FDP Cost Reimbursement Subaward							
Federal Awarding Agency: Other [Type in Agency]				Department of Justice (DOJ)			
Pass-Through Entity (PTE):			Subre	Subrecipient:			
The University of Texas at Austin			Will	Williamson County Juvenile Services			
PTE PI:	Hermosura, Lorna		Sub PI:	Matthew, Scott			
PTE Fed	deral Award No: 15PJDP-21	-GK-04689-MUMU	Subaw	ard No: UTAUS-SUB000006	31		
Project '	Title: STEP UP Texas: Impro	oving Juvenile Justice Ou	tcomes wi	th Trauma-Informed and Rest	orative Pra	ctices Training	
Subawa Start:	rd Budget Period: 10/01/2021 En	d: 10/01/2024	Amount	Funded This Action (USD): \$ 21	11,474.00		
Estimate Start:	ed Period of Performance: 10/01/2021 En	d: 10/01/2024	Increme	entally Estimated Total (USD): \$	211,474.00		
1.	and budget for this Subaward independent entity and not an Subrecipient shall submit invoi	are as shown in Attachmer employee or agent of PTE. ices not more often than mo	etermined l t 5. In its p onthly and	by 2 CFR 200.331), to Subrecipie erformance of Subaward work, S not less frequently than quarterly	Subrecipient for allowab	shall be an le costs	
	incurred. Upon the receipt of proper invoices, the PTE agrees to process payments in accordance with this Subaward and 2 CFR 200.305. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), breakdown by major cost category, Subaward number, and certification, as required in 2 CFR 200.415(a). Invoices that do not reference PTE Subaward number shall be returned to Subrecipient. Invoices and questions concerning invoice receipt or payments shall be directed to the party's Financial Contact, shown in Attachment 3A.						
3.		ontact, as shown in Attachn	nent 3A, no	i, marked "FINAL" must be subm t later than 60 days after the fina cial report.			
4.	All payments shall be consider adjustment is necessary as a r			stment within the total estimated st the Subrecipient.	cost in the	event such	
5.	Matters concerning the technic as shown in Attachments 3A a			I be directed to the appropriate p as shown in Attachment 4.	arty's Princi	pal Investigator	
6.	any changes requiring prior ap	pproval, shall be directed to ontact shown in Attachment	the PTE's s 3A and 3	B. Any such change made to this	tact and the	Subrecipient's	
7.	The PTE may issue non-subst modification shall be considere Subrecipient's Authorized Off	<u>ed valid 14 </u> days after recei <sub>l</sub>	ot unless of	herwise indicated by Subrecipier	. Unilaterant when sen		
8.	Each party shall be responsible or directors, to the extent allow		nissions ar	nd the negligent acts or omission	s of its empl	loyees, officers,	
9.	Either party may terminate this Subaward with 30 days written notice. Notwithstanding, if the Awarding Agency terminates the Federal Award, PTE will terminate in accordance with Awarding Agency requirements. PTE notice shall be directed to the Authorized Official Contact, and Subrecipient notice shall be directed to the Authorized Official Contact as shown in Attachments 3A and 3B. PTE shall pay Subrecipient for termination costs as allowable under Uniform Guidance, 2 CFR 200, or 45 CFR Part 75 Appendix IX, as applicable						
10.	10. By signing this Subaward, including the attachments hereto which are hereby incorporated by reference, Subrecipient certifies that it will perform the Statement of Work in accordance with the terms and conditions of this Subaward and the applicable terms of the Federal Award, including the appropriate Research Terms and Conditions ("RTCs") of the Federal Awarding Agency, as referenced in Attachment 2. The parties further agree that they intend this subaward to comply with all applicable laws, regulations, and requirements.						
By an A	authorized Official of the PTE:		-	Authorized Official of the Subreci	pient:		
			Bill Gravell	Nov 22, 2022 11:32 CST)		11/22/2022	
Name:	Michelle Strickland	Date	Name:	Bill Gravell, Jr	 	Date	
Title:	Assistant Director		Title:	Williamson County Judge			

## Attachment 1

**Certifications and Assurances** 

Subaward Number:

UTAUS-SUB00000631

### Certification Regarding Lobbying (2 CFR 200.450)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief, that no Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement in accordance with 2 CFR 200.450.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the PTE.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### Debarment, Suspension, and Other Responsibility Matters (2 CFR 200.214 and 2 CFR 180)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.213 and 2 CFR 180.

#### **Audit and Access to Records**

Subrecipient certifies that it will provide PTE with notice of any adverse findings which impact this Subaward. Subrecipient certifies compliance with applicable provisions of 2 CFR 200.501-200.521. If Subrecipient is not required to have a Single Audit as defined by 200.501, Awarding Agency requirements, or the Single Audit Act, then Subrecipient will provide notice of the completion of any required audits and will provide access to such audits upon request. Subrecipient will provide access to records as required by parts 2 CFR 200.337 and 200.338 as applicable.

#### Program for Enhancement of Contractor Employee Protections (41 U.S.C 4712)

Subrecipient is hereby notified that they are required to: inform their employees working on any federal award that they are subject to the whistleblower rights and remedies of the program; inform their employees in writing of employee whistleblower protections under 41 U.S.C §4712 in the predominant native language of the workforce; and include such requirements in any agreement made with a subcontractor or subgrantee.

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

#### **Use of Name**

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

#### Prohibition on Certain Telecommunication and Video Surveillance Services or Equipment

Pursuant to 2 CFR 200.216, Subrecipient will not obligate or expend funds received under this Subaward to: (1) procure or obtain; (2) extend or renew a contract to procure or obtain; or (3) enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services (as described in Public Law 115-232, section 889) as a substantial or essential component of any system, or as a critical technology as part of any system.

## **Attachment 2**

Subaward Number

**Federal Award Terms and Conditions** UTAUS-SUB00000631

Required Data Elements	Awarding Agency Institute (If Applicable)	Awarding Agency Institute (If Applicable)			
The data elements required by Uniform	Department of Justice (DOJ)				
Guidance are incorporated in the attached Federal Award.	Federal Award Issue Date FAIN Assistance Lis	sting No.			
This Subaward Is:	Assistance Listing Program Title (ALPT)	<u> </u>			
Research & Development Subject to FFATA					
Research & Development Subject to FFATA	Key Personnel Per NOA				
General Terms and Conditions					
By signing this Subaward, Subrecipient agrees to the following:					
<ol> <li>To abide by the conditions on activities and restrictions on expendite applicable to this Subaward to the extent those restrictions are pertir Awarding Agency's website:</li> </ol>		ral			
See attached					
2. 2 CFR 200					
3. The Federal Awarding Agency's grants policy guidance, including ac performance or as amended found at:	ddenda in effect as of the beginning date of the period of				
See attached					
4. Research Terms and Conditions, including any Federal Awarding A	gency's Specific Requirements found at:				
See attached	except for the f	following			
a. No-cost extensions require the written approval of the PTE. Any Administrative Contact shown in Attachment 3A, not change.	requests for a no-cost extension shall be directed to the t less than 30 days prior to the desired effective date of the r	requeste			
<ul> <li>b. Any payment mechanisms and financial reporting requirements of Conditions and Agency-Specific Requirements are replaced with c. Any prior approvals are to be sought from the PTE and not the F d. Title to equipment as defined in 2 CFR 200.1 that is purchased of funds, as direct costs of the project or program, shall vest in the Section of the project of</li></ul>	Terms and Conditions (1) through (4) of this Subaward; and ederal Awarding Agency. or fabricated with research funds or Subrecipient cost sharing Subrecipient subject to the conditions specified in 2 CFR 200	d g			
Special Terms and Conditions:					
Data Sharing and Access: Subrecipient agrees to comply with the Federal Awarding Agency's d or the Federal Awarding Agency's standard terms and conditions as r	ata sharing and/or access requirements as reflected in the N referenced in General Terms and Conditions 1-4 above.	AOA			
Data Rights: Subrecipient grants to PTE the right to use data created in the performextent required to meet PTE's obligations to the Federal Government	nance of this Subaward solely for the purpose of and only to under its PTE Federal Award.	the			
Copyrights:					
Subrecipient Grants to PTE an irrevocable, royalty-free, non reproduce, make derivative works, display, and perform publicly any confly software and its documentation and/or databases) first developed and only to the extent required to meet PTE's obligations to the Federal G	d delivered under this Subaward solely for the purpose of an	nd			
Subrecipient grants to PTE the right to use any written progress report purpose of and only to the extent required to meet PTE's obligations to	rts and deliverables created under this Subaward solely for t to the Federal Government under its Federal Award.	the			
Promoting Objectivity in Research (COI): Subrecipient must designate herein which entity's Financial Conflicts	of Interest policy (COI) will apply: Subrecipient				
If applying its own COI policy, by execution of this Subaward, Subreci the relevant Federal Awarding Agency as identified herein: Department	pient certifies that its policy complies with the requirements of an of Justice (DOJ)	of			
Subrecipient shall report any financial conflict of interest to PTE's Adn Attachment 3A. Any financial conflicts of interest identified shall, whe Agency. Such report shall be made before expenditure of funds authoridentified COI.	n applicable, subsequently be reported to Federal Awarding	J			

Work Involving Human or Vertebrate Animals (Select Applicable Options)					
No Human or Vertebrate Animals					
This section left intentionally blank.					
Human Subjects Data (Select One) Not Applicable					
This section left intentionally blank					
This section left intentionally blank					
This section left intentionally blank					
This section left intentionally blank					
Additional Terms					
Additional Terms					

## UTAUS-SUB00000631

## Attachment 3A Pass-Through Entity (PTE) Contacts

Pass-Through Entity (PTE) Contacts PTE Information The University of Texas at Austin **Entity Name:** Legal Address: 3925 W. Braker Lane, WPR Building Suite 3.340, Stop A9000 Austin, TX 78759-5316 Website: https://research.utexas.edu/osp/ **PTE Contacts** osp@austin.utexas.edu Central Email: Principal Investigator Name: | Hermosura, Lorna Telephone Number: (512) 619-6769 lorna@utexas.edu Email: Administrative Contact Name: Contracts Coordinator Email: osp@austin.utexas.edu Telephone Number: (512) 471-6424 COI Contact email (if different to above): Financial Contact Name: Elvia Moreno Alverado, Accounts Manager, Dept of Curriculum & Instruction Telephone Number: (512) 232-2912 Email: emoreno@austin.utexas.edu Email invoices? ( Yes ( ) No Invoice email (if different): Authorized Official Name: **Assistant Director** osp@austin.utexas.edu Telephone Number: (512) 471-6424 Email: PI Address: 1912 SPEEDWAY AUSTIN, TX 78712 **Administrative Address:** 3925 W. Braker Lane, WPR Building Suite 3.340, Stop A9000 Austin, TX 78759-5316 **Invoice Address:** Please email invoices as indicated above.

## Attachment 3B

Research Subaward Agreement Subrecipient Contacts

Subaward Number:
UTAUS-SUB00000631

Subrecipient				·					
<b>reporting</b> Enti	ity's U	EI/DUNS Na	ame: Williams	on County					
				nstitution Type: State Government					
UEI / DUNS: C4BDCBLYNND6 / 076930			70000040	ently registered in SAN opt from reporting exec			) Yes	No (if no, comp	olete 3Bna2)
Parent UEI / [	DUNS	3:		section for U.S. Entit		Zip Code Lo	*	J 140 (ii 110, 00111)	note object)
Place of Perfo			Con	gressional District: 3		Zip Code		78626-6389	
		Willamson 200 Wilco	n County Ju	venile Services 78626					
Subrecipient	t Con	tacts							
Ce	ntral	Email:							
We	ebsite	:	www.wilco.o	rg/departments/juver	nile-srevice	es			
Principal Inve	estiga	tor Name:	Scott Matthe	•W					
Em	nail: [	smatthew@	wilco.org		Telepho	one Number:	(512)	943-3206	
Administrative	e Con	tact Name:	John Pelcza	r					
Em	nail: [	jpelczar@v	vilco.org		Telepho	one Number:	(512)	943-3204	
Financial Cor	ntact N	Name:	Jody Cook						
Em	nail: [	jody.cook@	@wilco.org		Telepho	Telephone Number: (512) 943-1595			
Inv	oice	Email:	jody.cook@wilco.org						
Authorized O	fficial	Name:	Jerri Jones						
Em	nail: [	jjones@wil	co.org		Telephon	ne Number:	(512)	943-1545	
Lega <mark>l Addres</mark>	ss:								
Williamson County Attn: Legal 710 Main Street, Suite 200 Georgetown, Texas 78626									
Adm <mark>inistrati</mark>	ve Ad	dress:							
Williamson County Attn: Auditor's Office 710 Main Street, Suite 301 Georgetown Texas 78626									
Payment Add	lress:	 :							
William		County 's Office							

710 S. Main Street #301 Georgetown Texas 78626

## Attachment 3B-2

**Highest Compensated Officers** 

Subaward Number: UTAUS-SUB00000631

Subrecipient:							
Institution Name:	Williamson County Juvenile Services						
PI Name:	Matthew, Scott						
Highest Comp	pensated Officers						
the entity in the Federal awards not have access periodic reports	total compensation of the five most highly compensated officers of the entity(ies) must be listed if a preceding fiscal year received 80 percent or more of its annual gross revenues in and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does to this information about the compensation of the senior executives of the entity through filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1) Internal Revenue						
Officer 1 Name:							
Officer 1 Compens	sation:						
Officer 2 Name:							
Officer 2 Compens	sation:						
Officer 3 Name:							
Officer 3 Compens	sation:						
Officer 4 Name:							
Officer 4 Compens	sation:						
Officer 5 Name:							

Officer 5 Compensation:

## Attachment 4 Reporting and Prior Approval Terms

Subaward Number:

UTAUS-SUB00000631

Subrecipient agrees to submit the following reports (PTE contacts are identified in Attachment 3A): **Technical Reports:** Monthly technical/progress reports will be submitted to the PTE's Administrative Contact within 15 days of of the end of the month. Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's Administrative Contact Annual technical / progress reports will be submitted within 60 days prior to the end of each budget period to the PTE's Administrative Contact . Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable. A Final technical/progress report will be submitted to the PTE's Administrative Contact within 60 days of the end of the Project Period or after termination of this award, whichever comes first, Technical/progress reports on the project as may be required by PTE's Administrative Contact in order for the PTE to satisfy its reporting obligations to the Federal Awarding Agency. **Prior Approvals:** Carryover: Carryover is automatic **Other Reports:** In accordance with 37 CFR 401.14, Subrecipient agrees to notify both the Federal Awarding Agency via iEdison within 60 days after Subrecipient's inventor discloses invention(s) in writing and PTE's Administrative Contact to Subrecipient's personnel responsible for patent matters. The Subrecipient will submit a final invention report using Federal Awarding Agency specific forms to the PTE's Administrative Contact within 60 days of the end of the Project Period to be included as part of the PTE's final invention report to the Federal Awarding Agency. A negative report is required: Property Inventory Report (only when required by Federal Awarding Agency), specific requirements below. Additional Technical and Reporting Requirements: Close-out Requirements: Subrecipient shall submit a completed Subrecipient Close-Out Form, appended hereto as Attachment 7, to the addressee named on the form. Other documentation shall accompany the form as applicable.

Attachment 5
Statement of Work, Cost Sharing, Indirects & Budget

Subaward Number: UTAUS-SUB00000631

## **Statement of Work**

Below Attached, page If award is FFATA eligible and SOW exceeds 4000 characters, include a Subra	ges ecipient Federal Award Project Description
Budget Informa	ation
Indirect Information Indirect Cost Rate (IDC) Applied 0 %	Cost Sharing No
Rate Type: Total Direct Costs	If Yes, include Amount: \$
Budget Details  Below Attached, pages	If Yes, include Amount: \$
	If Yes, include Amount: \$
Budget Details Below Attached, pages	If Yes, include Amount: \$  Budget Totals
Budget Details Below Attached, pages	
Budget Details Below Attached, pages	Budget Totals
Budget Details Below Attached, pages	Budget Totals  Direct Costs \$
Budget Details Below Attached, pages	Budget Totals  Direct Costs \$ Indirect Costs \$
Budget Details Below Attached, pages	Budget Totals  Direct Costs \$  Indirect Costs \$  Total Costs \$  211,474.00
Budget Details Below Attached, pages	Budget Totals  Direct Costs \$  Indirect Costs \$  Total Costs \$  211,474.00
Budget Details Below Attached, pages	Budget Totals  Direct Costs \$  Indirect Costs \$  Total Costs \$  211,474.00

## Scope of Work – FY21 Grant Williamson County Juvenile Services (WCJS)

Funding Source: Office of Juvenile Justice and Delinquency Prevention, U.S. Dept. of Justice

Prime PI: Lorna Hermosura, PhD
Prime Award Institution: UT Austin
Subaward Institution: WCJS
Subaward Institution: WCJS

**Period of Performance:** 7/1/2022-6/30/2025

The University of Texas at Austin is the lead organization for the project, *STEP UP Texas: Improving Juvenile Justice Outcomes with Trauma-Informed and Restorative Practices Training,* a project that has been awarded by the US Department of Justice. Williamson County Juvenile Services is a collaborating organization on this project with Mr. Matthew serving as the PI for the organization. The subcontract amount is \$211,474.00.

The scope of work for this contract is for WCJS to:

- 1. Provide expertise and strategy for implementing trauma-informed practices;
- 2. Provide expertise and strategy for engaging with law enforcement and the judicial system;
- 3. Forge relationships with state-level juvenile justice and related organizations on behalf of the project;
- 4. Assist in the establishment of cross-sector partnerships;
- 5. Participate in Task Force implementation planning and review;
- 6. Participate in the review of RED-related policies and recommendation of policy action;
- 7. Employ and supervise one STEP UP Texas Training and Technical Assistance Professional (TTAP) to provide training and implementation support in trauma informed and/or restorative practices to school districts, law enforcement, and/or judicial staff in Williamson County, Texas;
- 8. Share data related to addressing racial and ethnic disparities in engagement with the juvenile justice system;
- 9. Coordinate with UT Austin to ensure compliance with DOJ regulations; and
- 10. Coordinate with UT Austin to complete and submit project deliverables.

Details of the subcontract budget are as follows:

		Year 1		Year 2		Year 3	TOTAL
	Jul 2	022-Jun 2023	Jul	2023-Jun 2024	Jul 2	024-Jun 2025	
Personnel	\$	15,631	\$	50,637	\$	87,627	\$ 153,895
Fringe	\$	4,940	\$	18,442	\$	32,322	\$ 55,704
Supplies	\$	-	\$	-	\$	1,500	\$ 1,500
Travel	\$	-	\$	-	\$	375	\$ 375
<b>FY21 TOTAL</b>	\$	20,571	\$	69,080	\$	121,824	\$ 211,474

Subaward Number:
UTAUS-SUB00000631

## Attachment 6

Notice of Award (NOA) and any additional documents

$\odot$	The following pages include the NOA and if applicable any additional documentation referenced throughout this Subaward.
$\bigcirc$	Not incorporating the NOA or any additional documentation to this Subaward.

#### Award Letter

December 22, 2021

Dear Elena Mota.

On behalf of Attorney General Merrick B. Garland, it is my pleasure to inform you the Office of Justice Programs (OJP) has approved the application submitted by UNIVERSITY OF TEXAS AT AUSTIN for an award under the funding opportunity entitled 2021 OJJDP FY 2020 and 2021 Nonparticipating States: American Samoa (2020), Connecticut (2020 and 2021), Nebraska (2020 and 2021), New Mexico (2020), Texas (2020 and 2021), Wyoming (2020 and 2021), and Virgin Islands (2020) Solicitation. The approved award amount is \$6,912,431.

Review the Award Instrument below carefully and familiarize yourself with all conditions and requirements before accepting your award. The Award Instrument includes the Award Offer (Award Information, Project Information, Financial Information, and Award Conditions) and Award Acceptance.

Please note that award requirements include not only the conditions and limitations set forth in the Award Offer, but also compliance with assurances and certifications that relate to conduct during the period of performance for the award. These requirements encompass financial, administrative, and programmatic matters, as well as other important matters (e.g., specific restrictions on use of funds). Therefore, all key staff should receive the award conditions, the assurances and certifications, and the application as approved by OJP, so that they understand the award requirements. Information on all pertinent award requirements also must be provided to any subrecipient of the award.

Should you accept the award and then fail to comply with an award requirement, DOJ will pursue appropriate remedies for non-compliance, which may include termination of the award and/or a requirement to repay award funds.

To accept the award, the Authorized Representative(s) must accept all parts of the Award Offer in the Justice Grants System (JustGrants), including by executing the required declaration and certification, within 45 days from the award date.

Congratulations, and we look forward to working with you.

Amy Solomon
Principal Deputy Assistant Attorney General

### Office for Civil Rights Notice for All Recipients

The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) has been delegated the responsibility for ensuring that recipients of federal financial

assistance from the OJP, the Office of Community Oriented Policing Services (COPS), and the Office on Violence Against Women (OVW) are not engaged in discrimination prohibited by law. Several federal civil rights laws, such as Title VI of the Civil Rights Act of 1964 and Section 504 of the Rehabilitation Act of 1973, require recipients of federal financial assistance to give assurances that they will comply with those laws. Taken together, these civil rights laws prohibit recipients of federal financial assistance from DOJ from discriminating in services and employment because of race, color, national origin, religion, disability, sex, and, for grants authorized under the Violence Against Women Act, sexual orientation and gender identity. Recipients are also prohibited from discriminating in services because of age. For a complete review of these civil rights laws and nondiscrimination requirements, in connection with DOJ awards, see https://ojp.gov/funding/Explore/LegalOverview/CivilRightsRequirements.htm.

Under the delegation of authority, the OCR investigates allegations of discrimination against recipients from individuals, entities, or groups. In addition, the OCR conducts limited compliance reviews and audits based on regulatory criteria. These reviews and audits permit the OCR to evaluate whether recipients of financial assistance from the Department are providing services in a nondiscriminatory manner to their service population or have employment practices that meet equal-opportunity standards.

If you are a recipient of grant awards under the Omnibus Crime Control and Safe Streets Act or the Juvenile Justice and Delinquency Prevention Act and your agency is part of a criminal justice system, there are two additional obligations that may apply in connection with the awards: (1) complying with the regulation relating to Equal Employment Opportunity Programs (EEOPs); and (2) submitting findings of discrimination to OCR. For additional information regarding the EEOP requirement, see 28 CFR Part 42, subpart E, and for additional information regarding requirements when there is an adverse finding, see 28 C.F.R. §§ 42.204(c), .205(c) (5).

The OCR is available to help you and your organization meet the civil rights requirements that are associated with DOJ grant funding. If you would like the OCR to assist you in fulfilling your organization's civil rights or nondiscrimination responsibilities as a recipient of federal financial assistance, please do not hesitate to contact the OCR at askOCR@ojp.usdoj.gov.

## **Memorandum Regarding NEPA**

**NEPA Letter Type** 

OJP - Categorical Exclusion

**NEPA** Letter

This award is made as part of the FY 2020 and 2021 Nonparticipating States awards program. Awards under this program will be used to provide grants and cooperative agreements to organizations that OJJDP designates.

None of the following activities will be conducted whether under the Office of Justice Programs federal action or a related third party action:

- (1) New construction
- (2) Any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property (a) listed on or eligible for listing on the National Register of Historic Places, or (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species

(3) A renovation that will change the basic prior use of a facility or significantly change its size

- (4) Research and technology whose anticipated and future application could be expected to have an effect on the environment
- (5) Implementation of a program involving the use of chemicals (including the

identification, seizure, or closure of clandestine methamphetamine laboratories)

Additionally, the proposed action is neither a phase nor a segment of a project that when reviewed in its entirety would not meet the criteria for a categorical exclusion.

Consequently, the subject federal action meets the Office of Justice Programs' criteria for a categorical exclusion as contained in paragraph 4(b) of Appendix D to Part 61 of Title 28 of the Code of Federal Regulations.

Questions about this determination may be directed to your grant manager Environmental Coordinator for the Office of Juvenile Justice and Delinquency Prevention.

**NEPA** Coordinator

First Name Middle Name Last Name

Lou Ann Holland

## Award Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

## Recipient Information

### **Recipient Name**

UNIVERSITY OF TEXAS AT AUSTIN

**DUNS Number UEI** 170230239

Street 1 Street 2

110 INNER CAMPUS DR MN 13 ——

City State/U.S. Territory

AUSTIN Texas

**United States** 

**Zip/Postal Code** 78712

Province

Country

County/Parish

rovin

## Award Details

Federal Award Date Award Type

12/22/21 Initial

Award Number Supplement Number

15PJDP-21-GK-04689-MUMU 00

Federal Award Amount Funding Instrument Type

\$6,912,431.00 CA

## **Assistance Listing Number Assistance Listings Program Title**

16.540	Juvenile Justice and Delinquency Prevention Allocation to States
16.735	PREA Program: Strategic Support for PREA Implementation
16.540	Juvenile Justice and Delinquency Prevention Allocation to States

## **Statutory Authority**

34 USC 11131; 34 USC 11133(d)



I have read and understand the information presented in this section of the Federal Award Instrument.

## → Project Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

#### **Solicitation Title**

2021 OJJDP FY 2020 and 2021 Nonparticipating States: American Samoa (2020), Connecticut (2020 and 2021), Nebraska (2020 and 2021), New Mexico (2020), Texas (2020 and 2021), Wyoming (2020 and 2021), and Virgin Islands (2020) Solicitation

## **Awarding Agency**

OJP

**Program Office** 

**OJJDP** 

## **Application Number**

GRANT13427774

**Grant Manager Name Phone Number** 

202-598-9801

Marisa Harris

E-mail Address

Marisa.O.Harris@ojp.usdoj.gov

## Project Title

STEP UP Texas: Improving Juvenile Justice Outcomes with Trauma-Informed and Restorative Practices Training

Performance Period End

Start Date Date

10/01/2021 10/01/2024

Budget Period Start Budget Period End Date

Date 10/01/2024

10/01/2021

### **Project Description**

This proposed project is an expansion of current FY2019 NPS-funded efforts which aim to address Racial and Ethnic Disparities (RED) by providing training and technical support to

personnel in organizations that interface with youth at critical contact points along the juvenile justice process, specifically: public school districts; law enforcement; and the judicial system. This proposed FY2020/2021 NPS project will continue to address RED and will integrate learning and momentum from our current county-wide project to expand our model to a statewide level, ultimately benefitting children and youth across the state of Texas.

Proposed activities have far-reaching and sustainable implications. Activities include providing the following to personnel working in organizations at critical contact points across the state: 1) training and train-the-trainer certifications in Trauma-Informed and Restorative Practices, 2) implementation technical assistance, and 3) support for RED-related policy review and policy change. The project design includes funding 9 Training and Technical Assistance Provider (TTAP) Teams consisting of one school-focused TTAP and one law enforcement/judicial-focused TTAP who will be located in jurisdictions across the state of Texas and will be employed by organizations who successfully respond to a project-sponsored RFP process. TTAP Teams will receive training and ongoing support by the Project Leadership Team. In addition, the project will supply train-the-trainer training in emotional/social/racial intelligence directly to one law enforcement administrator and one law enforcement training coordinator in each of the 9 jurisdictions. These efforts will be guided by community-represented Task Forces who will be familiar with the nuances of their respective jurisdictions.

The project's products and deliverables include training and train-the-trainer certifications, data analysis substantiating positive change toward reducing RED, policy review and analysis toward reducing RED, and organization-level and/or system-level policy change toward reducing RED.

The service area is the state of Texas with specific jurisdictions identified during the RFP process. Jurisdictions will be selected based on their demonstrated readiness and commitment to the work. This project aims primarily to benefit children and youth in the state of Texas while increasing the capacity of personnel, systems, and jurisdictions throughout the state.

The applicant and its two subaward partners are well positioned to implement this proposed statewide project. Through our work on the FY19 NPS project, we have built momentum such

> that we have received written endorsement by the Texas Juvenile Justice Department, the state



I have read and understand the information presented in this section of the Federal Award Instrument.

### Financial Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

The recipient budget is currently under review.



I have read and understand the information presented in this section of the Federal Award Instrument.

## Award Conditions

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.



Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2

C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2021 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2021 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2021 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at https://ojp.gov/funding/Part200UniformRequirements.htm.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.



Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) - (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grantfunded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.



Required training for Grant Award Administrator and Financial Manager

The Grant Award Administrator and all Financial Managers for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after January 1, 2019, will satisfy this condition.

In the event that either the Grant Award Administrator or a Financial Manager for this award changes during the period of performance, the new Grant Award Administrator or Financial Manager must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after the date the Entity Administrator enters updated Grant Award Administrator or Financial Manager information in JustGrants.

Successful completion of such a training on or after January 1, 2019, will satisfy this condition.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at <a href="https://www.ojp.gov/training/fmts.htm">https://www.ojp.gov/training/fmts.htm</a>. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.



Safe policing and law enforcement subrecipients

If this award is a discretionary award, the recipient agrees that it will not make any subawards to State, local, college, or university law enforcement agencies unless such agencies have been certified by an approved independent credentialing body or have started the certification process. To become certified, law enforcement agencies must meet two mandatory conditions: (1) the agency's use of force policies adhere to all applicable federal, state, and local laws; and (2) the agency's use of force policies prohibit chokeholds except in situations where use of deadly force is allowed by law. For detailed information on this certification requirement, see https://cops.usdoj.gov/SafePolicingEO.



Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.



Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" (ojp.gov/funding/Explore/LegalNotices-AwardReqts.htm), and incorporated by reference into the award.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts all material requirements of the award, and specifically adopts, as if personally

executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.



Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at https://www.ecfr.gov/cgi-bin/ECFR?page=browse), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.



Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.



Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."



Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for auidance.



Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").



Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.



Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.



Determination of suitability to interact with participating minors

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at https://ojp.gov/funding/Explore/Interact-Minors.htm (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.



Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at OJP.ComplianceReporting@ojp.usdoj.gov. For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.



Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at https://ojp.gov/financialguide/DOJ/index.htm), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.



Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.



Compliance with general appropriations-law restrictions on the use of federal funds (FY 2021)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2021, are set out at https://ojp.gov/funding/Explore/FY21AppropriationsRestrictions.htm, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.



Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.



Employment eligibility verification for hiring under the award

- 1. The recipient (and any subrecipient at any tier) must--
- A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).
- B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both--
- (1) this award requirement for verification of employment eligibility, and
- (2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens,

- C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).
- D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

## 2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

#### 3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

#### 4. Rules of construction

## A. Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

## B. Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify (www.e-verify.gov), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a "Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

- C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.
- D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.
- E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (https://www.e-verify.gov/) or email E-Verify at E-Verify@dhs.gov, E-Verify employer agents can email E-Verify at E-VerifyEmployerAgent@dhs.gov.

Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.



Restrictions and certifications regarding non-disclosure agreements and related matters

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

- 1. In accepting this award, the recipient--
- a, represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.
- 2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both--
- a. it represents that--
- (1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- (2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and
- b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will

immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.



Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.



**OJP Training Guiding Principles** 

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm.



All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at https://ojp.gov/funding/Explore/SubawardAuthorization.htm (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.



Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at https://www.sam.gov/, This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of

information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to firsttier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at https://ojp.gov/funding/Explore/SAM.htm (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).



Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.



Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm (Award condition: Specific

post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.



Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.



Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-ofproject-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.



Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at https://oig.justice.gov/hotline/contact-grants.htm (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at https://oig.justice.gov/hotline.

## **=31**

Within 45 calendar days after the end of any conference, meeting, retreat, seminar, symposium, training activity, or similar event funded under this award, and the total cost of which exceeds \$20,000 in award funds, the recipient must provide the program manager with the following information and itemized costs:

- 1) name of event;
- 2) event dates;
- 3) location of event;
- 4) number of federal attendees;
- 5) number of non-federal attendees;
- 6) costs of event space, including rooms for break-out sessions;
- 7) costs of audio visual services;
- 8) other equipment costs (e.g., computer fees, telephone fees);
- 9) costs of printing and distribution;
- 10) costs of meals provided during the event;
- 11) costs of refreshments provided during the event;
- 12) costs of event planner;
- 13) costs of event facilitators; and
- 14) any other costs associated with the event.

The recipient must also itemize and report any of the following attendee (including participants, presenters, speakers) costs that are paid or reimbursed with cooperative agreement funds:

- 1) meals and incidental expenses (M&IE portion of per diem);
- 2) lodging;
- 3) transportation to/from event location (e.g., common carrier, Privately Owned Vehicle (POV)); and.
- 4) local transportation (e.g., rental car, POV) at event location.

Note that if any item is paid for with registration fees, or any other non-award funding, then that portion of the expense does not need to be reported.

Further instructions regarding the submission of this data, and how to determine costs, are available in the DOJ Financial Guide Conference Cost Chapter.



FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of \$30,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at https://ojp.gov/funding/Explore/FFATA.htm (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

This condition, including its reporting requirement, does not apply to-- (1) an award of less than \$30,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).



The recipient agrees to submit a final report at the end of this award documenting all relevant project activities during the entire period of support under this award. This report will include detailed information about the project(s) funded, including, but not limited to, information about how the funds were actually used for each purpose area, data to support statements of progress, and data concerning individual results and outcomes of funded projects reflecting project successes and impacts. The final report is due no later than 120 days following the close of this award period or the expiration of any extension periods. This report will be submitted to the Office of Justice Programs, on-line through the Internet at https://justgrants.usdoj.gov/

## **=34**

The recipient shall submit semiannual performance reports. Performance reports shall be submitted within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. These reports will be submitted to the Office of Justice Programs, on-line through the Internet at https://justgrants.usdoj.gov

## **35**

The recipient may not obligate, expend, or draw down any award funds for indirect costs, unless and until either -- (1) the recipient submits to OJP a current, federally-approved indirect cost rate agreement, or (2) the recipient determines that it is eligible under the Part 200 Uniform Requirements to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and advises OJP in writing of both its eligibility and its election.

OJP will release this condition through an Award Condition Modification (ACM), following receipt of a satisfactory submission.



Justification of consultant rate

Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day. A detailed justification must be submitted to and approved by the OJP program office prior to obligation or expenditure of such funds.



Conditional Clearance

The recipient may not obligate, expend or draw down funds until the Office of the Chief Financial Officer (OCFO) has approved the budget and budget narrative and an Award Condition Modification (ACM) has been issued to remove this award condition.



Limit on use of grant funds for grantees' employees' salaries

With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.



Withholding of funds: Research and evaluation independence and integrity

The recipient may not obligate, expend, or draw down any award funds until: (1) it has provided to the grant manager for this OJP award information regarding research and evaluation independence and integrity in accordance with the detailed instructions in the program solicitation, (2) OJP has completed its review of the information provided and of any supplemental information it may request, (3) the recipient has made (or agreed to) any adjustments to the award (including as to amount) that OJP may require to prevent, eliminate, mitigate, or otherwise adequately address any actual or apparent personal or financial conflict of interest on the part of the investigators or other staff/consultants engaged in the research/evaluation or organizational conflict of interest on the part of the recipient entity, and (4) Award Condition Modification (ACM) has been issued to remove this condition.

If this award is a "discretionary" award (i.e., not an award under a statutory "formula" program), the recipient understands and agrees that if it does not provide an adequate research and evaluation independence and integrity submission (as determined by OJP), or if it fails to implement (as determined by OJP) any safeguard included in its submission or required by OJP, this award may be terminated (without right of appeal), upon thirty (30) calendar days advance written notice by OJP.



Recipient integrity and performance matters: Requirement to report information on certain civil.

criminal, and administrative proceedings to SAM and FAPIIS

The recipient must comply with any and all applicable requirements regarding reporting of information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either this OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Under certain circumstances, recipients of OJP awards are required to report information about such proceedings, through the federal System for Award Management (known as "SAM"), to the designated federal integrity and performance system (currently, "FAPIIS").

The details of recipient obligations regarding the required reporting (and updating) of information on certain civil, criminal, and administrative proceedings to the federal designated integrity and performance system (currently, "FAPIIS") within SAM are posted on the OJP web site at https://ojp.gov/funding/FAPIIS.htm (Award condition: Recipient Integrity and Performance Matters, including Recipient Reporting to FAPIIS), and are incorporated by reference here.



The recipient agrees not to obligate, expend, or draw down any funds until the recipient has submitted to the Office of Juvenile Justice and Delinquency Prevention (OJJDP), documentation providing a detailed outline of how each of the activities proposed in the application specifically addresses one or more of the core requirements of the Title II, Part B Formula Grants Program under 34 U.S.C. 11133(a)(11), (12), (13), and (15), OJJDP has approved the recipient's documentation, and an Award Condition Modification has been issued to remove this condition.



I have read and understand the information presented in this section of the Federal Award Instrument.

## Award Acceptance

## Declaration and Certification to the U.S. Department of Justice as to Acceptance

By checking the declaration and certification box below. I--

- Declare to the U.S. Department of Justice (DOJ), under penalty of perjury, that I have authority to make this declaration and certification on behalf of the applicant.
- Certify to DOJ, under penalty of periury, on behalf of myself and the applicant, to the best of my knowledge and belief, that the following are true as of the date of this award acceptance: (1) I have conducted or there was conducted (including by

applicant's legal counsel as appropriate and made available to me) a diligent review of all terms and conditions of, and all supporting materials submitted in connection with, this award, including any assurances and certifications (including anything submitted in connection therewith by a person on behalf of the applicant before, after, or at the time of the application submission and any materials that accompany this acceptance and certification); and (2) I have the legal authority to accept this award on behalf of the applicant.

- Accept this award on behalf of the applicant. C.
- D. Declare the following to DOJ, under penalty of perjury, on behalf of myself and the applicant: (1) I understand that, in taking (or not taking) any action pursuant to this declaration and certification, DOJ will rely upon this declaration and certification as a material representation; and (2) I understand that any materially false, fictitious, or fraudulent information or statement in this declaration and certification (or concealment or omission of a material fact as to either) may be the subject of criminal prosecution (including under 18 U.S.C. §§ 1001 and/or 1621, and/or 34 U.S.C. §§ 10271-10273), and also may subject me and the applicant to civil penalties and administrative remedies under the federal False Claims Act (including under 31 U.S.C. §§ 3729-3730 and/or §§ 3801-3812) or otherwise.

#### Agency Approval

Title of Approving Official

Name of Approving Official

Signed Date And Time

Principal Deputy

Amy Solomon

12/17/21 5:47 PM

Assistant Attorney

General

## **Authorized Representative**



Declaration and Certification

#### **Entity Acceptance**

Title of Authorized Entity Official

**Assistant Director** 

Name of Authorized Entity Official

Chistian Pfeiffer Flores

Signed Date And Time

1/24/2022 6:29 PM

# Attachment 7 Subrecipient Close-out Form

No later than sixty (60) days after the Subaward's ending date, Subrecipient shall submit a completed Subrecipient Close-Out Form as attached to the addressee named on the form. Other documentation shall accompany the form as applicable. Payment of Final Invoice shall be withheld pending receipt and acceptance of the close-out documentation.

# Subrecipient Close-Out Form THE UNIVERSITY OF TEXAS AT AUSTIN

Subaward No.:	UTAUS-SUB00000631	PTE Award No.:	15PJDP-21-GK-04689-MUMU
Name of Subreci	pient: Williamson Coun	ty Juvenile Services	
	Please	complete <u>ALL</u> of the following sections and return to:	
	Office of Sponso	Subrecipient Monitor ored Projects, Sponsored Projects Award Administra	ation
		SPAA@austin.utexas.edu	
		SECTION I. FINANCIAL INFORMATION	
	ceived under this suba		
	_	hich PTE has not reimbursed yet?	
Please		nat apply. es of invoices <u>previously submitted</u> and totaling \$ reimbursed; AND/OR,	
Invoice	es totaling \$	have <u>not yet been submitted</u> to PTE A	Austin yet; or,
and/or		nfirming UT Austin has paid you/your institution for all inv baward. Any invoices submitted after this box is checken ot be honored.	·
		for informational purposes; originals must be submitted in acc in all cases subject to the terms of the subaward, including su	
		SECTION II. PATENTS	
Are there any in	ventions to be reporte	ed which resulted from work performed under this sub	award?
No. Yes.	Please complete the fe	ollowing (attach additional pages as necessary):	
Name of Inve	entor:		
Name / Desc	ription of Invention(s)	:	
** A COMPLETE INVEN	NTION DISCLOSURE MUST ACC	COMPANY THIS DOCUMENT FOR ANY INVENTIONS NOT PREVIOUSLY DISCLIBENT. INVENTION REPORTING SHALL BE IN THE SAME FORM AS REQUIRED	OSED TO UT AUSTIN, WHICH RESULTED
	SECTION III. FEDERAL	GOVERNMENT/SPONSOR PROVIDED OR FUNDED EQUIPMENT A	CQUISITION
	nent provided by the rovided under this sub	Federal Government/Sponsor, or was any equipment baward?	nt purchased with Federal or
the U.S. Governr	where all equipment on the subactor Sponsor throus conditions of the suba	either provided, or purchased with funds, under this sulugh PTE or is it awaiting disposition instructions. (Pleasward.)	baward has been delivered to se reference FAR 52.245-1 or
Authorized Offici	al's Signature:		Date:
Printed Name:		Title:	
Email:		Phone:	