

**FIRST AMENDMENT**  
**(BOS AGREEMENT NO. \_\_\_\_ - \_\_\_\_)**

This First Amendment to Agreement No.23-18 (“First Amendment”) is made and entered into as of the last date signed below, by and between the County of Yolo (“Sponsor”) and The Regents of the University of California (“University”), jointly referred to as the “Parties” herein.

**WHEREAS**, on or about January 10, 2023, the Parties entered into Agreement No. 23-18 (“Agreement”); and

**WHEREAS**, the Parties would now like to amend the Agreement to:

1. Revise **Paragraph 5.A.** to increase funding in the amount of \$28,415.40 by adding funding in the amount of \$14,207.70 to both Fiscal Year (FY) 2023-24 and FY 2024-25 for a new contract maximum of \$378,415.40; and
2. Revise **Paragraph 13.B.** to update County contacts; and
3. Revise **Exhibit A** to reflect the increased funding and update the budgets for FY2023-24 and FY2024-25.

**NOW, THEREFORE, THE PARTIES HEREBY AGREE AS FOLLOWS:**

**1. Paragraph 5.A.** of the Agreement is hereby amended to read as follows:

- A.** For the performance of work hereunder, Sponsor shall pay University the amount of **THREE HUNDRED SEVENTY-EIGHT THOUSAND FOUR HUNDRED FIFTEEN THOUSAND DOLLARS AND FORTY CENTS (\$378,415.40)**, unless otherwise changed in accordance with Modifications and Amendments Article of this Agreement, in accordance with the schedule that follows. University’s projected expenses are summarized in the budget included in **Exhibit A.**

Fiscal Year 2022-23 July 1, 2022 through June 30, 2023	Fiscal Year 2023-24 July 1, 2023 through June 30, 2024	Fiscal Year 2024-25 July 1, 2024 through June 30, 2025	<b>Total</b>
\$150,000	\$164,207.70	\$64,207.70	<b>\$378,415.40</b>

**2. Paragraph 13.B.** of the Agreement is hereby amended to read as follows:

- B.** Communications and correspondence regarding the fiscal and administrative aspects of this Research Agreement shall be between the Parties’ designated officials:

Sponsor: Soua Moua, HHSa Interim Branch Director  
 Yolo County Health and Human Services Agency  
 137 N. Cottonwood Street  
 Woodland, CA 95695  
[Soua.Moua@yolocounty.org](mailto:Soua.Moua@yolocounty.org)

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BOS No. Orig. #: 23-18  
Infor Legacy PO No. 4534  
Infor 11 Contract No. 542  
Infor Ref No. 6565-2023-UCD 02  
UCD Agreement No. A22-3372

University: Grace Liu, Associate Director  
Office of Research, Sponsored Programs  
1850 Research Park Drive, Suite 300  
University of California, Davis  
Davis, California 95618  
Telephone: 530-754-8323,  
[awards@ucdavis.edu](mailto:awards@ucdavis.edu)

3. **Exhibit A** to the Agreement is hereby amended to read as attached.
4. All attachments to this First Amendment are incorporated herein by this reference.
5. Except as specifically amended by this First Amendment, the Agreement shall remain in full force and effect according to its terms.

**IN WITNESS WHEREOF** the Parties have executed this First Amendment as of the day and year last set forth below.

**UNIVERSITY**

**Grace Liu** Digitally signed by Grace Liu  
Date: 2023.12.07 09:21:00  
-08'00'  
Grace Liu, Associate Director  
The Regents of the University of  
California, Davis

Date: \_\_\_\_\_

**SPONSOR**

\_\_\_\_\_  
Oscar Villegas, Chair  
Board of Supervisors

Date: \_\_\_\_\_

**Nolan Sullivan** Digitally signed by Nolan  
Sullivan  
Date: 2023.12.08 08:27:05  
-08'00'  
\_\_\_\_\_  
Nolan Sullivan, Director  
Health and Human Services Agency

Attest:  
Julie Dachtler, Senior Deputy Clerk  
Board of Supervisors

By: \_\_\_\_\_  
Deputy (Seal)

Approved as to Form:  
Philip J. Pogledich, County Counsel

By: Hope P. Welton  
Hope P. Welton, Senior Deputy



TO: Soua Moua  
Interim Service Center Branch Director  
Yolo County Health and Human Services Agency  
[Soua.Moua@yolocounty.org](mailto:Soua.Moua@yolocounty.org)

FROM: Catherine Brinkley  
Associate Professor, Department of Human Ecology  
Faculty Director, UC Davis Center for Regional Change  
[ckbrinkley@ucdavis.edu](mailto:ckbrinkley@ucdavis.edu)

Anna Rosenbaum  
Interim Director, UC Davis Center for Regional Change  
[arosenbaum@ucdavis.edu](mailto:arosenbaum@ucdavis.edu)

RE: Supplemental budget for Yolo Basic Income (YOBI) Program Evaluation

DATE: September 8, 2023

### **Summary Statement**

This proposal includes support for evaluation of a pilot program focused on families experiencing homelessness with children under the age of five. The study will document and assess a county-level program that provides wrap-around case service management and a guaranteed cash program that will bring families above the California Poverty Measurement (CPM) for two years. The study offers potential for policy impact given the novel focus on county programming which house social services programs and assessment of families experiencing the highest need.

### **Pilot Program**

Yolo County has the third highest poverty rate in the state at 20.6%. Among families with kids living in poverty, those in deepest poverty have children under the age of five. Poverty alleviation programs can exert a powerful impact on health, welfare and development, particularly for children. Accordingly, the YOBI Program has been structured to serve families with children under the age of five enrolled in the California Work Opportunity and Responsibility to Kids (CalWORKs) program. YOBI specifically targets those participating in CalWORKs Housing Support Program (HSP), which works to foster housing stability for families experiencing homelessness. These families receive traditional case management support and benefits through the CalWORKs program. The pilot provides the additional benefit of support payments that will put the total income of these families above the California Poverty Measure for a full two years.

Yolo County Supervisors approved investing in YOBI starting in 2022. The Yolo County Health and Human Services Agency (HHSA) will manage program implementation, thereby leveraging existing staffing and resources and minimizing overhead costs. The UC Davis Center for Regional Change (CRC) will lead a team of interdisciplinary researchers to develop methodology for identifying indicators and evaluating outcomes using quantitative data from local, state, and federal sources and qualitative data from surveys, interviews, and focus groups. The implementation and evaluation team also includes community partners such as Yolo County Children’s Alliance (YCCA), Yolo Food Bank, and Yolo First 5. Community partners are involved in program design and implementation, as well as research

## Exhibit A – Proposal

methodology development, data collection, and analysis and interpretation. Most importantly, the implementation and evaluation team will include families that have met the criteria for the target study group. As such, this comprehensive implementation and evaluation team includes Yolo County HHSA as the responsible public agency; the UC Davis Center for Regional Change as the research and evaluation partner; several community-based social service organizations; and representatives from the target study group empowered to shape the program and frame the findings and recommendations.

### Scope of Work

The following activities will take place during the period of July 1, 2022 through June 30, 2025 for a total budget of \$378,415.40 to fund implementation of evaluation activities, including the following:

1. **Statistical analysis** of program participant outcomes in relation to control group.
2. **Collect responses to long form survey** focused on income, health and well-being quarterly or every half year.
3. **Conduct interviews of program participants** conducted virtually over Zoom with control and study populations and will be recorded, transcribed, and coded.
  - a. Transcription and translation services will be provided by a third party, TranscriptionServices.com, through a contract agreement with UC Davis.
4. **Qualitative analysis** of an anticipated 4,000 hours of interview material for storytelling, verifying quantitative findings, and adding children's perspective to the study/findings.
5. **Conduct interviews of program staff and elected officials** about program structure, efficaciousness, and outcomes and semi-structured interviews, quarterly or every half year.

The research team will work with Yolo County to seek more funding for more comprehensive analysis, dissemination of findings, and long-term research goals.

### Budget

The UC Davis research team will evaluate outcomes in a variety of indicators of social determinants of health. The project term will include two program years, (FY 2022-2024), and the comprehensive research term includes additional time before the anticipated program start for evaluation program design and development and following for analysis, documentation, and publication. The term of this portion of the project will be July 1, 2022 through June 30, 2025 for a total budget of \$378,415.40. A detailed budget is attached as a spreadsheet.

### Budget Justification

#### *Personnel*

Dr. Catherine Brinkley will serve as lead Principal Investigator (PI) on this project. She will be responsible for supervising research staff and coordinating translation of methodology into evaluation activities described in the Scope of Work. Bernadette Austin will serve as co-PI. She will be responsible for managing contract deliverables and coordination with evaluation partners, including but not limited to the Yolo County HHSA and Yolo County Children's Alliance (YCCA). The Research Data Analyst will be responsible for conducting and recording certain interviews, transcribing and coding interviews, and conducting analysis under the supervision of Dr. Brinkley. The Graduate Student Researcher will support coordinating interviews and supporting transcription, coding, and analysis. Salaries are calculated based on a 3-5% escalation per UC Fiscal year.

#### *Fringe Benefits*

Exhibit A – Proposal

Fringe Benefits are calculated using the UC Davis composite rates developed by the UC Davis Costing and Policy office as required per institutional policy and reflected in the UC Davis federally negotiated rate agreement. Rates are applied by title code and fiscal year. Details can be found here:

[http://afs.ucdavis.edu/our\\_services/costing-policy-e-analysis/composite-benefit-rates/](http://afs.ucdavis.edu/our_services/costing-policy-e-analysis/composite-benefit-rates/)

*Materials, Supplies, and Equipment*

The budget includes \$2,000 in year 1 to be used toward a laptop for staff who will be working on this project and \$2,800 in year 3 to be used for printing and publication costs.

This supplemental budget includes \$14,208 in year 2 and \$14,208 in year 3 for transcription and translation services to be provided by a third party, TranscriptionServices.com, through a contract agreement with UC Davis.

The budget also includes \$250 per year for necessary licenses and other information technology and computing services (IT/CS) needs and additional funding at a similar amount each year for supplies and materials.

*Indirect Costs*

This budget includes an indirect rate of 30% of the Modified Total Direct Costs (MTDC).

<b>The Regents if the University of California, UC Davis</b>		
<b>Yolo County Basic Income (YOBI)</b>		
	Cost Items	Fiscal Year's 2022-2023 July 1, 2022 through June 30, 2023
1	Personnel (Salary, Benefits, and Payroll Taxes)	\$116,379
2	Indirect/Overhead/Administration not to exceed 30%; (not inc GSR fees)	\$31,031
3	Operating: materials	\$2,590
4	Direct to Clients	\$0
5	<b>Total</b>	<b>\$150,000</b>

<b>The Regents if the University of California, UC Davis</b>		
<b>Yolo County Basic Income (YOBI)</b>		
	Cost Items	Fiscal Year's 2023-24 July 1, 2023 through June 30, 2024
1	Personnel (Salary, Benefits, and Payroll Taxes)	\$118,862
2	Indirect/Overhead/Administration not to exceed 30%; (not inc GSR fees)	\$33,950.50
3	Operating: materials	\$11,395.20
4	Direct to Clients	\$0
5	<b>Total</b>	<b>\$164,207.70</b>

Exhibit A – Proposal

<b>The Regents of the University of California, UC Davis</b>		
<b>Yolo County Basic Income (YOBI)</b>		
	<b>Cost Items</b>	<b>Fiscal Year's 2024-2025 July 1, 2024 through June 30, 2025</b>
1	Personnel (Salary, Benefits, and Payroll Taxes)	\$35,131
2	Indirect/Overhead/Administration not to exceed 30%; (not inc GSR fees)	\$14,816.50
3	Operating: materials, publication	\$14,260.20
4	Direct to Clients	\$0
5	<b>Total</b>	<b>\$64,207.70</b>