

RESEARCH AGREEMENT A22-3372

between

YOLO COUNTY

and

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

This Research Agreement is entered into this _____ day of _____, 2023 (“Effective Date”), by and between Yolo County, a political subdivision of the State of California (“**Sponsor**”) and **The Regents of the University of California**, a public educational institution, on behalf of its Davis campus (“**University**”), hereafter the “Parties.”

1. **Scope of Work / Budget.** University researchers shall use their reasonable efforts to perform the research tasks in accordance with University's proposal entitled “Yolo County Basic Income (YOBI) Study”, hereafter referred to as “the Project.” University’s proposal is attached and incorporated herein as **Exhibit A**.
2. **Principal Investigator.** The performance of work and technical report preparation shall be under the direction of Catherine Brinkley, Principal Investigator, and shall be in accordance with applicable University policies.
3. **Period of Performance.** The work under this Research Agreement shall be performed during the project period beginning with the date of July 1, 2022 through June 30, 2025, unless otherwise changed in accordance with the Article 16 - Termination or Article 17 - Modifications and Amendments of this Agreement.
4. **Reports.** Sponsor shall treat all reports submitted by the University under this agreement as confidential.
 - A. **Interim Technical Reports.** University shall submit Interim Technical Reports to Sponsor. University shall submit an Interim Technical Report not later than June 30, 2023. The Interim Technical Report shall identify scientific developments and describe any problems encountered in pursuit of the research objectives hereunder.
 - B. **Final Technical Report.** University shall submit a Final Technical Report to Sponsor no later than sixty (60) days after the expiration date of this Research Agreement. The Final Technical Report shall address in detail the technical aspects of the research activities covering the entire Period of Performance in pursuit of the objectives identified in **Exhibit A**.
5. Consideration.
 - A. For the performance of work hereunder, Sponsor shall pay University the amount of **THREE HUNDRED FIFTY THOUSAND DOLARS (\$350,000)**, unless otherwise changed in accordance with Modifications and Amendments Article of this Agreement, in accordance with the schedule that follows. University’s projected expenses are summarized in the budget included in **Exhibit A**.

Fiscal Year 2022-23 July 1, 2022 through June 30, 2023	Fiscal Year 2023-24 July 1, 2023 through June 30, 2024	Fiscal Year 2024-25 July 1, 2024 through June 30, 2025	Total
\$150,000	\$150,000	\$50,000	\$350,000

- B. Sponsor shall pay University for actual expenditures in conformance with the budget attached hereto as Exhibit A for services that have been provided in accordance with the provision of this Agreement.
- C. Within thirty (30) workdays following receipt of University's Final Technical Report, Sponsor shall provide payment.
- D. Sponsor shall mail its checks, payable to “The Regents of the University of California,

UC Davis AR Lockbox
PO Box 741816
Los Angeles, CA 90074–1816
** for Project A22-3372
- E. Time is of the essence with respect to the schedule of payment provided in this Article. Not meeting the above payment schedule shall be construed as material breach on the part of the Sponsor, enabling University to terminate the Research Agreement immediately.

6. Confidentiality.

“Confidential Information” means information, data or material in written or other tangible form that one Party provides to the other Party during the Term and which is clearly marked “CONFIDENTIAL” or is reduced to writing and marked “CONFIDENTIAL” within thirty (30) days of non-written disclosure. The recipient Party shall use Confidential Information only for purposes directly related to the Project and shall use its reasonable efforts to prevent disclosure to third parties. Upon expiration or early termination of this Agreement, the recipient Party shall, at the provider Party’s sole discretion, return, destroy or otherwise dispose of Confidential Information. This obligation shall continue until two years following the expiration or early termination of this Agreement.

The receiving party’s obligation under this Article shall not apply to information, data or material that: a) is in the receiving party’s possession at the time of disclosure; b) is or later becomes part of the public domain through no fault of the receiving party; c) is received from a third party that has no obligations of confidentiality to the providing party; d) is independently developed by receiving party; or e) is required to be disclosed by law or regulation. The receiving party shall not be liable for unintentional disclosure of the providing party’s Confidential Information if it has exercised reasonable care and security aimed at maintaining confidentiality.

7. Publications, Rights in Data.

University shall have the right to copyright, disclose, disseminate and use, in whole or in part, any data and information received, collected, or developed under this Agreement, except as indicated in Article 6, Confidentiality. University shall provide Sponsor a copy of materials intended for disclosure not less than thirty (30) days prior to the intended release for publication or other dissemination.

In the event Sponsor determines, within the thirty (30) day review period, that University's proposed publication or other dissemination of information includes Confidential Information and

notifies University, University shall delete such Confidential Information before release of the material intended for publication or other dissemination.

University will acknowledge Sponsor in its publications unless Sponsor desires otherwise and so advises University in writing. Sponsor and University each agree not to use the name of the other Party or its employees in any advertisement, press release or publicity with reference to this Agreement or any product or service resulting from this Agreement, without prior written approval of the other Party.

8. **Supplies and Equipment.** In the event that University purchases supplies or equipment hereunder, title to such supplies and equipment shall vest in University.
9. **Applicable Law.** The validity and interpretation of this Agreement and legal relations of the parties to it shall be governed by the laws of the State of California, applicable to the agreements entered into, and to be fully performed in, the State of California, without regard to its conflicts of the laws provisions.
10. **Independent Contractor.** Nothing in this Research Agreement shall be construed to create a relationship of an employer/employee between the parties hereto, and University and Principal Investigator shall be deemed to be at all times independent contractors.
11. **Excusable Delays.** In the event of a delay caused by inclement weather, fire, flood, strike or other labor dispute, acts of God, acts of Governmental officials or agencies, or any other cause beyond the control of University, University shall be excused from performance hereunder for the period or periods of time attributable to such delay, which may extend beyond the time lost due to one or more of the causes mentioned above. In the event of any such delay, this Research Agreement may be revised by changing the maximum amount, performance period, and other provisions, as appropriate, by mutual agreement of the Parties, in accordance with Article 17 herein.
12. **Indemnification.**
 - A. University agrees to defend, indemnify and hold Sponsor, its officers, employees and agents harmless from and against any and all liability, loss, expense, attorneys' fees, or claims for injury or damages directly resulting from the performance of this Research Agreement but only in proportion to and to the extent such liability, loss, expense, attorneys' fees, or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of University.
 - B. Sponsor agrees to defend, indemnify and hold University, its officers, employees and agents harmless from and against any and all liability, loss, expense, attorneys' fees, or claims for injury or damages directly resulting from the performance of this Research Agreement but only in proportion to and to the extent such liability, loss, expense, attorneys' fees, or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of Sponsor.
13. **Communications.**
 - A. All communications regarding the technical aspects of the research and programmatic aspects of this Research Agreement shall be between Sponsor's and University's Principal Investigator.

- B. Communications and correspondence regarding the fiscal and administrative aspects of this Research Agreement shall be between the Parties' designated officials:

Sponsor: Tanya Provencher, Deputy Director
Yolo County Health and Human Services Agency
137 N. Cottonwood Street
Woodland, CA 95695
tanya.provencher@yolocounty.org

University: Grace Liu, Associate Director
Office of Research, Sponsored Programs
1850 Research Park Drive, Suite 300
University of California, Davis
Davis, California 95618
Telephone: 530-754-8323,
awards@ucdavis.edu

14. **No Warranty.** The University agrees to employ reasonable efforts to fulfill the research objectives of the Project described in Exhibit A and to report its findings. THE UNIVERSITY MAKES NO REPRESENTATIONS AND EXTENDS NO WARRANTIES OF ANY KIND, EITHER EXPRESS OR IMPLIED, OF THE EFFECTIVENESS, MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OF THE TECHNOLOGIES THAT ARE THE SUBJECT MATTER OF THIS AGREEMENT OR OF THE RESULTS OR BY-PRODUCTS OF THE PROJECT.
15. **Termination.** Either Party may terminate this Research Agreement at any time upon the giving of thirty (30) days prior written notice to the other Party. Upon the giving of notice of termination by either Party, University shall exert its reasonable efforts to limit or to terminate any outstanding financial commitments. Sponsor shall reimburse University for all allowable costs incurred by it for the Project, including without limitation all uncancellable obligations. University shall prepare, within ninety (90) days after the termination date, a report of all expenditures incurred and of all funds received hereunder and shall reimburse Sponsor for funds that may have been advanced in excess of total costs incurred.
16. **Modifications and Amendments.** No modification or amendment of this Research Agreement shall be valid unless made by an instrument in writing signed by University and Sponsor. No such modification or amendment shall be construed to alter or amend any other provision of this Research Agreement unless expressly so stated in such written instrument. No waiver of any provision of this Research Agreement and no consent to departure therefrom, by either Party, shall be effective unless in writing and signed by both Parties hereto.
17. **Entire Agreement.** The terms and conditions contained herein and in Exhibit A constitute the entire Research Agreement between Sponsor and The Regents of the University of California:

[Signatures Follow]

IN WITNESS WHEREOF the Parties have executed this Agreement as of the day and year last set forth above.

UNIVERSITY

By: Grace Liu
Digitally signed by Grace Liu
Date: 2022.12.08 14:19:56 -08'00'
Grace Liu, Associate Director
The Regents of the University of California, Davis

Date: _____

SPONSOR

By: _____
Oscar Villegas, Chair
Board of Supervisors

Date: _____

Nolan R. Sullivan
Nolan Sullivan, Director
Health and Human Services Agency

Approved as to Form:
Philip J. Pogledich, County Counsel

By: Hope P. Welton
Hope P. Welton, Senior Deputy

Attest:
Julie Dachtler, Senior Deputy Clerk
Board of Supervisors

By _____
Deputy (Seal)



TO: Nolan Sullivan
Service Center Branch Director
Yolo County Health and Human Services Agency
Nolan.Sullivan@yolocounty.org

FROM: Catherine Brinkley
Associate Professor, Department of Human Ecology
Faculty Director, UC Davis Center for Regional Change
ckbrinkley@ucdavis.edu

Bernadette Austin
Executive Director, UC Davis Center for Regional Change
braustin@ucdavis.edu

RE: Yolo Basic Income (YOBI) Program Evaluation

DATE: May 11, 2022

Summary Statement

This proposal includes support for evaluation of a pilot program focused on families experiencing homelessness with children under the age of five. The study will document and assess a county-level program that provides wrap-around case service management and a guaranteed cash program that will bring families above the California Poverty Measurement (CPM) for two years. The study offers potential for policy impact given the novel focus on county programming which house social services programs and assessment of families experiencing the highest need.

Pilot Program

Yolo County has the third highest poverty rate in the state at 20.6%. Among families with kids living in poverty, those in deepest poverty have children under the age of five. Poverty alleviation programs can exert a powerful impact on health, welfare and development, particularly for children. Accordingly, the YOBI Program has been structured to serve families with children under the age of five enrolled in the California Work Opportunity and Responsibility to Kids (CalWORKs) program. YOBI specifically targets those participating in CalWORKs Housing Support Program (HSP), which works to foster housing stability for families experiencing homelessness. These families receive traditional case management support and benefits through the CalWORKs program. The pilot provides the additional benefit of support payments that will put the total income of these families above the California Poverty Measure for a full two years.

Yolo County Supervisors approved investing in YOBI starting in 2022. The Yolo County Health and Human Services Agency (HHSA) will manage program implementation, thereby leveraging existing staffing and resources and minimizing overhead costs. The UC Davis Center for Regional Change (CRC) will lead a team of interdisciplinary researchers to develop methodology for identifying indicators and evaluating outcomes using quantitative data from local, state, and federal sources and qualitative data from surveys, interviews, and focus groups. The implementation and evaluation team also includes community partners such as Yolo County Children's Alliance (YCCA), Yolo Food Bank, and Yolo First 5. Community partners are involved in program design and implementation, as well as research methodology development, data collection, and analysis and interpretation. Most importantly, the implementation and evaluation team

will include families that have met the criteria for the target study group. As such, this comprehensive implementation and evaluation team includes Yolo County HHSA as the responsible public agency; the UC Davis Center for Regional Change as the research and evaluation partner; several community-based social service organizations; and representatives from the target study group empowered to shape the program and frame the findings and recommendations.

Scope of Work

The following activities will take place during the period of July 1, 2022 through June 30, 2025 for a total budget of \$350,000 to fund implementation of evaluation activities, including the following:

1. **Statistical analysis** of program participant outcomes in relation to control group.
2. **Collect responses to long form survey** focused on income, health and well-being quarterly or every half year.
3. **Conduct interviews of program participants** conducted virtually over Zoom with control and study populations and will be recorded, transcribed, and coded.
4. **Qualitative analysis** of an anticipated 4,000 hours of interview material for storytelling, verifying quantitative findings, and adding children's perspective to the study/findings.
5. **Conduct interviews of program staff and elected officials** about program structure, efficaciousness, and outcomes and semi-structured interviews, quarterly or every half year.

The research team will work with Yolo County to seek more funding for more comprehensive analysis, dissemination of findings, and long-term research goals.

Budget

The UC Davis research team will evaluate outcomes in a variety of indicators of social determinants of health. The project term will include two program years, (FY 2022-2024), and the comprehensive research term includes additional time before the anticipated program start for evaluation program design and development and following for analysis, documentation, and publication. The term of this portion of the project will be July 1, 2022 through June 30, 2025 for a total budget of \$350,000. A detailed budget is provided below.

Budget Justification

Personnel

Dr. Catherine Brinkley will serve as lead Principal Investigator (PI) on this project. She will be responsible for supervising research staff and coordinating translation of methodology into evaluation activities described in the Scope of Work. Bernadette Austin will serve as co-PI. She will be responsible for managing contract deliverables and coordination with evaluation partners, including but not limited to the Yolo County HHSA and Yolo County Children's Alliance (YCCA). The Research Data Analyst will be responsible for conducting and recording certain interviews, transcribing and coding interviews, and conducting analysis under the supervision of Dr. Brinkley. The Graduate Student Researcher will support coordinating interviews and supporting transcription, coding, and analysis. Salaries are calculated based on a 3-5% escalation per UC Fiscal year.

Fringe Benefits

Fringe Benefits are calculated using the UC Davis composite rates developed by the UC Davis Costing and Policy office as required per institutional policy and reflected in the UC Davis federally negotiated rate agreement. Rates are applied by title code and fiscal year. Details can be found here: http://afs.ucdavis.edu/our_services/costing-policy-e-analysis/composite-benefit-rates/

Materials, Supplies, and Equipment

The budget includes \$2,000 in year 1 to be used toward a laptop for staff who will be working on this project and \$2,800 in year 3 to be used for printing and publication costs. The budget also includes \$250 per year for necessary licenses and other information technology and computing services (IT/CS) needs and additional funding at a similar amount each year for supplies and materials.

Indirect Costs

This budget includes an indirect rate of 30% of the Modified Total Direct Costs (MTDC).

The Regents if the University of California, UC Davis		
Yolo County Basic Income (YOBI)		
	Cost Items	Fiscal Year's 2022-2023 July 1, 2022 through June 30, 2023
1	Personnel (Salary, Benefits, and Payroll Taxes)	\$116,379
2	Indirect/Overhead/Administration not to exceed 30% (not inc GSR fees)	\$31,031
3	Operating: materials	\$2,590
4	Direct to Clients	\$0
5	Total	\$150,000

The Regents if the University of California, UC Davis		
Yolo County Basic Income (YOBI)		
	Cost Items	Fiscal Year's 2023-24 July 1, 2023 through June 30, 2024
1	Personnel (Salary, Benefits, and Payroll Taxes)	\$118,862
2	Indirect/Overhead/Administration not to exceed 30% (not inc GSR fees)	\$30,672
3	Operating: materials	\$466
4	Direct to Clients	\$0
5	Total	\$150,000

The Regents if the University of California, UC Davis		
Yolo County Basic Income (YOBI)		
	Cost Items	Fiscal Year's 2024-2025 July 1, 2024 through June 30, 2025
1	Personnel (Salary, Benefits, and Payroll Taxes)	\$35,131
2	Indirect/Overhead/Administration not to exceed 30% (not inc GSR fees)	\$11,538
3	Operating: materials, publication	\$3,331
4	Direct to Clients	\$0
5	Total	\$50,000