 | ICD SEC | CD-CONESTOGA BANK | 20701PBQ6 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.00% |
| 150717 | 1/17/2017 | ICD SEC | CD-CRESCOM BANK | 225862CE9 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 0.65% |
| 140723A | 1/23/2018 | ICD SEC | CD-CUSTOMERS BANK | 23204HBR8 | 200,000.00 | | 0.00 | 200,000.00 | 200,000 | 1.30% |
| 170719A | 7/19/2019 | ICD SEC | CD-DISCOVER BANK | 2546726A1 | | 100,000.00 | 0.00 | 100,000.00 | 100,000 | 1.65% |
| 150715C | 7/17/2017 | ICD SEC | CD-DISCOVER BANK | 254672S2C3 | 100,000.00 | | 100,000.00 | 0.00 | 100,000.00 | 1.15% |
| 150730 | 7/30/2018 | ICD SEC | CD-DISCOVER BANK | 254672TA6 | 149,000.00 | | 0.00 | 149,000.00 | 149,000.00 | 1.70% |
| 151218A | 3/20/2017 | ICD SEC | CD-DMB COMMUNITY B | 23322GKG1 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 0.70% |
| 160720 | 7/20/2018 | ICD SEC | CD-EAGLE BANK | 27002YCX2 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 0.90% |
| 170724 | 7/24/2019 | ICD SEC | CD-ESSA BOSTON SAVIN | 27119PBU4 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.65% |
| 151218C | 6/15/2017 | ICD SEC | CD-EAST BOSTON SAVIN | 27113PAT8 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.00% |
| 170719C | 7/19/2019 | ICD SEC | CD-ENERBANK | 29266NSQ6 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.65% |
| 150515 | 5/15/2017 | ICD SEC | CD-ENERBANK | 29266NK71 | 99,000.00 | | 99,000.00 | 0.00 | 99,000 | 0.90% |
| 170228 | 5/1/2019 | ICD SEC | CD-ESSA BANK & TRUST | 29667RPN7 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.40% |
| 160129 | 1/29/2018 | ICD SEC | CD-EVERBANK | 29976DH94 | 248,000.00 | | 0.00 | 248,000.00 | 250,000 | 1.10% |
| 151125 | 11/27/2017 | ICD SEC | CD-FIRST BANK PUERTO | 33767ASC6 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.20% |
| 17063.0C | 12/31/2018 | ICD SEC | CD-FIRST BANK SOUTHE | 31931TEP6 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.50% |
| 170731 | 1/31/2019 | ICD SEC | CD-FIRST COMMERCIAL | 31984GEF2 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.45% |
| 151113 | 11/13/2017 | ICD SEC | CD-FIRST MERIT BANK | 320844PU1 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.00% |
| 160816 | 2/16/2018 | ICD SEC | CD-FIRST NATIONAL BAN | 332135GM8 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 0.90% |
| 151218B | 6/18/2018 | ICD SEC | CD-FIRST SOURCE BANK | 33646CFY5 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.25% |
| 151029 | 10/27/2017 | ICD SEC | CD-FRIST TEXOMA NATI | 33717WAA2 | 100,000.00 | | 0.00 | 100,000.00 | 100,000 | 0.85% |
| 140822 | 2/22/2017 | ICD SEC | CD-GE CAPITAL BANK | 36161TX59 | 49,000.00 | | 49,000.00 | 0.00 | 49,000 | 1.00% |
| 130301 | 3/1/2018 | ICD SEC | CD-GE CAPITAL BANK | 36161TJB2 | 200,000.00 | | 0.00 | 200,000.00 | 200,000 | 1.10% |
| 170327 | 7/27/2018 | ICD SEC | CD-GNB BANK | 36198JCP7 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.20% |
| 150629 | 1/30/2017 | ICD SEC | CD-GNB BANK | 36198JAX2 | 250,000.00 | | 250,000.00 | 0.00 | 250,000 | 0.80% |
| 170726 | 7/27/2020 | ICD SEC | CD-GOLDMAN SACHS | 38148PLS4 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.85% |
| 150930A | 3/30/2017 | ICD SEC | CD-GOLDMAN SACHS | 38148JP62 | 100,000.00 | | 100,000.00 | 0.00 | 100,000.00 | 0.85% |
| 140828 | 2/28/2017 | ICD SEC | CD-GREAT SOUTHERN B | 39120VRK2 | 249,000.00 | | 249,000.00 | 0.00 | 249,000 | 1.00% |
| 140717 | 4/17/2017 | ICD SEC | CD-GUARANTY BANK & | 40082OBK1 | 250,000.00 | | 250,000.00 | 0.00 | 250,000 | 0.85% |
| 160729B | 3/29/2018 | ICD SEC | CD-HERITAGE BANK | 42724JDF9 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 0.85% |
| 160824 | 8/24/2018 | ICD SEC | CD-HSBC BANK | 404344BG1 | 249,000.00 | | 249,000.00 | 0.00 | 249,000 | 1.10% |
| 160721A | 7/23/2018 | ICD SEC | CD-INDEPENDENCE BAN | 4534OKDP1 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 0.90% |
| 161216A | 12/17/2018 | ICD SEC | CD-INVESTORS SAVINGS | 46176PFV2 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 1.35% |
| 151216B | 1/17/2017 | ICD SEC | CD-ION BANK | 46203PAC6 | 208,000.00 | | 208,000.00 | 0.00 | 208,000.00 | 0.75% |
| 151211A | 6/12/2017 | ICD SEC | CD-ISRAEL DISCOUNT B | 465076HV2 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.00% |
| 160831 | 8/31/2018 | ICD SEC | CD-JP MORGAN CHASE | 48126XCN3 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 1.05% |
| 170222 | 2/28/2019 | ICD SEC | CD-KEY BANK | 493065XF8 | | 149,000.00 | 0.00 | 149,000.00 | 149,000 | 1.55% |
| 161019 | 10/19/2018 | ICD SEC | CD-LAKESIDE BANK | 51210SLX3 | 100,000.00 | | 0.00 | 100,000.00 | 100,000 | 1.05% |
| 160115 | 7/17/2017 | ICD SEC | CD-LINCOLN PARK SAVIN | 53468JAG7 | 250,000.00 | | 250,000.00 | 0.00 | 250,000 | 1.00% |
| 161230A | 12/30/2019 | ICD SEC | CD-M BANK | 55275FKK3 | 160,000.00 | | 0.00 | 160,000.00 | 160,000 | 1.55% |
| 161215 | 12/14/2019 | ICD SEC | CD-MAIN STREET BANK | 56034WAP6 | 249,000.00 | | 0.00 | 249,000.00 | 200,000 | 1.45% |
| 150710A | 7/10/2017 | ICD SEC | CD-MB FINANCIAL | 55266CMR4 | 250,000.00 | | 250,000.00 | 0.00 | 250,000.00 | 1.00% |
| 170714B | 1/14/2019 | ICD SEC | CD-MEDALLION BANK | 58403D7B6 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.50% |
| 140610 | 6/9/2017 | ICD SEC | CD-MEDALLION BANK | 58403BP26 | 250,000.00 | | 250,000.00 | 0.00 | 250,000 | 1.00% |
| 170721 | 7/22/2019 | ICD SEC | CD-MERRICK BANK | 59013JZB8 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.70% |
| 150130 | 1/30/2017 | ICD SEC | CD-MERRICK BANK | 59013JDA4 | 100,000.00 | | 100,000.00 | 0.00 | 100,000 | 0.75% |
| 160413 | 10/13/2017 | ICD SEC | CD-MIDLANDS SB, IL | 59774QE44 | 250,000.00 | | 0.00 | 250,000.00 | 250,000 | 0.85% |
| 170714C | 7/15/2019 | ICD SEC | CD-MIDSOUTH BANK | 59805FAB5 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.70% |
| 170728B | 1/28/2020 | ICD SEC | CD-NATIONWIDE BANK | 63861NAH8 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.75% |
| 151031 | 1/4/2018 | ICD SEC | CD-NOA BANK | 65487TBD2 | 150,000.00 | | 0.00 | 150,000.00 | 150,000 | 0.90% |
| 170330A | 4/1/2019 | ICD SEC | CD-NORTHFIELD BANK | 66612ABG2 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.45% |
| 160210A | 2/9/2018 | ICD SEC | CD-ORIENTAL BANK | 686184WS7 | 200,000.00 | | 0.00 | 200,000.00 | 200,000 | 1.20% |
| 161221 | 6/21/2019 | ICD SEC | CD-ORRSTOWN BANK | 687377EA5 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 1.50% |
| 170719B | 7/19/2019 | ICD SEC | CD-PEOPLES UNITED BAN | 71270QQZZ | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.65% |
| 170727 | 10/28/2019 | ICD SEC | CD-PILOT BANK | 721531BT7 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.65% |
| 170728A | 7/27/2018 | ICD SEC | CD-PINNACLE BANK | 72345SFJ5 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.35% |
| 161229 | 6/29/2018 | ICD SEC | CD-PREFERRED BANK | 740367FE2 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 1.15% |
| 170317 | 9/17/2018 | ICD SEC | CD-PRIVATE BANK & TR | 74267GVR5 | | 200,000.00 | 0.00 | 200,000.00 | 200,000 | 1.15% |
| 161228A | 6/28/2018 | ICD SEC | CD-PROVIDENCE BANK | 74374MAD6 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 1.20% |
| 161207 | 6/7/2018 | ICD SEC | CD-ROLLSTONE BANK & | 77579ABW5 | 200,000.00 | | 0.00 | 200,000.00 | 200,000 | 1.15% |
| 170125C | 1/25/2019 | ICD SEC | CD-ROUNDOUT SAVINGS I | 776322AR0 | | 200,000.00 | 0.00 | 200,000.00 | 200,000 | 1.00% |
| 160916 | 9/17/2018 | ICD SEC | CD-SAFRA NATIONAL BA | 78/658QYZ0 | 249,000.00 | | 0.00 | 249,000.00 | 249,000.00 | 1.10% |
| 140924 | 9/25/2017 | ICD SEC | CD-SALLIE MAE BANK | 40082OBK1 | 249,000.00 | | 249,000.00 | 0.00 | 249,000 | 1.40% |
| 160224A | 8/24/2017 | ICD SEC | CD-SANTANDER BANK | 80280JLV3 | 250,000.00 | | 250,000.00 | 0.00 | 250,000 | 0.95% |
| 170623 | 6/24/2019 | ICD SEC | CD-STATE BANK OF INDI | 856285BZ3 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.70% |
| 160930B | 4/30/2018 | ICD SEC | CD-STEARNS BANK | 857894RN1 | 249,000.00 | | 0.00 | 249,000.00 | 249,000.00 | 0.95% |
| 161228B | 6/28/2019 | ICD SEC | CD-STEBUBEN TR CO | 860010BG1 | 160,000.00 | | 0.00 | 160,000.00 | 160,000 | 1.40% |
| 170630D | 6/28/2019 | ICD SEC | CD-SUMMIT COMMUNIT | 86604XLX2 | | 205,000.00 | 0.00 | 205,000.00 | 205,000 | 1.55% |
| 140305 | 3/6/2017 | ICD SEC | CD-SYNOVOUS BANK | 87164DEB2 | 200,000.00 | | 200,000.00 | 0.00 | 200,000 | 0.85% |

2017 CASH AND INVESTMENT ACTIVITY

| CITY INVEST # | STATED MAT DATE | BROKER | SECURITY DESCRIP | CUSIP | PRIN | PURCH 2017 | SOLD/ MATURE | BV PRIN BAL | PAR | YTM |
|-----------------------|-----------------------|-----------|-----------------------|-------------|------------|---------------|-----------------|-------------------|------------|-------|
| | | | | | 1/1/2017 | | 2017 | 12/31/2017 | | |
| 161123 | 5/23/2018 | ICD SEC | CD-TCF NATIONAL BANK | 872278XK7 | 100,000.00 | | 0.00 | 100,000.00 | 100,000 | 0.90% |
| 160721B | 1/22/2018 | ICD SEC | CD-TRANSPORTATION A | 89388CBE7 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 0.75% |
| 141209 | 9/27/2017 | ICD SEC | CD-UNITED BANK | 909552AQ4 | 250,000.00 | | 248,000.00 | 2,000.00 | 250,000 | 0.65% |
| 170421 | 4/22/2019 | ICD SEC | CD-UNITED COMMUNITY | 90983HAC8 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.40% |
| 161219 | 12/19/2018 | ICD SEC | CD-WEB BANK | 947547JJ5 | 249,000.00 | | 0.00 | 249,000.00 | 249,000 | 1.40% |
| 160617 | 6/18/2018 | ICD SEC | CD-WELLS FARGO BANK | 9497485X1 | 150,000.00 | | 0.00 | 150,000.00 | 150,000 | 1.20% |
| 150925 | 9/25/2017 | ICD SEC | CD-WELLS FARGO BANK | 9497482H9 | 100,000.00 | | 100,000.00 | 0.00 | 100,000.00 | 1.00% |
| 151211B | 12/11/2017 | ICD SEC | CD-WEX BANK | 92937CDH8 | 250,000.00 | | 0.00 | 250,000.00 | 250,000.00 | 1.20% |
| 170125A | 1/25/2019 | ICD SEC | CD-WHITNESY BANK | 966594AV5 | | 249,000.00 | 0.00 | 249,000.00 | 249,000 | 1.00% |
| 160930B | 4/2/2018 | ICD SEC | CD-WOOD & HUSTON BA | 978208AA4 | 249,000.00 | | 0.00 | 249,000.00 | 249,000.00 | 1.00% |
| 170112 | 1/11/2019 | ICD SEC | CD-WORLDS FOREMOST | 981571CS9 | | 100,000.00 | 0.00 | 100,000.00 | 200,000 | 1.00% |
| 170119 | 1/18/2019 | ICD SEC | CD-WORLDS FOREMOST | 981571CU4 | | 100,000.00 | 0.00 | 100,000.00 | 200,000 | 1.00% |
| 160525 | 8/25/2017 | ICD SEC | CD-Z BANK | 98878BAD0 | 249,000.00 | | 249,000.00 | 0.00 | 249,000 | 0.75% |
| 2016 Investments & MM | | | | | | | | 19,167,000.00 | | |
| 090102B | 12/25/2018 | Com Sec | FNR 2003-120 BY | 31393UGR8 | 13,715.78 | | 8,146.08 | 5,569.70 | 200,000 | 3.98% |
| | | | | | | | | 5,569.70 | | |
| 160606 | 5/1/2020 | Northland | ANCHOR BAY MI SCH DI | 032879-TC-2 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 2.12% |
| 161114B | 2/1/2024 | Northland | ANDOVER MN TAX | 034313-ZU-3 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 2.25% |
| 130412 | 2/1/2024 | Northland | BECKER MN TAX | 075671LJ4 | 50,000.00 | | 0.00 | 50,000.00 | 50,000 | 2.75% |
| 160317 | 2/1/2019 | Northland | BECKER MN TAX | 075663YH9 | 645,000.00 | | 0.00 | 645,000.00 | 645,000 | 1.15% |
| 150903 | 11/1/2018 | Northland | BROOKFIELD WI, TAXAB | 112857-BL-0 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 2.20% |
| 110310 | 2/1/2020 | Northland | BROOKLYN CENTER ISD | 113853-KG-9 | 285,000.00 | | 0.00 | 285,000.00 | 285,000 | 4.65% |
| 120215B | 6/1/2026 | Northland | CEDAR RAPIDS IO TAX | 150528-JU-2 | 50,000.00 | | 0.00 | 50,000.00 | 50,000 | 4.55% |
| 120606B | 6/1/2022 | Northland | CEDAR RAPIDS IO TAX | 150528-N2-6 | 135,000.00 | | 0.00 | 135,000.00 | 135,000 | 2.50% |
| 120606A | 6/1/2023 | Northland | CEDAR RAPIDS IO TAX | 150528-PA-9 | 140,000.00 | | 0.00 | 140,000.00 | 140,000 | 2.70% |
| 140620 | 12/1/2020 | Northland | CELINA OHIO SCHOOL D. | 151069-FD-8 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 2.13% |
| 130815A | 12/1/2020 | Northland | CHASKA, MN TAX | 161664-DY-0 | 75,000.00 | | 0.00 | 75,000.00 | 75,000 | 2.50% |
| 130815B | 12/1/2021 | Northland | CHASKA, MN TAX | 161664-DZ-7 | 75,000.00 | | 0.00 | 75,000.00 | 75,000 | 2.75% |
| 140502 | 2/15/2020 | Northland | COLLINGSWOOD NJ TAX | 194756-PQ-9 | 145,000.00 | | 0.00 | 145,000.00 | 145,000 | 3.00% |
| 140730A | 6/1/2019 | Northland | DES MOINES IA TAXABL | 25009X-GB-5 | 115,000.00 | | 0.00 | 115,000.00 | 115,000 | 2.00% |
| 140730B | 6/1/2020 | Northland | DES MOINES IA TAXABL | 25009X-GC-3 | 145,000.00 | | 0.00 | 145,000.00 | 145,000 | 2.10% |
| 170109 | 6/1/2022 | Northland | DESMOINES IA TAX | 250097-J3-7 | | 200,000.00 | 0.00 | 200,000.00 | 200,000 | 2.30% |
| 141104 | 2/1/2022 | Northland | DULUTH MN TAXABLE | 264438-G5-5 | 150,000.00 | | 0.00 | 150,000.00 | 150,000 | 3.00% |
| 151217A | 12/1/2018 | Northland | FARGO ND TAXABLE | 30747N-BC-7 | 435,000.00 | | 0.00 | 435,000.00 | 435,000 | 1.40% |
| 151217B | 12/1/2017 | Northland | FARGO ND TAXABLE | 30747N-BB-9 | 395,000.00 | | 0.00 | 395,000.00 | 395,000 | 1.00% |
| 151216A | 6/14/2018 | Northland | FFCB | 3133EF-SH-1 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.17% |
| 140326 | 9/26/2017 | Northland | FHLB | 3130A15P9 | 350,000.00 | | 350,000.00 | 0.00 | 350,000 | 1.00% |
| 151119B | 11/19/2021 | Northland | FNMA | 3136GSSA6 | 400,000.00 | | 0.00 | 400,000.00 | 400,000 | 1.00% |
| 170712 | 7/1/2021 | Northland | GARDENDALE ALA TAX | 365478-DQ-6 | | 240,000.00 | 0.00 | 240,000.00 | 240,000 | 2.20% |
| 150601 | 6/1/2017 | Northland | HAWKEYE COMMUNITY | 42016A-KH-3 | 190,000.00 | | 190,000.00 | 0.00 | 190,000 | 0.90% |
| 170823A | 3/1/2018 | Northland | HAWLEY MN SCHOOL DI | 420416-EY-1 | | 150,000.00 | 0.00 | 150,000.00 | 150,000 | 1.40% |
| 140320A | 3/1/2019 | Northland | HAWLEY MN SCHOOL DI | 420416-EZ-8 | 390,000.00 | | 0.00 | 390,000.00 | 390,000 | 1.85% |
| 140117 | 11/1/2019 | Northland | HONOLULU TAXABLE | 438670-Q4-6 | 275,000.00 | | 0.00 | 275,000.00 | 275,000 | 1.84% |
| 110114A | 2/1/2017 | Northland | HOPKINS ISD #270 | 439881-HB-2 | 100,000.00 | | 100,000.00 | 0.00 | 100,000 | 2.75% |
| 150109 | 10/1/2022 | Northland | HUBBARY COUNTY TAX | 443348-DE-4 | 135,000.00 | | 0.00 | 135,000.00 | 140,000 | 2.70% |
| 121211D | 6/1/2017 | Northland | IOWA WESTERN COMM C | 462612-QM-7 | 100,000.00 | | 100,000.00 | 0.00 | 100,000 | 0.85% |
| 120321 | 3/1/2022 | Northland | MADISON WI SCHOOL D | 558495-KN-6 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 2.50% |
| 150708 | 2/1/2019 | Northland | MAPLEWOOD MN TAX | 56557-UM-5 | 350,000.00 | | 0.00 | 350,000.00 | 350,000 | 1.80% |
| 161101 | 3/1/2019 | Northland | MARSHFIELD WI TAX | 572852-W4-9 | 145,000.00 | | 0.00 | 145,000.00 | 145,000 | 1.20% |
| 110203A | 4/1/2017 | Northland | MEDFORD WIS SCHOOL I | 58434T-DK-3 | 40,000.00 | | 40,000.00 | 0.00 | 40,000 | 2.75% |
| 121228B | 9/1/2023 | Northland | MIDDLETON WIS REFUND | 596782-T6-7 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 2.36% |
| 120214B | 9/1/2025 | Northland | MIDDLETON WIS REFUND | 596782-TJ-1 | 315,000.00 | | 0.00 | 315,000.00 | 315,000 | 2.85% |
| 150430 | 2/1/2022 | Northland | MINNETONKA ISD #276 | 604195-YG-6 | 270,000.00 | | 0.00 | 270,000.00 | 270,000 | 3.00% |
| 130702A | 1/1/2019 | Northland | MINNETONKA ISD #276 | 604195-VW-4 | 285,000.00 | | 0.00 | 285,000.00 | 285,000 | 1.40% |
| 130702B | 1/1/2021 | Northland | MINNETONKA ISD #276 | 604195-VY-0 | 400,000.00 | | 0.00 | 400,000.00 | 400,000 | 2.10% |
| 120215A | 1/1/2028 | Northland | MINNETONKA ISD #276 | 604195-SB-4 | 50,000.00 | | 0.00 | 50,000.00 | 50,000 | 5.20% |
| 160812 | 5/1/2023 | Northland | MONROE MI TAX | 611101-MF-0 | 190,000.00 | | 0.00 | 190,000.00 | 190,000 | 2.26% |
| 130722 | 2/1/2021 | Northland | MOUND MN TAXABLE | 620835-W4-2 | 95,000.00 | | 0.00 | 95,000.00 | 95,000 | 2.00% |
| 100803B | 2/1/2018 | Northland | NEW PRAGUE BAB | 648159-TX-9 | 70,000.00 | | 0.00 | 70,000.00 | 70,000 | 3.75% |
| 100803C | 2/1/2019 | Northland | NEW PRAGUE BAB | 648159-TY-7 | 45,000.00 | | 0.00 | 45,000.00 | 45,000 | 4.00% |
| 100803D | 2/1/2020 | Northland | NEW PRAGUE BAB | 648159-TZ-4 | 70,000.00 | | 0.00 | 70,000.00 | 70,000 | 4.25% |
| 141006 | 6/1/2020 | Northland | NORTHEAST IOWA COMI | 664214-JQ-7 | 320,000.00 | | 0.00 | 320,000.00 | 320,000 | 1.90% |
| 161003A | 2/1/2018 | Northland | OLIVIA MN TAX | 681079-YD-5 | 45,000.00 | | 0.00 | 45,000.00 | 45,000 | 2.00% |
| 161003B | 2/1/2019 | Northland | OLIVIA MN TAX | 681079-YE-3 | 95,000.00 | | 0.00 | 95,000.00 | 95,000 | 2.00% |
| 161003C | 2/1/2020 | Northland | OLIVIA MN TAX | 681079-YF-0 | 100,000.00 | | 0.00 | 100,000.00 | 100,000 | 2.00% |
| 111031 | 6/1/2018 | Northland | RACINE WIS TAXABLE | 750021-6D-4 | 755,000.00 | | 0.00 | 755,000.00 | 755,000 | 2.10% |
| 130624A | 2/1/2023 | Northland | RAMSEY COUNTY TAXA | 751622-HH-7 | 595,000.00 | | 0.00 | 595,000.00 | 595,000 | 2.75% |
| 130624B | 2/1/2022 | Northland | RAMSEY COUNTY TAXA | 751622-HG-9 | 285,000.00 | | 0.00 | 285,000.00 | 285,000 | 2.50% |
| 160420B | 10/1/2018 | Northland | SHEBOYGAN WIS TAX | 82102R-BY-0 | 250,000.00 | | 0.00 | 250,000.00 | 250,000 | 1.00% |
| 150804 | 11/1/2018 | Northland | SHEBYGAN WISCON REF | 82102R-BM-6 | 800,000.00 | | 0.00 | 800,000.00 | 800,000 | 1.25% |
| 120308A | 12/1/2020 | Northland | SHOREWOOD WIS TAX | 825230-KU-8 | 150,000.00 | | 0.00 | 150,000.00 | 150,000 | 2.50% |

2017 CASH AND INVESTMENT ACTIVITY

| CITY INVEST # | STATED MAT DATE | BROKER | SECURITY DESCRIP | CUSIP | PRIN | PURCH 2017 | SOLD/ | BV | PAR | YTM |
|------------------|-----------------------|-----------|-----------------------|-------------|-----------------|---------------|----------------|---------------------------|------------|-------|
| | | | | | BAL 1/1/2017 | | MATURE 2017 | PRIN BAL 12/31/2017 | | |
| 120308B | 12/1/2022 | Northland | SHOREWOOD WIS TAX | 825230-KW-4 | 150,000.00 | | 0.00 | 150,000.00 | 150,000 | 2.80% |
| 120308C | 12/1/2027 | Northland | SHOREWOOD WIS TAX | 825230-LB-9 | 225,000.00 | | 0.00 | 225,000.00 | 225,000 | 3.25% |
| 120308D | 12/1/2028 | Northland | SHOREWOOD WIS TAX | 825230-LC-7 | 465,000.00 | | 0.00 | 465,000.00 | 465,000 | 3.15% |
| 170215 | 5/1/2019 | Northland | SPARTA WIS REFUNDING | 846748-HC-8 | | 100,000.00 | 0.00 | 100,000.00 | 100,000 | 1.80% |
| 120214B | 4/1/2017 | Northland | ST FRANCIS ISD#15 | 789466-PU-7 | 250,000.00 | | 250,000.00 | 0.00 | 250,000 | 4.40% |
| 161222 | 2/1/2019 | Northland | ST. PAUL MN PORT AUTH | 793028-WR-8 | 145,000.00 | | 0.00 | 145,000.00 | 145,000 | 2.00% |
| 110608 | 2/1/2019 | Northland | WADENA MN BAB | 930217-JD-7 | 95,000.00 | | 0.00 | 95,000.00 | 95,000 | 3.94% |
| 170620A | 6/1/2019 | Northland | WATERLOO IOWA TAXAI | 941647-VS-5 | | 210,000.00 | 0.00 | 210,000.00 | 210,000 | 1.55% |
| 170620B | 6/1/2020 | Northland | WATERLOO IOWA TAXAI | 941647-VT-3 | | 210,000.00 | 0.00 | 210,000.00 | 210,000 | 1.75% |
| 130624C | 6/1/2018 | Northland | WATERLOO IOWA TAXAI | 941647-NZ-8 | 345,000.00 | | 0.00 | 345,000.00 | 345,000 | 2.00% |
| 170823B | 10/1/2019 | Northland | WESTERN LAKE SUPERIC | 958522-XW-9 | | 120,000.00 | 0.00 | 120,000.00 | 120,000 | 1.20% |
| 150626C | 10/1/2017 | Northland | WESTERN LAKE SUPERIC | 958522-WS-9 | 245,000.00 | | 0.00 | 245,000.00 | 245,000 | 1.35% |
| 120215C | 10/1/2019 | Northland | WESTERN LAKE SUPERIC | 958522-WU-4 | 100,000.00 | | 0.00 | 100,000.00 | 100,000 | 3.15% |
| 110816B | 10/1/2017 | Northland | WESTIN LAKE SUPERIOR | 958522-WS-9 | 350,000.00 | | 0.00 | 350,000.00 | 350,000 | 2.50% |
| 160728A | 5/1/2020 | Northland | WISCONSIN STATE TAX | 97705M-DS-1 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.15% |
| 141205A | 9/1/2018 | Northland | WYANDOTTE KANSAS SI | 982696-QE-9 | 125,000.00 | | 0.00 | 125,000.00 | 125,000 | 1.93% |
| 2015 Investment | | | | | | | | 16,595,000.00 | | |
| | | | | | | | | | | |
| 120817A | 5/1/2018 | UBS | NYC-TAXABLE | 64971QTU7 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.60% |
| 160224B | 12/1/2019 | UBS | MCLEAN COUNTY IL SCH | 582018FF5 | 450,000.00 | | 0.00 | 450,000.00 | 450,000 | 2.00% |
| 161208A | 4/1/2023 | UBS | NEW HOPE CULTURAL EI | 64542WAY6 | 250,000.00 | | 0.00 | 250,000.00 | 250,000 | 2.27% |
| 161208B | 11/1/2024 | UBS | MICHIGAN FIN AUTH RE' | 59447TJX2 | 250,000.00 | | 0.00 | 250,000.00 | 250,000 | 2.51% |
| 161216B | 12/16/2022 | UBS | CD-MERCANTIL COMM E | 58733ADQ9 | 245,000.00 | | 0.00 | 245,000.00 | 245,000 | 2.30% |
| 161114A | 7/1/2025 | UBS | LEXINGTON FAYETTE AI | 52909MCA0 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 2.75% |
| 160927A | 5/1/2021 | UBS | YPSILANTI MI SCHOOL D | 987864NY7 | 405,000.00 | | 0.00 | 405,000.00 | 405,000.00 | 2.18% |
| 160928 | 3/28/2025 | UBS | FHLB | 3130A9CN9 | 300,000.00 | | 0.00 | 300,000.00 | 300,000.00 | 1.25% |
| 160930E | 9/30/2019 | UBS | CD-JEFFERSON BANK & T | 472376AA0 | 245,000.00 | | 0.00 | 245,000.00 | 245,000.00 | 1.15% |
| 160802 | 12/1/2027 | UBS | TOOLE CITY UT TAX | 89033RBU7 | 400,000.00 | | 0.00 | 400,000.00 | 400,000 | 2.76% |
| 160826D | 8/24/2026 | UBS | FHLB | 3130A8Z63 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 1.25% |
| 160830A | 8/28/2026 | UBS | FHLB | 3130A8ZF3 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 1.50% |
| 160830B | 8/28/2023 | UBS | FHLMC | 3134GAAKO | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 1.25% |
| 160830C | 8/1/2022 | UBS | NEW YORK CITY TAXAB | 64966MED7 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 1.60% |
| 160830D | 8/24/2023 | UBS | FNMA | 3136G32Y0 | 250,000.00 | | 0.00 | 250,000.00 | 250,000 | 1.13% |
| 160705 | 11/1/2021 | UBS | MICHIGAN STATE TAX | 594612CX9 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 1.78% |
| 160718 | 12/1/2021 | UBS | LITTLE MIAMI OHIO SCH | 537292KY9 | 345,000.00 | | 0.00 | 345,000.00 | 345,000 | 1.76% |
| 160726 | 7/26/2024 | UBS | FHLMC | 3134G9N78 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.25% |
| 160727 | 5/1/2022 | UBS | NORTH BRAND SCHOOL | 657740F50 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 2.08% |
| 160601 | 2/15/2022 | UBS | MCALLEN TAX' | 582018FF5 | 200,000.00 | | 0.00 | 200,000.00 | 200,000 | 1.75% |
| 160613 | 12/1/2020 | UBS | SCHILLER PARK TAX | 8062721LM6 | 325,000.00 | | 0.00 | 325,000.00 | 325,000 | 2.50% |
| 160526 | 5/1/2020 | UBS | MILAN MICH SCHOOL TA | 598801JD1 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.51% |
| 160412 | 5/1/2019 | UBS | LINCOLN SD TAX | 533883NG5 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 2.03% |
| 160422 | 5/15/2021 | UBS | UNIVERSITY OF CA TAX | 91412GFS9 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.91% |
| 160428 | 10/28/2022 | UBS | FNMA | 3136G3J55 | 250,000.00 | | 0.00 | 250,000.00 | 250,000 | 1.00% |
| 161018 | 7/1/2024 | UBS | SELMA ALA TAX | 816459QV6 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 2.50% |
| 161026 | 10/1/2024 | UBS | HONOLULU TAX | 4386705W7 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 2.37% |
| 130611 | 5/21/2018 | UBS | FNMA | 3135GOXDO | 750,000.00 | | 0.00 | 750,000.00 | 750,000 | 1.29% |
| 030630A | 6/15/2018 | UBS | FHR 2628 AB | 31393VMQ1C | 2,641.38 | | 1,645.57 | 995.81 | 200,000 | 3.12% |
| 030730A | 8/25/2018 | UBS | FNR 2003-74-KN | 31393EAL3C | 4,910.50 | | 2,958.14 | 1,952.36 | 300,000.00 | 3.59% |
| 030930A | 9/15/2018 | UBS | FHR 2677 KH | 31394JTP2 | 56.81 | | 56.88 | -0.07 | 300,000 | 4.50% |
| 121207 | 11/1/2018 | UBS | NYC GEN TAX | 64971QH55 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.28% |
| 140710 | 7/10/2019 | UBS | CD-AMERICAN EXPRESS | 02587CAC4 | 240,000.00 | | 0.00 | 240,000.00 | 240,000 | 1.95% |
| 040730A | 7/15/2019 | UBS | FHR 2822 DB | 31395C3S8 | 6,325.23 | | 4,553.24 | 1,771.99 | 500,000 | 5.00% |
| 130606B | 11/1/2019 | UBS | NYC GEN TAX | 64971QH63 | 1,000,000.00 | | 0.00 | 1,000,000.00 | 1,000,000 | 1.73% |
| 150310 | 4/1/2020 | UBS | MONTGOMERY ALA TAX | 613035L69 | 400,000.00 | | 0.00 | 400,000.00 | 400,000 | 2.15% |
| 151207 | 12/1/2020 | UBS | SACRAMENTO TAXABLE | 786060BB8 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 3.00% |
| 970625 | 2/25/2021 | UBS | FNMA FNR-1991-7 H | 31358FZW2 | 1,000.00 | | 0.00 | 1,000.00 | 129,000 | 7.84% |
| 970917B | 9/15/2021 | UBS | FHLMC REMIC SERIES FF | 312904GT6C | 357.00 | | 226.42 | 130.58 | 255,714 | 7.21% |
| 151119A | 11/19/2021 | UBS | FNMA | 3136G2SA6 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 1.05% |
| 151104 | 3/1/2022 | UBS | NEW YORK TAXALBE | 64966KQC0 | 500,000.00 | | 0.00 | 500,000.00 | 500,000 | 2.26% |
| 001127 | 7/25/2022 | UBS | FNR G92-35 | 31358PHV2C | 981.33 | | 200.35 | 780.98 | 75,215 | 7.49% |
| 021004 | 8/25/2022 | UBS | FNR 1992-125L | 31358PS40C | 1,076.65 | | 179.27 | 897.38 | 52,000 | 7.00% |
| 020826 | 10/15/2022 | UBS | FHR 1391D | 312912LUO | 957.62 | | 254.55 | 703.07 | 59,990 | 6.00% |
| 150812 | 6/1/2023 | UBS | CHARLOTTE TAXABLE | 161037L61 | 300,000.00 | | 0.00 | 300,000.00 | 300,000 | 2.65% |
| 010328 | 6/25/2023 | UBS | FHG14A | 312916PDSR | 2,000.00 | | 0.00 | 2,000.00 | 152,000 | 6.00% |
| 151009 | 9/1/2024 | UBS | NJ ENVIRONMENTAL INF | 645791CA0 | 270,000.00 | | 0.00 | 270,000.00 | 270,000 | 3.15% |
| 151023 | 10/1/2024 | UBS | MADISON TAXABLE | 55844RKN3 | 640,000.00 | | 0.00 | 640,000.00 | 640,000 | 2.39% |
| 150311 | 3/11/2025 | UBS | CD-HBSC BANK | 40434ASB6 | 240,000.00 | | 0.00 | 240,000.00 | 240,000 | 2.25% |
| | | | | | | | | 15,165,232.10 | | |

2017 CASH AND INVESTMENT ACTIVITY

| <u>CITY</u> <u>INVEST #</u> | <u>STATED</u> <u>MAT</u> <u>DATE</u> | <u>BROKER</u> | <u>SECURITY</u> <u>DESCRIP</u> | <u>CUSIP</u> | <u>PRIN</u> <u>BAL</u> <u>1/1/2017</u> | <u>PURCH</u> <u>2017</u> | <u>SOLD/</u> <u>MATURE</u> <u>2017</u> | <u>BV</u> <u>PRIN</u> <u>BAL</u> <u>12/31/2017</u> | <u>PAR</u> | <u>YTM</u> |
|--------------------------------|--|---------------|-----------------------------------|--------------|--|-----------------------------|--|---|------------|------------|
| TOTAL INVESTMENTS | | | | | 57,425,022.30 | 17,915,000.00 | 15,016,220.50 | 60,323,801.80 | | |
| Unamortized Premiums | | | | | 1,454,478.04 | 468.50 | | 1,454,946.54 | | |
| Unamortized Discounts | | | | | (3,290,662.99) | (2,001.20) | | (3,292,664.19) | | |
| BOW | | | | | 4,039,093.60 | 27,687,679.94 | 27,224,598.46 | 4,502,175.08 | | |
| Money Market Accounts | | | | | 5,284,956.44 | 6,544,772.79 | 8,250,000.00 | 3,579,729.23 | | |
| Net Cash and Investments | | | | | 64,912,887.39 | 52,145,920.03 | 50,490,818.96 | 66,567,988.46 | | |